



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2020

1. Corporate ID No. 000793993

2. Name of Corporation ABD Insurance and Financial Services, Inc.

3. Street Address Principal Business Office:

No. and Street: 777 MARINERS ISLAND BOULEVARD
SUITE 250

City or Town: SAN MATEO

State: CA Zip: 94404 Country: USA

4. Business Phone No.

650 4888565

5. State of Incorporation

State: DE

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

524210

6. Brief Description of the Character of Business Conducted in Rhode Island

INSURANCE BROKER

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
EVP	ROD SOCKOLOV	173 HAWTHORNE DR. ATHERTON, CA 94027 US

EVP	DARREN BROWN	7 CORWIN DR. ALAMO, CA 94507 US
CFO, TREASURER	MICHAEL MCCLOSKEY	3300 AMENO DR. LAFAYETTE, CA 94549 US
CHAIRMAN, CEO	BRIAN HETHERINGTON	735 SEABURY RD. HILLSBOROUGH, CA 94010 US
PRESIDENT, SECRETARY	KURT DEGROSZ	144 SYCAMORE AVE. SAN MATEO, CA 94402 US
DIRECTOR	KURT DEGROSZ	144 SYCAMORE AVE. SAN MATEO, CA 94402 US
DIRECTOR	BRIAN HETHERINGTON	735 SEABURY RD. HILLSBOROUGH, CA 94010 US
DIRECTOR	MICHAEL MCCLOSKEY	3300 AMENO DR. LAFAYETTE, CA 94549 US
DIRECTOR	RICHARD LANE	2838 44TH AVE. WEST SEATTLE, WA 98199 US
DIRECTOR	ROBERT BRANT	34 GREENWOOD BAY DR. TIBURON, CA 94920 US
DIRECTOR	KATHRYN PURMAL	20 MIRAFLORES AVE. SAN RAFAEL, CA 94901 US

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.0100	5,000,000.00	2325860

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 27 Day of February, 2020 at 6:13:05 PM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

By MICHAEL MCCLOSKEY
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07