



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2020

1. Corporate ID No. 000545601

2. Name of Corporation Cutwater Investor Services Corp.

3. Street Address Principal Business Office:

No. and Street: 113 KING STREET

City or Town: ARMONK

State: NY

Zip: 10504-1611

Country: USA

4. Business Phone No.

5. State of Incorporation

State: DE

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523930

6. Brief Description of the Character of Business Conducted in Rhode Island

TO PROVIDE CASH MANAGEMENT, INVESTMENT FUND ADMINISTRATION AND FIXED INCOME INVESTMENT MANAGEMENT SERVICES TO THIRD PARTY INSTITUTIONAL CLIENTS INCLUDING MUNICIPALITIES AND SCHOOL DISTRICTS IN THE U.S.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country
PRESIDENT	CLIFFORD D. CORSO	113 KING STREET

		ARMONK, NY 10504-1611 USA
TREASURER	JOHN ARIOLA	113 KING STREET ARMONK, NY 10504-1611 USA
SECRETARY	SUSAN K. MARONI	113 KING STREET ARMONK, NY 10504-1611 USA
DIRECTOR	CLIFFORD D. CORSO	113 KING STREET ARMONK, NY 10504-1611 USA
DIRECTOR	CHARLES BOWEN FARQUHARSON	113 KING STREET ARMONK, NY 10504-1611 USA
DIRECTOR	ABDALLAH HABIB NAUPHAL	113 KING STREET ARMONK, NY 10504-1611 USA
DIRECTOR	GREGORY ALLAN BRISK	113 KING STREET ARMONK, NY 10504-1611 USA
DIRECTOR	MITCHELL EVAN HARRIS	113 KING STREET ARMONK, NY 10504-1611 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	10,000.00	1000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 21 Day of February, 2020 at 12:33:02 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By KELLY LETTMANN
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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