



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
Office of the Secretary of State
Matthew A. Brown, Secretary of State

Corporations Division
100 North Main Street
Providence, RI 02903-1335
401.222.3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2005

Filing Period: January 1 - March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED OR PRINTED IN BLACK)

1. Corporate ID No. 33256		2. Name of Corporation InterSecurities, Inc.		
3. Street Address Principal Business Office 570 CARILLON PARKWAY		City ST. PETERSBURG	State FL	Zip 33716
4. Business Phone No. 727-299-1800		5. State of Incorporation DELAWARE		6. SIC Code 6064
7. Brief Description of the Character of Business Conducted in Rhode Island BROKER-DEALER				
8. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS				
President Name THOMAS R. MORIARTY		Vice President Name WILLIAM G. CUMMINGS		
Street Address 570 CARILLON PARKWAY		Street Address 570 CARILLON PARKWAY		
City ST. PETERSBURG	State FL	Zip 33716	City ST. PETERSBURG	State FL
Secretary Name FRANKLYN J. WOLLETT		Treasurer Name WILLIAM G. CUMMINGS		
Street Address 570 CARILLON PARKWAY		Street Address 570 CARILLON PARKWAY		
City ST. PETERSBURG	State FL	Zip 33716	City ST. PETERSBURG	State FL
9. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS				
Director Name THOMAS R. MORIARTY		Director Name SANDRA C. BROWN		
Street Address 570 CARILLON PARKWAY		Street Address 570 CARILLON PARKWAY		
City ST. PETERSBURG	State FL	Zip 33716	City ST. PETERSBURG	State FL
Director Name WILLIAM H. GEIGER		Director Name		
Street Address 570 CARILLON PARKWAY		Street Address		
City ST. PETERSBURG	State FL	Zip 33716	City	State
10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT) ☐		11. SHARES ISSUED ("X" BOX FOR ATTACHMENT) ☐		
AUTHORIZED SHARES		ISSUED SHARES		
Number of Shares	Class/Series	Par Value	Number of Shares	Class/Series
1,000 COMM \$1.00 PAR VALUE			1,000	Common / -
				\$1.00

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



33256

File Date 1/25/05
Check No. 243992
By: DA

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer

FRANKLYN J. WOLLETT

Print or Type Name of Officer

SECRETARY

Title of Officer



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
Office of the Secretary of State
Matthew A. Brown, Secretary of State

Corporations Division
100 North Main Street
Providence, RI 02903-1335
401.222.3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2004

Filing Period: January 1 - March 1 • Filing Fee: \$50.00
(FORM MUST BE TYPED OR PRINTED IN BLACK)

1. Corporate ID No. 33256		2. Name of Corporation InterSecurities, Inc.		
3. Street Address Principal Business Office 570 CARILLON PARKWAY		City ST. PETERSBURG	State FL	Zip 33716
4. Business Phone No. 727-299-1800		5. State of Incorporation DELAWARE		6. SIC Code 6064
7. Brief Description of the Character of Business Conducted in Rhode Island BROKER-DEALER				
8. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS				
President Name THOMAS R. MORIARTY		Vice President Name WILLIAM G. CUMMINGS		
Street Address 570 CARILLON PARKWAY		Street Address 570 CARILLON PARKWAY		
City ST. PETERSBURG	State FL	Zip 33716	City ST. PETERSBURG	State FL
Secretary Name FRANKLYN J. WOLLETT		Treasurer Name WILLIAM G. CUMMINGS		
Street Address 570 CARILLON PARKWAY		Street Address 570 CARILLON PARKWAY		
City ST. PETERSBURG	State FL	Zip 33716	City ST. PETERSBURG	State FL
9. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS				
Director Name THOMAS R. MORIARTY		Director Name KIMBERLY A. SCOLLIER		
Street Address 570 CARILLON PARKWAY		Street Address 570 CARILLON PARKWAY		
City ST. PETERSBURG	State FL	Zip 33716	City ST. PETERSBURG	State FL
Director Name WILLIAM H. GEIGER		Director Name		
Street Address 570 CARILLON PARKWAY		Street Address		
City ST. PETERSBURG	State FL	Zip 33716	City	State
10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT) ☐				
11. SHARES ISSUED ("X" BOX FOR ATTACHMENT) ☐				
AUTHORIZED SHARES		ISSUED SHARES		
Number of Shares	Class/Series	Par Value	Number of Shares	Class/Series
1,000 COMM \$1.00 PAR VALUE			1,000	Common / -
				\$ 1.00

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



* 3 3 2 5 6 *

File Date	2-13-02
Check No.	00230318
By:	NMG
FOR SECRETARY OF STATE USE ONLY	

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Franklyn J. Wollett **2/6/04**
Signature of Officer Date
FRANKLYN J. WOLLETT
Print or Type Name of Officer
SECRETARY
Title of Officer



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

Edward S. Inman, III, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-222-3040



PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2003

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED OR PRINTED IN BLACK)

1. Corporate ID No. 33256 2. Name of Corporation InterSecurities, Inc.
3. Street Address Principal Business Office 570 CARILLON PARKWAY City ST. PETERSBURG State FL Zip 33716
4. Business Phone No. 727-299-1800 5. State of Incorporation DELAWARE 6. SIC Code 6064
7. Brief Description of the Character of Business Conducted in Rhode Island

8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT) FILL IN SPACES BEFORE USING ATTACHMENTS

President Name THOMAS R. MORIARTY Vice President Name TERRY L. GARVIN
Street Address 570 CARILLON PARKWAY Street Address 570 CARILLON PARKWAY
City ST. PETERSBURG State FL Zip 33716 City ST. PETERSBURG State FL Zip 33716
Secretary Name FRANKLYN J. WOLLETT Treasurer Name WILLIAM G. CUMMINGS
Street Address 570 CARILLON PARKWAY Street Address 570 CARILLON PARKWAY
City ST. PETERSBURG State FL Zip 33716 City ST. PETERSBURG State FL Zip 33716

9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT) FILL IN SPACES BEFORE USING ATTACHMENTS

Director Name THOMAS R. MORIARTY Director Name TERRY L. GARVIN
Street Address 570 CARILLON PARKWAY Street Address 570 CARILLON PARKWAY
City ST. PETERSBURG State FL Zip 33716 City ST. PETERSBURG State FL Zip 33716
Director Name WILLIAM H. GEIGER
Street Address 570 CARILLON PARKWAY
City ST. PETERSBURG State FL Zip 33716

10. SHARES AUTHORIZED (*X* BOX FOR ATTACHMENT)

AUTHORIZED SHARES

Number of Shares	Class/Series	Par Value
1,000 COMM	\$1.00 PAR VALUE	

11. SHARES ISSUED (*X* BOX FOR ATTACHMENT)

ISSUED SHARES

Number of Shares	Class/Series	Par Value
1,000	Common/-	\$1.00

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



* 3 3 2 5 6 *

File Date: 2/13/03

Check No.: 00215018

By: [Signature]

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Frank J. Wollett 2/3/03
Signature of Officer Date

FRANKLYN J. WOLLETT
Print or Type Name of Officer

SECRETARY
Title of Officer



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

Edward S. Inman, III, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-222-3040



PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2002

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. 33256		2. Name of Corporation InterSecurities, Inc.	
3. Street Address Principal Business Office 570 Carillon Parkway		City St. Petersburg	State FL
4. Business Phone No. 727-299-1800		5. State of Incorporation DELAWARE	Zip 33716
		6. SIC Code 6064	

7. Brief Description of the Character of Business Conducted in Rhode Island

Broker-dealer

8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT) ☒ FILL IN SPACES BEFORE USING ATTACHMENTS

President Name Thomas R. Moriarty			Vice President Name Terry L. Garvin		
Street Address 570 Carillon Parkway			Street Address 570 Carillon Parkway		
City St Petersburg	State FL	Zip 33716	City St Petersburg	State FL	Zip 33716
Secretary Name William H. Geiger			Treasurer Name William G. Cummings		
Street Address 570 Carillon Parkway			Street Address 570 Carillon Parkway		
City St Petersburg	State FL	Zip 33716	City St Petersburg	State FL	Zip 33716

9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT) ☒ FILL IN SPACES BEFORE USING ATTACHMENTS

Director Name Thomas R. Moriarty			Director Name Terry L. Garvin		
Street Address 570 Carillon Parkway			Street Address 570 Carillon Parkway		
City St Petersburg	State FL	Zip 33716	City St Petersburg	State FL	Zip 33716
Director Name William H. Geiger			Director Name		
Street Address 570 Carillon Parkway			Street Address		
City St Petersburg	State FL	Zip 33716	City	State	Zip

10. SHARES AUTHORIZED (*X* BOX FOR ATTACHMENT) ☒

AUTHORIZED SHARES		
Number of Shares	Class/Series	Par Value
1,000 COMM \$1.00 PAR VALUE		

11. SHARES ISSUED (*X* BOX FOR ATTACHMENT) ☒

ISSUED SHARES		
Number of Shares	Class/Series	Par Value
1000	Common/-	1.00

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



* 3 3 2 5 6 *

File Date: **2-22-02**

Check No.: **00054095**

By: **CMC**

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

William H. Geiger
Signature of Officer Date

William H. Geiger
Print or Type Name of Officer

Secretary
Title of Officer

**INTERSECURITIES, INC.
OFFICERS AND DIRECTORS**

<u>Name</u>	<u>Home Address</u>	<u>Business Address</u>	<u>Title</u>
Thomas R. Moriarty	2975 Whisper Lane, N. Clearwater, FL 33762	570 Carillon Parkway St. Petersburg, FL 33716	Chairman of The Board, President & CEO
Terry L. Garvin	9424 Laura Anne Dr. Seminole, FL 34642	570 Carillon Parkway St. Petersburg, FL 33716	Director, Vice President
William H. Geiger	2340 Seton Lane Largo, FL 33774	570 Carillon Parkway St. Petersburg, FL 33716	Director, Secretary
Gerald R. Blair		570 Carillon Parkway St. Petersburg, FL 33716	Vice President
William G. Cummings	1925 Oro Court Clearwater, FL 33764	570 Carillon Parkway St. Petersburg, FL 33716	Vice President and Treasurer
Kristy L. Dowd	2001 Donegal Ct. Oldsmar, FL 34677	570 Carillon Parkway St. Petersburg, FL 33716	Vice President-Project Development
A. Kenneth Fine	5953 36 th Ave. No. St. Petersburg, FL 33710	570 Carillon Parkway St. Petersburg, FL 33716	Vice President and Counsel
Gregory Limardi	441 Gardenia Street Bellevair, FL 34616	570 Carillon Parkway St. Petersburg, FL 33716	Vice President
Kenneth W. Marlow	1315 Ranchwood Dr. Clearwater FL. 33764	570 Carillon Parkway St. Petersburg, FL 33716	Vice President
Herbert Pontzer	2969 Elysium Way Clearwater, FL 33759	570 Carillon Parkway St. Petersburg, FL 33716	Vice President-Compliance
Cynthia L. Remley	1591 Gulf Blvd., #702 Clearwater, FL 34630	570 Carillon Parkway St. Petersburg, FL 33716	Vice President and Associate General Counsel
Teresa Rooney	13671 Kimberly Oaks Circle Largo, FL 33774	570 Carillon Parkway St. Petersburg, FL 33716	Vice President and Senior Counsel
Kimberly A. Scouller	3182 Hyde Park Drive Clearwater, FL 33761	570 Carillon Parkway St. Petersburg, FL 33716	Vice President and Senior Counsel

Michael V. Williams	475 Hickorynut Ave. Oldsmar, FL 34677	570 Carillon Parkway St. Petersburg, FL 33716	Vice President
Christopher M. Aiello	700 36 th Ave. N. St. Petersburg, FL 33704	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President, Assistant Secretary and Associate Counsel
Nathan C. Anguiano	2157 Baringer Ave., #3 Louisville, KY 40206	400 W. Market Street Louisville, KY 40202	Assistant Vice President
Donna Craft	3051 62 nd St. Shellsburg, IA 52332	4333 Edgewood Rd. NE Cedar Rapids, IA 52499	Assistant Vice President
Carlene Endick	8431 141 st St. N. Seminole, FL 33776	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President
Bill Erickson		570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President
Dionne Fajardo	PO Box 15444 Saint Petersburg, FL 33733	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President
Jayne Flood	3029 Ashland Terrace Clearwater, FL 33761	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President and Assistant Secretary
Jeffery A. Froeschle		570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President and Counsel
Jennifer Gonzalez	4521 W. Dale Avenue Tampa, FL 33609	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President-Operations
Gerald B. Lax	9615 Gretna Green Dr. Tampa, FL 33626	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President and Associate Counsel
Scott M. Lenhart	11798 Pinedale Ave. N. Seminole, FL 33722	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President
Carol Ann Maclean	1100 S. Belcher Rd., #240 Largo, FL 33771-3425	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President and Assistant Secretary
Diane E. Rogers	12744 Lake Drive Largo, FL 33774	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President

Scott G. Russell	10246 Tarpon Dr. Treasure Island, FL 33706	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President
Stuart Walsky	12992 89th Avenue North Seminole, FL 34646	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President
Franklyn Wollett	1960 Dunbrody Court Dunedin, FL 34698	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President & Counsel
Robert Zwiebel		400 W. Market St. Louisville, KY 40202	Assistant Vice President
Tracey Evans	11338 115th Street North Largo, FL 33778	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Secretary
Laura F. Hunt	1772 Suffolk Dr. Clearwater, FL 34616	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Secretary
Kristina Mackowiak	10789 96th Street N Largo, FL 33773	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Secretary



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-222-3040



PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2001

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. 33256 2. Name of Corporation InterSecurities, Inc.

3. Street Address Principal Business Office

570 Carillon Parkway

City

St. Petersburg

State

FL

Zip

33716-1202

4. Business Phone No.

727-299-1800

5. State of Incorporation
DELAWARE

6. SIC Code
8084

7. Brief Description of the Character of Business Conducted in Rhode Island

Broker-dealer

8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT) **FILL IN SPACES BEFORE USING ATTACHMENTS**

President Name

Vice President Name

Thomas R. Moriarty

Terry L. Garvin

Street Address

Street Address

570 Carillon Pkwy.

570 Carillon Pkwy.

City

State

Zip

St. Petersburg FL 33716-1202

City

State

Zip

St. Petersburg FL 33716-1202

Secretary Name

Treasurer Name

William H. Geiger

William G. Cummings

Street Address

Street Address

570 Carillon Pkwy.

570 Carillon Pkwy.

City

State

Zip

St. Petersburg FL 33716-1202

City

State

Zip

St. Petersburg FL 33716-1202

9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT) **FILL IN SPACES BEFORE USING ATTACHMENTS**

Director Name

Director Name

Thomas R. Moriarty

Terry L. Garvin

Street Address

Street Address

City

State

Zip

City

State

Zip

Director Name

Director Name

William H. Geiger

Street Address

Street Address

City

State

Zip

City

State

Zip

10. SHARES AUTHORIZED (*X* BOX FOR ATTACHMENT)

AUTHORIZED SHARES

Number of Shares

Class/Series

Par Value

1000

Common/-

1.00

11. SHARES ISSUED (*X* BOX FOR ATTACHMENT)

ISSUED SHARES

Number of Shares

Class/Series

Par Value

1000

Common/-

1.00

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee.



* 3 3 2 5 6 *

1/29

File Date: _____

50971

Check No.: _____

22

By: _____

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

William H. Geiger 1/23/2001
Signature of Officer Date

William H. Geiger
Print or Type Name of Officer

Secretary
Title of Officer



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

James R. Langevin, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-222-3040



PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2000

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. **33256** 2. Name of Corporation **InterSecurities, Inc.**

3. Street Address Principal Business Office

570 Carillon Parkway

City

St Petersburg

State

FL

Zip

33716-1202

4. Business Phone No.

727-299-1800

5. State of Incorporation

DELAWARE

6. SIC Code

6064

7. Brief Description of the Character of Business Conducted in Rhode Island

Broker-dealer

8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT)

FILL IN SPACES BEFORE USING ATTACHMENTS

President Name

Thomas R. Moriarty

Vice President Name

Terry L. Garvin

Street Address

(same as above)

Street Address

(same as above)

City

State

Zip

City

State

Zip

Secretary Name

William H. Geiger

Treasurer Name

William G. Cummings

Street Address

(same as above)

Street Address

(same as above)

City

State

Zip

City

State

Zip

9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT)

FILL IN SPACES BEFORE USING ATTACHMENTS

Director Name

Thomas R. Moriarty

Director Name

Terry L. Garvin

Street Address

(same as above)

Street Address

(same as above)

City

State

Zip

City

State

Zip

Director Name

William H. Geiger

Director Name

—

Street Address

(same as above)

Street Address

City

State

Zip

10. SHARES AUTHORIZED (*X* BOX FOR ATTACHMENT)

AUTHORIZED SHARES

Number of Shares

Class/Series

Par Value

1000

Common/- 1.00

11. SHARES ISSUED (*X* BOX FOR ATTACHMENT)

ISSUED SHARES

Number of Shares

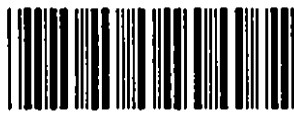
Class/Series

Par Value

1000

Common/- 1.00

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



* 3 3 2 5 6 *

File Date: **2-24-00**

Check No.: **46094**

By: **AMF**

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

William H. Geiger **2/22/00**
Signature of Officer Date

William H. Geiger
Print or Type Name of Officer

Secretary
Title of Officer



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

James R. Langevin, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-222-3040



PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 1999

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. 33258		2. Name of Corporation InterSecurities, Inc.	
3. Street Address Principal Business Office 570 Carillon Parkway		City St Petersburg	State FL
4. Business Phone No. 727-299-1800		5. State of Incorporation DELAWARE	6. SIC Code 6084
7. Brief Description of the Character of Business Conducted in Rhode Island Broker-dealer			
8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT) ☒ FILL IN SPACES BEFORE USING ATTACHMENTS			
President Name G. John Hurley		Vice President Name Thomas R. Moriarty	
Street Address 570 Carillon Parkway		Street Address 570 Carillon Parkway	
City St Petersburg	State FL	City St Petersburg	State FL
Zip 33716-1202		Zip 33716-1202	
Secretary Name William H. Geiger		Treasurer Name William G. Cummings	
Street Address 570 Carillon Parkway		Street Address 570 Carillon Parkway	
City St Petersburg	State FL	City St Petersburg	State FL
Zip 33716-1202		Zip 33716-1202	
9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT) ☒ FILL IN SPACES BEFORE USING ATTACHMENTS			
Director Name John R. Kenney		Director Name G. John Hurley	
Street Address 570 Carillon Parkway		Street Address 570 Carillon Parkway	
City St Petersburg	State FL	City St Petersburg	State FL
Zip 33716-1202		Zip 33716-1202	
Director Name William H. Geiger		Director Name 	
Street Address 570 Carillon Parkway		Street Address 	
City St Petersburg	State FL	City 	State
Zip 33716-1202		Zip 	
10. SHARES AUTHORIZED (*X* BOX FOR ATTACHMENT) ☒		11. SHARES ISSUED (*X* BOX FOR ATTACHMENT) ☒	
AUTHORIZED SHARES		ISSUED SHARES	
Number of Shares	Class/Series	Number of Shares	Class/Series
1000	Common/-	1000	Common/-
	1.00		1.00

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



* 3 3 2 5 6 *

File Date: May 1, 99

Check No.: 39495

By: [Signature]

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

William H. Geiger 2-25-99
Signature of Officer Date

William H. Geiger
Print or Type Name of Officer

Secretary
Title of Officer

**INTERSECURITIES, INC.
OFFICERS AND DIRECTORS**

<u>Name</u>	<u>Home Address</u>	<u>Business Address</u>	<u>Title</u>
John R. Kenney	447 22nd Street Belleair Beach, FL 33786-3414	570 Carillon Parkway St. Petersburg, FL 33716	Chairman of the Board
G. John Hurley	3022 Oakmont Drive Clearwater, FL 34621	570 Carillon Parkway St. Petersburg, FL 33716	Director, President & CEO
William H. Geiger	2340 Seton Lane Largo, FL 33774	570 Carillon Parkway St. Petersburg, FL 33716	Director, Secretary
Thomas R. Moriarty	14146 Spoonbill Lane Clearwater, FL 34622	570 Carillon Parkway St. Petersburg, FL 33716	Senior Vice President
Larry G. Brown	2406 Hampton Lane W. Safety Harbor, FL 34695	570 Carillon Parkway St. Petersburg, FL 33716	Vice President
William G. Cummings	746 Forest Parkway East Largo, FL 33771	570 Carillon Parkway St. Petersburg, FL 33716	Vice President and Treasurer
Kristy L. Dowd	2001 Donegal Ct. Oldsmar, FL 34677	570 Carillon Parkway St. Petersburg, FL 33716	Vice President-Project Development
Terry L. Garvin	9424 Laura Anne Dr. Seminole, FL 34642	570 Carillon Parkway St. Petersburg, FL 33716	Vice President
Kenneth W. Marlow	1315 Ranchwood Dr. Clearwater FL. 33764	570 Carillon Parkway St. Petersburg, FL 33716	Vice President, Sales & Marketing
Stanley R. Orr	13180 84th Terr. No. Seminole, FL 34642	570 Carillon Parkway St. Petersburg, FL 33716	Vice President
Herbert Pontzer	2969 Elysium Way Clearwater, FL 33759	570 Carillon Parkway St. Petersburg, FL 33716	Vice President-Compliance

Cynthia L. Remley	1591 Gulf Blvd., #702 Clearwater, FL 34630	570 Carillon Parkway St. Petersburg, FL 33716	Vice President and Associate General Counsel
Kimberly A. Scouller	3182 Hyde Park Drive Clearwater, FL 33761	570 Carillon Parkway St. Petersburg, FL 33716	Vice President and Counsel
Michael V. Williams	475 Hickorynut Ave. Oldsmar, FL 34677	570 Carillon Parkway St. Petersburg, FL 33716	Vice President
Stephen R. Breininger	2230 Donato Drive Belleair Beach, FL 33786	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President
Duncan H. Cameron	2364 Waterview Ct. Palm Harbor, FL 34684	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President
Donna Craft		4333 Edgewood Rd. NE Cedar Rapids, IA 52499	Assistant Vice President
Russell W. Crooks	10108 Bennington Drive Tampa, FL 33626	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President
Christine M. Goodwin	9475 Mississippi Run Brooksville, FL 34613	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President
Gregory Limardi	441 Gardenia Street Belleair, FL 34616	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President
Christopher G. Roetzer	3001 Sunset Point Road Clearwater, FL 34619	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President
Diane E. Rogers	12744 Lake Drive Largo, FL 33774	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President
Teresa Rooney	13671 Kimberly Oaks Circle Largo, FL 33774	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President
Rodney A. Tidwell	1702 Lake Cypress Dr. Safety Harbor, FL 34695	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President
Stuart Walsky	12992 89th Avenue North Seminole, FL 34646	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President
Franklyn Wollett	1960 Dunbrody Court Dunedin, FL 34698	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Vice President

Myron Chastain	3300 Cove Cay Drive #3D Clearwater, FL 33760	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Secretary
Tracey Creighton	11338 115th Street North Largo, FL 33778	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Secretary
Meg Cullem-Fiore	628 Baldwin Avenue #D Safety Harbor, FL 34695	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Secretary
Jayne Flood	3029 Ashland Terrace Clearwater, FL 33761	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Secretary
Laura F. Hunt	1772 Suffolk Dr. Clearwater, FL 34616	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Secretary
Kristina Mackowiak	10789 96th Street N Largo, FL 33773	570 Carillon Parkway St. Petersburg, FL 33716	Assistant Secretary



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

James R. Langevin, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-277-3040



PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 1998

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. 33256		2. Name of Corporation InterSecurities, Inc.	
3. Street Address Principal Business Office 201 Highland Ave		City Largo	State FL
4. Business Phone No. 813-585-6565		5. State of Incorporation DELAWARE	6. SIC Code 6064
7. Brief Description of the Character of Business Conducted in Rhode Island Broker-dealer			
8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT) ☒			
President Name G. John Hurley		Vice President Name Thomas R. Moriarty	
Street Address 201 Highland Ave		Street Address 201 Highland Ave	
City Largo	State FL	City Largo	State FL
Zip 33770		Zip 33770	
Secretary Name William H. Geiger		Treasurer Name William G. Cummings	
Street Address 201 Highland Ave		Street Address 201 Highland Ave	
City Largo	State FL	City Largo	State FL
Zip 33770		Zip 33770	
9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT) ☐			
Director Name John R. Kenney		Director Name G. John Hurley	
Street Address 201 Highland Ave		Street Address 201 Highland Ave	
City Largo	State FL	City Largo	State FL
Zip 33770		Zip 33770	
Director Name William H. Geiger		Director Name 	
Street Address 201 Highland Ave		Street Address 	
City Largo	State FL	City 	State
Zip 33770		Zip 	
10. SHARES AUTHORIZED (*X* BOX FOR ATTACHMENT) ☐		11. SHARES ISSUED (*X* BOX FOR ATTACHMENT) ☐	
AUTHORIZED SHARES		ISSUED SHARES	
Number of Shares	Class/Series	Number of Shares	Class/Series
1000	Common	1000	Common/-
Par Value		Par Value	
1.00		1.00	

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



* 3 3 2 5 6 *

File Date: **2/13**

Check No.: **32769**

By: **[Signature]**

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

[Signature] **2-11-98**
Signature of Officer Date

William H. Geiger
Print or Type Name of Officer

Secretary
Title of Officer

**INTERSECURITIES, INC.
OFFICERS AND DIRECTORS**

Name	Home Address	Business Address	Title
John R. Kenney	447 22nd Street Belleair Beach, FL 33786-3414	201 Highland Ave. Largo, FL 33770	Chairman of the Board
G. John Hurley	3022 Oakmont Drive Clearwater, FL 34621	201 Highland Ave. Largo, FL 33770	Director, President & CEO
William H. Geiger	2340 Seton Lane Largo, FL 33774	201 Highland Ave. Largo, FL 33770	Director, Secretary
Ronald L. Hall	2050 Kingston Court Clearwater, FL 34624	201 Highland Ave. Largo, FL 33770	Sr. Vice President, Sales & Mktg.
Thomas R. Moriarty	14146 Spoonbill Lane Clearwater, FL 34622	201 Highland Ave. Largo, FL 33770	Senior Vice President
William G. Cummings	746 Forest Parkway East Largo, FL 33771	201 Highland Ave. Largo, FL 33770	Vice President and Treasurer
Kristy L. Dowd	2001 Donegal Ct. Oldsmar, FL 34677	201 Highland Ave. Largo, FL 33770	Vice President-Project Development
Terry L. Garvin	9424 Laura Anne Dr. Seminole, FL 34642	201 Highland Ave. Largo, FL 33770	Vice President
Stanley R. Orr	13180 84th Terr. No. Seminole, FL 34642	201 Highland Ave. Largo, FL 33770	Vice President
Cynthia L. Remley	1591 Gulf Blvd., #702 Clearwater, FL 34630	201 Highland Ave. Largo, FL 33770	Vice President and Associate General Counsel
Michael V. Williams		201 Highland Ave. Largo, FL 33770	Vice President
Stephen R. Breininger	2230 Donato Drive Belleair Beach, FL 33786	201 Highland Ave. Largo, FL 33770	Assistant Vice President

Duncan H. Cameron	2364 Waterview Ct. Palm Harbor, FL 34684	201 Highland Ave. Largo, FL 33770	Assistant Vice President
Donna Craft		4333 Edgewood Rd. NE Cedar Rapids, IA 52499	Assistant Vice President
Russell W. Crooks	10108 Bennington Drive Tampa, FL 33626	201 Highland Ave. Largo, FL 33770	Assistant Vice President
Meg Cullen-Fiore	628 Baldwin Avenue #D Safety Harbor, FL 34695	201 Highland Ave. Largo, FL 33770	Assistant Vice President and Associate Counsel
Christine M. Goodwin	9475 Mississippi Run Brooksville, FL 34613	201 Highland Ave. Largo, FL 33770	Assistant Vice President
Ronald T. Kilmas	2407 Sagemont Drive Brandon, FL 33511	201 Highland Ave. Largo, FL 33770	Assistant Vice President
Gregory Limardi	441 Gardenia Street Belleair, FL 34616	201 Highland Ave. Largo, FL 33770	Assistant Vice President
Christopher G. Roetzer	3001 Sunset Point Road Clearwater, FL 34619	201 Highland Ave. Largo, FL 33770	Assistant Vice President
Diane E. Rogers	12744 Lake Drive Largo, FL 33774	201 Highland Ave. Largo, FL 33770	Assistant Vice President
Rodney A. Tidwell	1702 Lake Cypress Dr. Safety Harbor, FL 34695	201 Highland Ave. Largo, FL 33770	Assistant Vice President
Stuart Walsky	12992 89th Avenue North Seminole, FL 34646	201 Highland Ave. Largo, FL 33770	Assistant Vice President
James Kiely	190 112th Ave. N., #1313 St. Petersburg, FL 33716	201 Highland Ave. Largo, FL 33770	Assistant Secretary
Laura F. Hunt	1772 Suffolk Dr. Clearwater, FL 34616	201 Highland Ave. Largo, FL 33770	Assistant Secretary



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

James R. Langevin, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-277-3040



PROFIT CORPORATION ANNUAL REPORT 1997

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. 33256		2. Name of Corporation InterSecurities, Inc.	
3. Street Address Principal Business Office 201 Highland Ave.		City Largo	State FL
4. Business Phone No. 813-585-6565		5. State of Incorporation DELAWARE	6. SIC Code 33770 6064
7. Brief Description of the Character of Business Conducted in Rhode Island Broker-Dealer			
8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT) ☒			
President Name G. John Hurley		Vice President Name Thomas R. Moriarty	
Street Address 201 Highland Ave.		Street Address 201 Highland Ave.	
City Largo	State FL	City Largo	State FL
Secretary Name William H. Geiger		Treasurer Name Richard B. Franz	
Street Address 201 Highland Ave.		Street Address 201 Highland Ave.	
City Largo	State FL	City Largo	State FL
9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT) ☒			
Director Name John R. Kenney		Director Name G. John Hurley	
Street Address 201 Highland Ave.		Street Address 201 Highland Ave.	
City Largo	State FL	City Largo	State FL
Director Name William H. Geiger		Director Name N/A	
Street Address 201 Highland Ave.		Street Address	
City Largo	State FL	City	State
10. SHARES AUTHORIZED AND ISSUED (*X* BOX FOR ATTACHMENT) ☒			
AUTHORIZED SHARES		ISSUED SHARES	
Number of Shares	Class/Series	Number of Shares	Class/Series
1000	Common/---	1000	Common/---
	Par Value		Par Value
	1.00		1.00

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



* 3 3 2 5 6 *

File Date: **3/4/97**

Check No.: **7005055**

By: **10P**

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

William H. Geiger 1/27/97
Signature of Officer Date

William H. Geiger
Print or Type Name of Officer

Secretary
Title of Officer

FEB 24 1997

INTERSECURITIES, INC. OFFICERS AND DIRECTORS

<u>Name</u>	<u>Home Address</u>	<u>Business Address</u>	<u>Title</u>
John R. Kenney	447 22nd Street Belleair Beach, FL 34635	201 Highland Ave. Largo, FL 33770	Chairman of the Board
G. John Hurley	3022 Oakmont Drive Clearwater, FL 34621	201 Highland Ave. Largo, FL 33770	Director, President & CEO
William H. Geiger	2340 Seton Lane Largo, FL 33774	201 Highland Ave. Largo, FL 33770	Director, Secretary
Thomas R. Moriarty	14146 Spoonbill Lane Clearwater, FL 34622	201 Highland Ave. Largo, FL 33770	Senior Vice President
Richard B. Franz II	12315 Windtree Blvd. Seminole, FL 34642	201 Highland Ave. Largo, FL 33770	Treasurer
William G. Cummings	746 Forest Parkway East Largo, FL 33771	201 Highland Ave. Largo, FL 33770	Vice President
Kristy L. Dowd	2001 Donegal Ct. Oldsmar, FL 34677	201 Highland Ave. Largo, FL 33770	Vice President-Project Development
Terry L. Garvin	9424 Laura Anne Dr. Seminole, FL 34642	201 Highland Ave. Largo, FL 33770	Vice President
Ronald L. Hall	2050 Kingston Court Clearwater, FL 34624	201 Highland Ave. Largo, FL 33770	Vice President, Sales & Mktg.
Gordon E. Hippner	2980 Eastland Blvd. Clearwater, FL 34621	201 Highland Ave. Largo, FL 33770	Vice President
Gerard P. Kirk	4575 Cove Circle St. Petersburg, FL 33708	201 Highland Ave. Largo, FL 33770	Vice President
Stanley R. Orr	13180 84th Terr. No. Seminole, FL 34642	201 Highland Ave. Largo, FL 33770	Vice President
Cynthia L. Remley	1591 Gulf Blvd., #702 Clearwater, FL 34630	201 Highland Ave. Largo, FL 33770	Vice President, Counsel and Assistant Secretary

FEB 24 1997

Duncan H. Cameron	2364 Waterview Ct. Palm Harbor, FL 34684	201 Highland Ave. Largo, FL 33770	Assistant Vice President
Russell W. Crooks	10108 Bennington Drive Tampa, FL 33626	201 Highland Ave. Largo, FL 33770	Assistant Vice President
Rebecca A. Ferrell	2413 Bayshore Blvd., #2304 Tampa, FL 33629	201 Highland Ave. Largo, FL 33770	Asst. Vice President, Counsel and Assistant Secretary
Christine M. Goodwin	9475 Mississippi Run Brooksville, FL 34613	201 Highland Ave. Largo, FL 33770	Assistant Vice President
Ronald T. Klimas	2407 Sagemont Drive Brandon, FL 33511	201 Highland Ave. Largo, FL 33770	Assistant Vice President
Gregory Limardi	441 Gardenia Street Belleair, FL 34616	201 Highland Ave. Largo, FL 33770	Assistant Vice President
Christopher G. Roetzer	3001 Sunset Point Road Clearwater, FL 34619	201 Highland Ave. Largo, FL 33770	Assistant Vice President
Diane Rogers	12744 Lake Drive Largo, FL 33774	201 Highland Ave. Largo, FL 33770	Assistant Vice President
Stuart Walsky	12992 89th Avenue North Seminole, FL 34646	201 Highland Ave. Largo, FL 33770	Assistant Vice President
James Kiely	190 112th Ave. N., #1313 St. Petersburg, FL 33716	201 Highland Ave. Largo, FL 33770	Assistant Secretary
Laura F. Schneider	1772 Suffolk Dr. Clearwater, FL 34616	201 Highland Ave. Largo, FL 33770	Assistant Secretary

PROFIT CORPORATION ANNUAL REPORT

1996



State of Rhode Island and Providence Plantations
James R. Langevin, Secretary of State
Corporations Division
100 North Main Street
Providence, Rhode Island 02903-1335 • (401) 277-3040

Filing Period: January 1–March 1
Filing Fee: \$50.00

PLEASE TYPE OR PRINT IN BLACK INK.

1. CORPORATE ID NO. 33256		2. NAME OF CORPORATION InterSecurities, Inc.	
3. STREET ADDRESS PRINCIPAL BUSINESS OFFICE 201 Highland Ave.		CITY Largo	STATE FL
4. BUSINESS PHONE NO. 813-585-6565		5. STATE OF INCORPORATION DELAWARE	6. SIC CODE 6064

7. BRIEF DESCRIPTION OF THE CHARACTER OF BUSINESS CONDUCTED IN RHODE ISLAND

Broker-dealer

8. NAMES AND ADDRESSES OF THE OFFICERS

PRESIDENT NAME G. John Hurley			VICE PRESIDENT NAME Thomas R. Moriarty		
STREET ADDRESS 1540 Gulf Blvd, PH04			STREET ADDRESS 14146 Spoonbill Lane		
CITY Clearwater	STATE FL	ZIP CODE 34630	CITY Clearwater	STATE FL	ZIP CODE 34622
SECRETARY NAME William H. Geiger			TREASURER NAME Richard B. Franz II		
STREET ADDRESS 2340 Seton Lane			STREET ADDRESS 12315 Windtree Blvd.		
CITY Largo	STATE FL	ZIP CODE 34644	CITY Seminole	STATE FL	ZIP CODE 34642

9. NAMES AND ADDRESSES OF THE DIRECTORS

DIRECTOR NAME John R. Kenney			DIRECTOR NAME J. Will Paul		
STREET ADDRESS 447 22nd St			STREET ADDRESS 3971 Normanwood.		
CITY BelleairBch	STATE FL	ZIP CODE 34635	CITY W. Bloomfield	STATE MI	ZIP CODE 48033
DIRECTOR NAME G. John Hurley			DIRECTOR NAME William H. Geiger		
STREET ADDRESS 1540 Gulf Blvd, PH04			STREET ADDRESS 2340 Seton Lane		
CITY Clearwater	STATE FL	ZIP CODE 34630	CITY Largo	STATE FL	ZIP CODE 34644

10. SHARES AUTHORIZED AND ISSUED

AUTHORIZED SHARES			ISSUED SHARES		
NUMBER OF SHARES	CLASS / SERIES	PAR VALUE	NUMBER OF SHARES	CLASS / SERIES	PAR VALUE
1000	Common/--	1.00	1000	Common/--	1.00

This report must be **SIGNED IN INK** by either the
President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

File Date:

3/4/96

Check No:

7001167

By:

KID

Signature of Officer

William H. Geiger
Print or Type Name of Officer

Secretary
Title of Officer

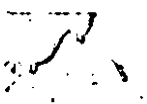
2/1/96

Date

For Secretary of State Use Only

INTERSECURITIES, INC. OFFICERS AND DIRECTORS

<u>Name</u>	<u>Home Address</u>	<u>Business Address</u>	<u>Title</u>
John R. Kenney	447 22nd Street Belleair Beach, FL 34635	201 Highland Ave. Largo, FL 34640	Chairman of the Board
G. John Hurley	1540 Gull Blvd., PM04 Clearwater, FL 34620	201 Highland Ave. Largo, FL 34640	Director, President & CEO
St. Will Paul	3071 Normanwood W. Bloomfield, MI 48033	17199 N. Laurel Park Dr. Livonia, MI 48152-3908	Director
William H. Geiger	2340 Sater Lane Largo, FL 34644	201 Highland Ave. Largo, FL 34640	Director, Secretary
Donald L. Cudney	Westchester Lakes 2774 Countryside Blvd., #1 Clearwater, FL 34621	201 Highland Ave. Largo, FL 34640	Senior Vice President
Thomas R. Moriarty	14146 Spoonbill Lane Clearwater, FL 34622	201 Highland Ave. Largo, FL 34640	Senior Vice President
Richard B. Franz II	12315 Windree Blvd. Seminole, FL 34642	201 Highland Ave. Largo, FL 34640	Treasurer
William G. Cummings	746 Forest Parkway East Largo, FL 34641	201 Highland Ave. Largo, FL 34640	Vice President
Terry L. Garvin	9424 Laura Anne Dr. Seminole, FL 34642	201 Highland Ave. Largo, FL 34640	Vice President
Ronald L. Hall	2050 Kingston Court Clearwater, FL 34624	201 Highland Ave. Largo, FL 34640	Vice President, Sales & Mktg.
Gordon E. Hippner	2980 Eastland Blvd. Clearwater, FL 34621	201 Highland Ave. Largo, FL 34640	Vice President
Gerard P. Kirk	4575 Cove Circle St. Petersburg, FL 33708	201 Highland Ave. Largo, FL 34640	Vice President
Leslie E. Martin, III	2926 Knights Ave. Tampa, FL 33611	201 Highland Ave. Largo, FL 34640	Vice President



Stanley R. Orr	13180 84th Terr. No. Seminole, FL 34642	201 Highland Ave. Largo, FL 34640	Vice President
Cynthia L. Remley	1591 Gulf Blvd., #702 Clearwater, FL 34630	201 Highland Ave. Largo, FL 34640	Vice President, Counsel and Assistant Secretary
Russell W. Crooks	10108 Bennington Drive Tampa, FL 33626	201 Highland Ave. Largo, FL 34640	Assistant Vice President
Pamela C. Dils	1707-A Belleair Forest Dr. Belleair, FL 34616	201 Highland Ave. Largo, FL 34640	Assistant Vice President and Assistant Secretary
Kristy L. Dowd	2001 Donegal Ct. Oldsmar, FL 34677	201 Highland Ave. Largo, FL 34640	Assistant Vice President
Rebecca A. Ferrell	2413 Bayshore Blvd., #2304 Tampa, FL 33629	201 Highland Ave. Largo, FL 34640	Asst. Vice President, Counsel and Assistant Secretary
Douglas R. Forster	11774 110th Terr. N. Seminole, FL 34648	201 Highland Ave. Largo, FL 34640	Assistant Vice President
Christine M. Goodwin	9475 Mississippi Run Brooksville, FL 34613	201 Highland Ave. Largo, FL 34640	Assistant Vice President
Ronald T. Klimas	2407 Sagemont Drive Brandon, FL 33511	201 Highland Ave. Largo, FL 34640	Assistant Vice President
Gregory Limardi	441 Gardenia Street Belleair, FL 34616	201 Highland Ave. Largo, FL 34640	Assistant Vice President
Sammie Jo McClintick	1265 Idlewild Drive Clearwater, FL 34615	201 Highland Ave. Largo, FL 34640	Assistant Vice President
Christopher G. Roetzer	1940 Ripon Drive Clearwater, FL 34624	201 Highland Ave. Largo, FL 34640	Assistant Vice President
Diane Rogers	12744 Lake Drive Largo, FL 34644	201 Highland Ave. Largo, FL 34640	Assistant Vice President
Stuart Walsky	12992 89th Avenue North Seminole, FL 34646	201 Highland Ave. Largo, FL 34640	Assistant Vice President
Laura F. Schneider	1772 Suffolk Dr. Clearwater, FL 34616	201 Highland Ave. Largo, FL 34640	Assistant Secretary

ANNUAL REPORT

Please Type or Print
File Annually - Jan. 1 - March 1
Filing Fee \$50.00
Make Checks Payable to: Secretary of State

ALL ENTRIES MUST BE COMPLETED IN FULL OR THE FORM WILL BE RETURNED.

Corporate ID: 0033255 Annual Report for the year: 1995

Name of Corporation: InterSecurities, Inc.

Business entity organized under the laws of the State of: Delaware

For foreign entity, address and telephone number of principal office:
201 Highland Ave.
Largo, FL 34640

Business Entity is (check one):

☒ Business Corporation (See RIGL Chapter 7-1.1)

☐ Professional Service Corporation (See RIGL Chapter 7-5.1)

Phone: (813) 585-6565

Brief statement of the character of business conducted in Rhode Island:
Broker-dealer

Address and telephone of the principal office of business entity in Rhode Island (Provide street address - Not P.O. Box):

None

Phone: ()

THE NAMES OF THE OFFICERS ARE:

	NAME	STREET ADDRESS	CITY/STATE	ZIP CODE
PRESIDENT	G. John Hurley	201 Highland Ave.	Largo, FL	34640
VICE PRESIDENT	Thomas R. Moriarty	201 Highland Ave.	Largo, FL	34640
SECRETARY	William H. Geiger	201 Highland Ave.	Largo, FL	34640
TREASURER	Richard B. Franz II	201 Highland Ave.	Largo, FL	34640

THE NAMES OF THE DIRECTORS ARE:

	NAME	STREET ADDRESS	CITY/STATE	ZIP CODE
	G. John Hurley	201 Highland Ave.	Largo, FL	34640
	John R. Kenney	201 Highland Ave.	Largo, FL	34640
	William H. Geiger	201 Highland Ave.	Largo, FL	34640

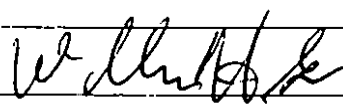
NUMBER OF SHARES AUTHORIZED (Rider may be attached)

Number of Shares	Class / Series
1000	Common/--

NUMBER OF SHARES ISSUED AND OUTSTANDING (Rider may be attached)

Number of Shares	Class / Series
1000	Common/--

Date March 15, 19 95

By: 

PRINT OR TYPE NAME OF OFFICER SIGNING

William H. Geiger

TITLE OF OFFICER SIGNING

Secretary

Form 31 1/95

DESIGNATED REGISTERED AGENT FOR SERVICE OF PROCESS:

PLEASE NOTE: If the registered office and/or registered agent indicated below is incorrect, Form 9 must be filed.

CT CORPORATION SYSTEM
123 DYER STREET
PROVIDENCE RI 02905

FILED

MAR 20 1995

By 19760

Filing Fee \$50.00
Payable to:
Secretary of State

PLEASE TYPE or PRINT
State of Rhode Island and Providence Plantations
Office of The Secretary of State
100 North Main Street
Providence, Rhode Island 02903-1335
401-277-3040

File Annually
LLC: Sept. 1 - Nov. 1
CORP: Jan. 1 - March 1

Corporate ID: 0033256 Annual Report for the year: 1994
InterSecurities, Inc.

Name of Business Entity: _____

Business entity organized under the laws of the State of: Delaware
Federal Taxpayer Identification Number: [REDACTED]

Business Entity is (check one):
☒ Business Corporation (See RIGL Chapter 7-1.1)
☐ Professional Service Corporation (See RIGL Chapter 7-5.1)
☐ Limited Liability Company (See RIGL 7-16)

For foreign entity, address and telephone number of principal office:
201 Highland Ave.
Largo, FL 34640

Name, title and mailing address of contact person to whom communications may be directed:
William H. Geiger
Secretary
201 Highland Avenue
Largo, FL 34640

Phone: (813) 587-1800

Brief statement of the character of business conducted in Rhode Island:
Broker-dealer

Address and telephone of the principal office of business entity in Rhode Island (Provide street address - Not P.O. Box):

Date of Organization: 12/28/84 02/11/85 ^{MC}

None

Date of Qualification to do business in Rhode Island (if foreign entity):

Phone: ()

THE NAMES OF THE OFFICERS ARE:

NAME	STREET ADDRESS	CITY/STATE	ZIP CODE
☒ CHIEF EXECUTIVE OFFICER OR ☒ PRESIDENT (Check One)			
G. John Hurley	201 Highland Avenue	Largo, FL	34640
☐ CHIEF OPERATING OFFICER OR ☒ VICE PRESIDENT (Check One)			
Thomas R. Moriarty	201 Highland Avenue	Largo, FL	34640
☐ CUSTODIAN OF RECORDS OR ☒ SECRETARY (Check One)			
William H. Geiger	201 Highland Avenue	Largo, FL	34640
☐ CHIEF FINANCIAL OFFICER OR ☒ TREASURER (Check One)			
Richard B. Franz II	201 Highland Avenue	Largo, FL	34640

THE NAMES OF THE DIRECTORS ARE:

NAME	STREET ADDRESS	CITY/STATE	ZIP CODE
G. John Hurley	201 Highland Avenue	Largo, FL	34640
William H. Geiger	201 Highland Avenue	Largo, FL	34640
John R. Kenney	201 Highland Avenue	Largo, FL	34640

NUMBER OF SHARES AUTHORIZED (If Applicable)	NUMBER OF SHARES ISSUED AND OUTSTANDING (If Applicable)
NUMBER 1000	NUMBER 1000
CLASS Common	CLASS Common
SERIES --	SERIES --
PAR VALUE OR WITHOUT PAR \$1.00	PAR VALUE OR WITHOUT PAR \$1.00

[Signature]

Filing Fee \$50.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
100 NORTH MAIN STREET
PROVIDENCE, RHODE ISLAND 02903

13390 mrc

Corporate ID.....0033255..... Annual Report for the year.....1993.....

FIRST: The name of the corporation is.....InterSecurities, Inc.....

SECOND: It is incorporated under the laws of.....Delaware.....

THIRD: Character of business, briefly stated, is.....Broker-dealer.....

FOURTH: If foreign corporation, address of its principal office.....201 Highland Ave., Largo, FL 34640.....

FIFTH: Business address in Rhode Island.....None.....

SIXTH: Names and addresses of its directors and officers: (Attach rider if necessary)

Name

Office

Address (including number, street, zip code)

SEE ATTACHED

Director

Director

Director

President

Vice President

Secretary

Treasurer

SEVENTH: Number of Shares authorized:

No. of Shares

Class

Series

Par Value
or statement that
shares are without
par value

1000

Common

--

1.00

PAID

EIGHTH: Number of Shares issued:

No. of Shares

Class

Series

Par Value
or statement that
shares are without
par value

1000

Common

--

1.00

FEB 26 1993
SEC'Y OF STATE

Dated.....Feb. 22,..... 19 93.....

InterSecurities, Inc.

(Name of Corporation)

By.....

Title.....

President & CEO

(Report must be signed by an officer)

**INTERSECURITIES, INC.
OFFICERS AND DIRECTORS**

<u>Name</u>	<u>Home Address</u>	<u>Business Address</u>	<u>Title</u>
John R. Kenney	447 22nd Street Belleair Beach, FL 34635	201 Highland Ave. Largo, FL 34640	Chairman of the Board
G. John Hurley	1540 Gulf Blvd., PH04 Clearwater, FL 34630	201 Highland Ave. Largo, FL 34640	Director, President & CEO
Thomas E. Morgan	1575 Tuscola Road Clearwater, FL 34616	201 Highland Ave. Largo, FL 34640	Director
William H. Geiger	2340 Seton Lane Largo, FL 34644	201 Highland Ave. Largo, FL 34640	Director, Secretary
Thomas R. Moriarty	14146 Spoonbill Lane Clearwater, FL 34622	201 Highland Ave. Largo, FL 34640	Senior Vice President
Richard B. Franz, II	12315 Windtree Blvd. Seminole, FL 34642	201 Highland Ave. Largo, FL 34640	Treasurer
Donald L. Cudney	Westchester Lakes 2774 Countryside Blvd., #1 Clearwater, FL 34621	201 Highland Ave. Largo, FL 34640	Vice President
Terry L. Garvin	9424 Laura Anne Dr. Seminole, FL 34642	201 Highland Ave. Largo, FL 34640	Vice President
Gordon E. Hippner	2980 Eastland Blvd. Clearwater, FL 34621	201 Highland Ave. Largo, FL 34640	Vice President
Gerard P. Kirk	4575 Cove Circle St. Petersburg, FL 33708	201 Highland Ave. Largo, FL 34640	Vice President
Saralee S. Martin	1027 S. Durcan Ave. Clearwater, FL 34616	201 Highland Ave. Largo, FL 34640	Vice President
Stanley R. Orr	13180 84th Terr. No. Seminole, FL 34642	201 Highland Ave. Largo, FL 34640	Vice President

<u>Name</u>	<u>Home Address</u>	<u>Business Address</u>	<u>Title</u>
Cynthia L. Remley	1591 Gulf Blvd., #402 Clearwater, FL 34630	201 Highland Ave. Largo, FL 34640	Vice President, Counsel and Assistant Secretary
Pamela C. Dils	1707-A Belleair Forest Dr. Belleair, FL 34616	201 Highland Ave. Largo, FL 34640	Assistant Vice President and Assistant Secretary
Loralee Perry	220 Bellevue Blvd., #103 Belleair, FL 34616	201 Highland Ave. Largo, FL 34640	Assistant Vice President
Christopher G. Roetzer	1940 Ripon Drive Clearwater, FL 34624	201 Highland Ave. Largo, FL 34640	Assistant Vice President
Paul E. McEwen	15221 Berry Trail, #301 Dallas, TX 75248	5930 LBJ, Suite 400 Dallas, TX 75240	Regional Vice President
Michael V. Williams	9990 Westfield Blvd. Indianapolis, IN 46280	10333 N. Meridian St. Suite 160 Indianapolis, IN 46290	Regional Vice President

Filing Fee \$50.00

4508673 to be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
100 NORTH MAIN STREET
PROVIDENCE, RHODE ISLAND 02903

Corporate ID 59-2476008 33286 Annual Report for the year 1991

FIRST: The name of the corporation is InterSecurities, Inc.

SECOND: It is incorporated under the laws of Delaware

THIRD: Character of business, briefly stated, is Broker/Dealer

FOURTH: If foreign corporation, address of its principal office: 201 Highland Ave., Largo, FL

FIFTH: Business address in Rhode Island NONE

SIXTH: Names and addresses of its directors and officers: (Attach rider if necessary)

Name

Office

Address (including number, street, zip code)

SEE ATTACHED	Director	
	Director	
	Director	
	President	
	Vice President	
	Secretary	
	Treasurer	

SEVENTH: Number of Shares authorized:

No. of Shares

Class

Series

Par Value
or statement that
shares are without
par value

1,000

Common

Rec'd & Filed

FFB 28 1992

\$1.00

EIGHTH: Number of Shares issued:

No. of Shares

Class

Series

Par Value
or statement that
shares are without
par value

1,000

Common

\$1.00

Dated February 24 1992

InterSecurities, Inc.
(Name of Corporation)

By Thomas R. Mounting

Title Senior Vice President

(Report must be signed by an officer)

**InterSecurities, Inc.
OFFICERS AND DIRECTORS**

John R. Kenney	447 22nd Street Belleair Beach, FL 34635	201 Highland Avenue Largo, FL 34640	Chairman of the Board
Gifford John Hurley	400 Island Way P206 Clearwater, FL 34615	201 Highland Avenue Largo, FL 34640	Director, President & CEO
Thomas E. Morgan	1575 Tuscola Road Clearwater, FL 34616	201 Highland Avenue Largo, FL 34640	Director
Thomas R. Moriarty	14146 Spoonbill Lane Clearwater, FL 33520	201 Highland Avenue Largo, FL 34640	Senior Vice President
Richard B. Franz, II	12315 Windtree Blvd. Seminole, FL 34642	201 Highland Avenue Largo, FL 34640	Treasurer
Gerard P. Kirk	4575 Cove Circle St. Petersburg, FL 33708	201 Highland Avenue Largo, FL 34640	Vice President
Saralee S. Martin	1027 S. Duncan Avenue Clearwater, FL 34616	201 Highland Avenue Largo, FL 34640	Vice President
Christopher G. Roetzer	1940 Ripon Drive Clearwater, FL 34624	201 Highland Avenue Largo, FL 34640	Assistant Vice President
William H. Geiger	14519 Alejo Court Seminole, FL 34646	201 Highland Avenue Largo, FL 34640	Director, Secretary
Cynthia L. Remley	1591 Gulf Blvd. #402 Clearwater, FL 34630	201 Highland Avenue Largo, FL 34640	Vice President, Counsel, Assistant Secretary
Pamela C. Dils	12241 Eldon Drive Largo, FL 34644	201 Highland Avenue Largo, FL 34640	Assistant Secretary

Filing Fee \$50.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
100 NORTH MAIN STREET
PROVIDENCE, RHODE ISLAND 02903

Corporate ID 0033256 Annual Report for the year 1991

FIRST: The name of the corporation is I dex Distributors, Inc.

SECOND: It is incorporated under the laws of Delaware

THIRD: Character of business, briefly stated, is Broker/Dealer

FOURTH: If foreign corporation, address of its principal office 201 Highland Ave.
Largo, FL 34640

FIFTH: Business address in Rhode Island None

SIXTH: Names and addresses of its directors and officers: (Attach rider if necessary)

Name

Office

Address (including number, street, zip code)

Director

SEE ATTACHED

Director

Director

President

Vice President

Secretary

Treasurer

SEVENTH: Number of Shares authorized:

No. of Shares

Class

Series

Par Value
or statement that
shares are without
par value

1,000

Common

\$1.00

EIGHTH: Number of Shares issued:

No. of Shares

Class

Series

Par Value
or statement that
shares are without
par value

1,000

Common

\$1.00

Dated January 21 19 91

I dex Distributors, Inc.

(Name of Corporation)

By

Title President & CEO

(Report must be signed by an officer)

INDEX DISTRIBUTORS, INC.
OFFICERS AND DIRECTORS

John R. Kenney	447 22nd Street Bellair Beach, FL 34635	201 Highland Avenue Largo, FL 34640	Chairman of the Board
Gifford John Hurley	400 Island Way P206 Clearwater, FL 34615	201 Highland Avenue Largo, FL 34640	Director, President & CEO
Barry M. Alpert	14123 85th Avenue N. Seminole, FL 34646	201 Highland Avenue Largo, FL 34640	Director
Thomas E. Morgan	1575 Tuscola Road Clearwater, FL 34616	201 Highland Avenue Largo, FL 34640	Director
Thomas R. Moriarty	14146 Spoonbill Lane Clearwater, FL 33520	201 Highland Avenue Largo, FL 34640	Vice President
Richard B. Franz, II	12315 Windtree Blvd. Seminole, FL 34642	201 Highland Avenue Largo, FL 34640	Treasurer
Gerard P. Kirk	4575 Cove Circle St. Petersburg, FL 33708	201 Highland Avenue Largo, FL 34640	Vice President
SaraLee S. Martin	1027 S. Duncan Avenue Clearwater, FL 34616	201 Highland Avenue Largo, FL 34640	Vice President
Christopher G. Roetzer	1940 Ripon Drive Clearwater, FL 34624	201 Highland Avenue Largo, FL 34640	Assistant Vice President
William H. Gelger	14519 Alejo Court Seminole, FL 34646	201 Highland Avenue Largo, FL 34640	Secretary
Cynthia L. Remley	1591 Gulf Blvd. #402 Clearwater, FL 34630	201 Highland Avenue Largo, FL 3640	Assistant Secretary
Pamela C. Dils	12241 Eldon Drive Largo, FL 34644	201 Highland Avenue Largo, FL 34640	Assistant Secretary

Filing Fee \$15.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
100 NORTH MAIN STREET
PROVIDENCE, RHODE ISLAND 02903

Corporate ID 0033256

Annual Report for the year 1989

FIRST: The name of the corporation is PIONEER WESTERN DISTRIBUTORS, INC.

SECOND: It is incorporated under the laws of Delaware

THIRD: Character of business, briefly stated, is Broker/Dealer

FOURTH: If foreign corporation, address of its principal office 201 Highland Ave. Largo, FL 34640

FIFTH: Business address in Rhode Island NONE

SIXTH: Names and addresses of its directors and officers: (Attach rider if necessary)

Name	Office	Address (including number, street, zip code)
John R. Ke-ney	Director	201 Highland Ave., Largo, FL 34640
Harris C. Friedman	Director	"
Rand E. McNeal	Director	"
G. John Hurley	President	"
Thomas R. Moriarty	Vice President	"
Ellen F. Stoutamire	Secretary	"
Richard B. Franz, II	Treasurer	"

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
1,000	Common		\$1.00

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
1,000	Common		\$1.00

Dated February 12 19 90

Pioneer Western Distributors, Inc.
(Name of Corporation)

By

PAID

APR 20 1990

SECY. OF STATE

Filing Fee \$15.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations

CORPORATION DIVISION
100 NORTH MAIN STREET
PROVIDENCE, RHODE ISLAND 02903

Corporate ID 0033256

Annual Report for the year 1990

FIRST: The name of the corporation is PIONEER WESTERN DISTRIBUTORS, INC.

SECOND: It is incorporated under the laws of Delaware

THIRD: Character of business, briefly stated, is Broker/Dealer

FOURTH: If foreign corporation, address of its principal office 201 Highland Ave., Largo, FL 34640

FIFTH: Business address in Rhode Island NONE

SIXTH: Names and addresses of its directors and officers:

(Attach rider if necessary)

Address (including number, street, zip code)

Name	Office	Address (including number, street, zip code)
John R. Ke-ney	Director	201 Highland Ave., Largo, FL 34640
Harris C. Friedman	Director	"
Rand E. McNeal	Director	"
G. John Hurley	President	"
Thomas R. Moriarty	Vice President	"
Ellen F. Stoutamire	Secretary	"
Richard B. Franz, II	Treasurer	"

PAID

APR 20 1990

SECY. OF STATE

SEVENTH: Number of Shares authorized:

No. of Shares
1,000

Class
Common

Series

Par Value
or statement that
shares are without
par value

\$1.00

EIGHTH: Number of Shares issued:

No. of Shares
1,000

Class
Common

Series

Par Value
or statement that
shares are without
par value

\$1.00

Dated February 12 19 90

Pioneer Western Distributors, Inc.
(Name of Corporation)

By

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
100 NORTH MAIN STREET
PROVIDENCE, RHODE ISLAND 02903

1988

Corporate ID 0033256

Annual Report for the year 1989

FIRST: The name of the corporation is PIONEER WESTERN DISTRIBUTORS, INC.

SECOND: It is incorporated under the laws of Delaware

THIRD: Character of business, briefly stated, is Broker/Dealer

FOURTH: If foreign corporation, address of its principal office 201-Highland-Ave., Largo, FL-34640

FIFTH: Business address in Rhode Island NONE

SIXTH: Names and addresses of its directors and officers:

(Attach rider if necessary)

Name

Office

Address (including number, street, zip code)

SEE ATTACHED

Director

Director

Director

President

Vice President

Secretary

Treasurer

SEVENTH: Number of Shares authorized:

No. of Shares

Class

Series

Par Value
or statement that
shares are without
par value

1,000

Common

\$1.00

EIGHTH: Number of Shares issued:

No. of Shares

Class

Series

Par Value
or statement that
shares are without
par value

1,000

Common

\$1.00

PAID
MAR 17 1989
SECY OF STATE

Dated 2/17 19 89

Pioneer Western Distributors, Inc.
(Name of Corporation)

By

Title President & CEO

(Report must be signed by an officer)

PIONEER WESTERN DISTRIBUTORS, INC.

OFFICERS AND DIRECTORS

John R. Kenney	447 22nd Street Belleair Beach, FL 34635	201 Highland Ave. Largo, FL 34640	Chairman of the Board
Harris C. Friedman	11111 Biscayne Blvd. Miami, FL 33181	"	Director
G. John Hurley	400 Island Way P206 Clearwater, FL 34615	"	Director, President & CEO
Rand E. McNeal	3256 Heron Place Clearwater, FL 33520	"	Director
Thomas E. Morgan	1575 Tuscola Road Clearwater, FL 34616	"	Director
L. Frank Reagan	10520 Vonn Road Largo, FL 34644	"	Executive Vice President
Thomas R. Moriarty	14146 Spoonbill Lane Clearwater, FL 33520	"	Vice President
Ellen F. Stoutamire	1629 Golfview Road Belleair, FL 34616	"	Secretary
Richard B. Franz, II	12315 Windtree Blvd. Seminole, FL 34642	"	Treasurer
Gerard P. Kirk	4575 Cove Circle St. Petersburg, FL 33708	"	Vice President
Saralee S. Martin	1027 S. Duncan Avenue Clearwater, FL 34616	"	Vice President
Christopher G. Roetzer	1940 Ripon Drive Clearwater, FL 34624	"	Assistant Vice President

Filing Fee \$15.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
270 WESTMINSTER MALL
PROVIDENCE, RHODE ISLAND 02903

Corporate ID 33256 Annual Report for the year 1987

FIRST: The name of the corporation is PIONEER WESTERN DISTRIBUTORS, INC.

SECOND: It is incorporated under the laws of Delaware

THIRD: Character of business, briefly stated, is to act as broker/dealer in the sale of securities

FOURTH: If foreign corporation, address of its principal office - 600-Cleveland-St., Suite-800
Clearwater, FL 33515

FIFTH: Business address in Rhode Island Statutory Only

SIXTH: Names and addresses of its directors and officers:

(Attach rider if necessary)

Name

Office

Address (including number, street, zip code)

SCHEDULE ATTACHED

Director

Director

Director

President

Vice President

Secretary

Treasurer

SEVENTH: Number of Shares authorized:

No. of Shares

Class

Series

1,000

Common

Par Value
or statement that
shares are without
par value

\$1.00

EIGHTH: Number of Shares issued:

No. of Shares

Class

Series

1,000

Common

Par Value
or statement that
shares are without
par value

\$1.00

Dated 2/24 19 87

Pioneer Western Distributors, Inc.

(Name of Corporation)

By

Title Treasurer

(Report must be signed by an officer)

PIONEER WESTERN DISTRIBUTORS, INC.

OFFICERS & DIRECTORS

V. Raymond Ferrara	147 Aleta Drive Belleair Beach, FL 33535	Post Office Box 5068 Clearwater, FL 33518	President & Director
W. Scane Bowler	4545 Harbor Hills Drive Largo, FL 33540	Post Office Box 5068 Clearwater, FL 33518	Director
John R. Kenney	447 22nd Street Belleair Beach, FL 33535	Post Office Box 5068 Clearwater, FL 33518	Director
Robert J. Druten	2004 W. 50th Street Shawnee Missions, KS 66205	Post Office Box 5068 Clearwater, FL 33518	Vice President & Director
Alfred R. Jost	203 Palm Drive Harbor Bluffs, FL 33540	Post Office Box 5068 Clearwater, FL 33518	Senior Vice President
Sara S. Martin	1027 Duncan Avenue Clearwater, FL 33516	Post Office Box 5068 Clearwater, FL 33518	Assistant Vice President
James A. Rowe	13696-100th Place, North Seminole, FL 33542	Post Office Box 5068 Clearwater, FL 33518	Secretary
C. Richard Meckley	1103 Sedeva Street Clearwater, FL 33515	Post Office Box 5068 Clearwater, FL 33518	Treasurer

Filing Fee \$15.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
270 WESTMINSTER MALL
PROVIDENCE, RHODE ISLAND 02903

Corporate ID 33256 Annual Report for the year 1986

FIRST: The name of the corporation is PIONEER WESTERN DISTRIBUTORS, INC.

SECOND: It is incorporated under the laws of Delaware

THIRD: Character of business, briefly stated, is sole underwriter for IDEX Fund, Inc. an
open end mutual fund

FOURTH: If foreign corporation, address of its principal office 600 Cleveland Street, Suite 800
Clearwater, FL 33515

FIFTH: Business address in Rhode Island 111 Westminster St., Providence, RI 02903

SIXTH: Names and addresses of its directors and officers: (Attach rider if necessary)

Name Office Address (including number, street, zip code)

SCHEDULE ATTACHED

Director

Director

Director

President

Vice President

Secretary

Treasurer

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
1,000	Common	--	\$1.00

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
1,000	Common	--	\$1.00

Dated February 13 19 86 Pioneer Western Distributors, Inc.

MAR 25 1986

(Report must be signed by an officer)

By [Signature]
Title Treasurer

PIONEER WESTERN DISTRIBUTORS, INC.

OFFICERS & DIRECTORS

Peter D. Jones	4420 Sevilla Street Tampa, FL 33629	Post Office Box 5068 Clearwater, FL 33518	President & Director
W. Scane Bowler	4545 Harbor Hills Drive Largo, FL 33540	Post Office Box 5068 Clearwater, FL 33518	Director
John R. Kenney	447 22nd Street Belleair Beach, FL 33535	Post Office Box 5068 Clearwater, FL 33518	Director
Robert J. Druten	2004 W. 50th Street Shawnee Missions, KS 66205	Post Office Box 5068 Clearwater, FL 33518	Vice President & Director
Alfred R. Jost	203 Pain Drive Harbor Bluffs, FL 33540	Post Office Box 5068 Clearwater, FL 33518	Senior Vice President
Sara S. Martin	1027 Duncan Avenue Clearwater, FL 33516	Post Office Box 5068 Clearwater, FL 33518	Assistant Vice President
James A. Rowe	13696-100th Place, North Seminole, FL 33542	Post Office Box 5068 Clearwater, FL 33518	Secretary
C. Richard Meckley	1103 Sedeva Street Clearwater, FL 33515	Post Office Box 5068 Clearwater, FL 33518	Treasurer