



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2020

1. Corporate ID No. 000142593

2. Name of Corporation ROACH HOWARD SMITH & BARTON, INC.

3. Street Address Principal Business Office:

No. and Street: 8750 N CENTRAL EXPWY STE 500
City or Town: DALLAS

State: TX Zip: 75231 Country: USA

4. Business Phone No.

9727442702

5. State of Incorporation

State: TX

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

524210

6. Brief Description of the Character of Business Conducted in Rhode Island

INSURANCE AGENCY SALES / MARKETING

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country
SECRETARY	BARTON H TUCKER	8750 N CENTRAL EXPRESSWAY STE 500 DALLAS, TX 75231 USA
CEO	THOMAS HUGHSTON	8750 N CENTRAL EXPY STE 500

		DALLAS, TX 75231 USA
VICE PRESIDENT	SHANNON HANSEN	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
VICE PRESIDENT	DIRK HANSEN	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
SR. VICE PRESIDENT	DAVID COOPER	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
CONTROLLER	GRADY ALLUMS	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
SR. VICE PRESIDENT	DAVID KOHL	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
VICE PRESIDENT	SPENCER MCCLENAHAN	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
VICE PRESIDENT	BRIAN TUCKER	8750 N CENTRAL EXPRESSWAY STE 500 DALLAS, TX 75231 USA
VICE PRESIDENT	LYNN LODGE	8750 N CENTRAL EXPRESSWAY STE 500 DALLAS, TX 75231 USA
ASST VICE PRESIDENT	ALLISON NIXON	8750 N CENTRAL EXPRESSWAY STE 500 DALLAS, TX 75231 USA
VICE PRESIDENT	SHELLIE CROY	8750 N CENTRAL EXPRESSWAY STE 500 DALLAS, TX 75231 USA
ASST VICE PRESIDENT	AUSTIN JANUARY	8750 N CENTRAL EXPRESSWAY STE 500 DALLAS, TX 75231 USA
ASST VICE PRESIDENT	NICHOLAS GALATI	8750 N CENTRAL EXPRESSWAY STE 500 DALLAS, TX 75231 USA
SR. VICE PRESIDENT	JOHN HALL III	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
SR. VICE PRESIDENT	DOUG JONES	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
SR. VICE PRESIDENT	BARTON H TUCKER	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
VICE PRESIDENT	DENISE BUMP	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
COO/DIRECTOR	JULIA MILLER-SPRACKLEN	8750 N CENTRAL EXPRESSWAY STE 500 DALLAS, TX 75231 USA
CIO	KAREN BITZER	8750 N CENTRAL EXPRESSWAY STE 500 DALLAS, TX 75231 USA
ASST VICE PRESIDENT	JUAN S SANCHEZ	8750 N CENTRAL EXPRESSWAY STE 500 DALLAS, TX 75231 USA
ASST VICE PRESIDENT	MICHAEL BAKER	8750 N CENTRAL EXPRESSWAY STE 500 DALLAS, TX 75231 USA
DIRECTOR	DOUGLAS JONES	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
DIRECTOR	JOHN HALL III	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
DIRECTOR	THOMAS A HUGHSTON	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
DIRECTOR	BARTON H TUCKER	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Issued and
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			Total Authorized Shares <i>Number of Shares</i>	Outstanding <i>Num of Shares</i>
CWP		\$0.1000	300,000.00	75983

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 24 Day of February, 2020 at 4:48:05 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By VICKI STEVENS
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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