



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2020

1. Corporate ID No. 001668858

2. Name of Corporation Wintrust Asset Finance Inc.

3. Street Address Principal Business Office:

No. and Street: 3201 DALLAS PARKWAY, SUITE 800

City or Town: FRISCO

State: TX Zip: 75034 Country: USA

4. Business Phone No.

469-777-5637

5. State of Incorporation

State: DE

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

532490

6. Brief Description of the Character of Business Conducted in Rhode Island

EQUIPMENT FINANCING AND EQUIPMENT LEASING BUSINESS

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country
PRESIDENT	MICHAEL KNOWLES	9700 W HIGGINS RD 8TH FLOOR ROSEMONT, IL 60018 USA
SECRETARY	RONALD HORNER	3201 DALLAS PARKWAY, SUITE 800

		FRISCO, TX 75034 USA
EXECUTIVE VICE PRESIDENT	KIRK PHILLIPS	3201 DALLAS PARKWAY, SUITE 800 FRISCO, TX 75034 USA
VICE PRESIDENT	JEFF DARLINGTON	3201 DALLAS PARKWAY, SUITE 800 FRISCO, TX 75034 USA
EXECUTIVE VICE PRESIDENT	RICHARD DUNBAR	9700 W HIGGINS RD 8TH FLOOR ROSEMONT, IL 60018 USA
VICE PRESIDENT FINANCE/ASST SECRETARY	TIMOTHY BIGGAM	9700 W HIGGINS RD 8TH FLOOR ROSEMONT, IL 60018 USA
DIRECTOR	MICHAEL KNOWLES	9700 W HIGGINS RD 8TH FL ROSEMONT, IL 60018 USA
DIRECTOR	RICHARD MURPHY	9700 W HIGGINS RD 8TH FLOOR ROSEMONT, IL 60018 USA
DIRECTOR	WILLIAM CORDES	9700 W HIGGINS RD 8TH FLOOR ROSEMONT, IL 60018 USA
DIRECTOR	RICHARD DUNBAR	9700 W HIGGINS RD 8TH FLOOR ROSEMONT, IL 60018 USA
DIRECTOR	KRISTINE REYNOLDS	9700 W HIGGINS RD 8TH FLOOR ROSEMONT, IL 60018 USA
DIRECTOR	DELBERT WILKINS	9700 W HIGGINS RD 8TH FLOOR ROSEMONT, IL 60018 USA
DIRECTOR	DAVID NORMANDIN	2050 MAIN STREET, SUITE 230 IRVINE, CA 92614 USA
DIRECTOR	KIRK PHILLIPS	3201 DALLAS PARKWAY, SUITE 800 FRISCO, TX 75034 USA
DIRECTOR	RAYMOND KRATZER	9700 W HIGGINS RD ROSEMONT, IL 60018 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CNP		\$0.0000	1,000.00	0

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 10 Day of February, 2020 at 12:03:25 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By COURTNEY GOODMAN
Signature of Authorized Representative of the Corporation

Revised 09/07

© 2007 - 2020 State of Rhode Island and Providence Plantations
All Rights Reserved