



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
Office of the Secretary of State
Matthew A. Brown, Secretary of State

Corporations Division
100 North Main Street
Providence, RI 02903-1335
401.222.3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2005

Filing Period: January 1 - March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED OR PRINTED IN BLACK)

1. Corporate ID No. 23473		2. Name of Corporation Rothschild Inc.									
3. Street Address Principal Business Office 1251 Avenue of the Americas		City New York		State New York		Zip 10020					
4. Business Phone No. 212 403-3500		5. State of Incorporation DELAWARE				6. SIC Code 6064					
7. Brief Description of the Character of Business Conducted in Rhode Island BROKER DEALER ENGAGED IN INVESTMENT BANKING, CAPITAL MARKETS AND FINANCIAL ADVISORY SERVICES											
8. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) ☒ FILL IN SPACES BEFORE USING ATTACHMENTS											
President Name CEO Gerald Rosenfeld				Vice President Name David N. Audi							
Street Address 110 Riverside Drive				Street Address 94 Parkway Road							
City New York		State NY		Zip 10024		City Bronxville		State NY		Zip 10708	
Secretary Name Asst Secretary Judith R. MacDonald				Treasurer Name CFO Paul H. Jenssen							
Street Address 245 West 104th Street				Street Address 23 Searingtown Road							
City New York		State NY		Zip 10025		City Searingtown		State NY		Zip 11507	
9. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS											
Director Name Gerald Rosenfeld				Director Name Roger H. Kimmel							
Street Address 110 Riverside Drive				Street Address 1046 Lake Avenue							
City New York		State NY		Zip 10024		City Greenwich		State CT		Zip 06831	
Director Name Paul H. Jenssen				Director Name							
Street Address 23 Searingtown Road				Street Address							
City Searingtown		State NY		Zip 11507		City		State		Zip	
10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT) ☐								11. SHARES ISSUED ("X" BOX FOR ATTACHMENT) ☐			
AUTHORIZED SHARES				ISSUED SHARES							
Number of Shares		Class/Series		Par Value		Number of Shares		Class/Series		Par Value	
2,000 COMM \$10.00 PAR VALUE						856		Common		\$10.00	

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



23473

File Date	2-22-05
Check No.	015596
By:	RB-
FOR SECRETARY OF STATE USE ONLY	

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Judith R. MacDonald 2/16/2005
Signature of Officer Date
Judith R. MacDonald
Print or Type Name of Officer
Managing Director & Asst Secretary
Title of Officer

COMPLETE LIST OF OFFICERS
& DIRECTORS

*BUSINESS ADDRESS

1251 Avenue of the Americas
New York, NY 10020

January 1, 2005

ROTHSCHILD INC.

2005

Officers & Members of the Board of Directors

		<u>RESIDENCE ADDRESS</u>
* Gerald Rosenfeld	Chief Executive Officer	110 Riverside Drive New York, NY 10024
* Roger H. Kimmel	Vice Chairman	1046 Lake Avenue Greenwich, CT 06831
Yves-André Istel	Senior Advisor	146 Central Park West New York, NY 10023
Jewelle W. Bickford	Senior Managing Director	969 Fifth Avenue New York, NY 10021
* Paul H. Jenssen	Senior Managing Director & Chief Financial Officer	23 Scaringtown Road Scaringtown, NY 11507
Neil A. Augustine	Managing Director	24 Desiree Drive Greenwich, CT 06830
René-Pierre Azria	Managing Director	20 Sutton Place South New York, NY 10022
Claudio I. Baldovino	Managing Director	522 East 87 th Street New York, NY 10128
Michael Barr	Managing Director	1130 Park Avenue New York, NY 10128
J. Elizabeth Bradham	Managing Director	221 East 62 nd Street New York, NY 10021
Lloyd E. Campbell	Managing Director	44 Greenway Terrace Forest Hills, NY 11375
John M. Carroll	Managing Director	43 Chichester Road New Canaan, CT 06840

* Member of the
Board of Directors

ROTHSCHILD INC.
2005
Officers & Members of the Board of Directors

Stacy S. Dick	Managing Director	145 West 67 th Street New York, NY 11023
N. Hugo H. Dryland	Managing Director	7701 Arrowood Court Bethesda, MD 20817
James M. Griffin	Managing Director	159 E. Allendale Road Saddle River, NJ 07458
Robert L. Goodman	Managing Director	31 Field Point Drive Greenwich, CT 06830
Randy L. Hazelton	Managing Director	370 Central Park West New York, NY 10025
Stephen S. Ledoux	Managing Director	205 Old Post Road Bedford, NY 10506-1010
Judith R. MacDonald	Managing Director & Assistant Secretary	245 West 104th Street New York, NY 10025
Scott G. MacLeod	Managing Director	501 East 87 th Street New York, NY 10128
James H. MacNaughton	Managing Director	149 East 73 rd Street New York, NY 10021
Michael G. Meyers	Managing Director	10 Northern Avenue Bronxville, NY 10708
Kurt R. Oehlberg	Managing Director	21 Calais Road Mendham, NJ 07945
David B. Peterson	Managing Director	25 West 81 st Street New York, NY 10024
Paul J. Prendergast	Managing Director	164 Boulevard Pelham, NY 10803
David L. Resnick	Managing Director	300 Central Park West New York, NY 10024
Matthew L.C. Savage	Managing Director	171 West 71st Street New York, NY 10023

ROTHSCHILD INC.
2005
Officers & Members of the Board of Directors

Todd R. Snyder	Managing Director	562 West End Avenue New York, NY 10024
Pierre Tattevin	Managing Director	c/o Rothschild Inc. 1251 Avenue of the Americas New York, NY 10020
Steven H. Tishman	Managing Director	1160 Park Avenue New York, NY 10128
Ronald J. Vance	Managing Director	9710 Beman Woods Way Potomac, MD 20854
Adam Young	Managing Director	Meadow End, East Dean Road Lockerley, Hampshire SO51 8JQ England
Elizabeth A. Adamson	Director/Human Resources	19 Joshua Slocum Dock Stamford, CT 06902
Pierre J. Albouy	Director/Investment Banking	55 Chatsworth Avenue Larchmont, NY 10538
Marshall Baillicu	Director/NMR Washington	2015 Kalorama Road Washington, DC 20009
Kathleen M. Burke	Director/Equity Private Placement	17 West 71 st Street New York, NY 10023
James T. Denton	Director/M&A	142 Shore Road Old Greenwich, CT 06870
Bernard J. Douton, Jr.	Director/Restructuring	67 Morton Street New York, NY 10014
Ian D'Souza	Director/M&A	250 Mercer Street New York, NY 10012
Salvatore Focella	Director/Tax & Finance	56 Somerset Avenue Garden City, NY 11530
Cynthia A. Furlinger	Director/Information Center	100 West 87 th Street New York, NY 10024

ROTHSCHILD INC.
2005
Officers & Members of the Board of Directors

James S. Gilbert	Director/NMR Washington	9838 Faust Drive Vienna, VA 22182
Jonathan F. Jameson	Director/Equity Private Placement	33 Scofield Lane New Canaan, CT 06840
Kevin Joyce	Director/NMR Washington	3000 Washington Blvd., # 401 Arlington, VA 22201
Jerome H. Kaiser	Director/ITT	511 Melrose Place South Orange, NJ 07079
John B. Ryan	Director/Realty	320 Buckingham Forest Court Roswell, GA 30075
David N. Audi	Vice President	94 Parkway Road, Apt. 3D Bronxville, NY 10708
Azra M. Azaham	Vice President	130 West 15 th Street New York, NY 10011
Nigel B.D. Bell	Vice President	10 East 29 th Street, Apt. #15J New York, NY 10016
Peter M. Boukouzis	Vice President	8 W. Home Place Irvington, NY 10533
William D. Cannon	Vice President	525 West 49 th Street New York, NY 10019
John T. Chang	Vice President	14115 Stonecutter Drive North Potomac, MD 20878
Thomas P. Daly	Vice President	72 Colchester Road New Providence, NJ 07974
Jared J. Dermont	Vice President	301 West 57 th Street, Apt. 25D New York, NY 10019
Joseph Fuyuno	Vice President	333 East 45 th Street New York, NY 10017
Daniel C. Gilligan	Vice President	14 Derby Road Port Washington, NY 11050

ROTHSCHILD INC.
2005
Officers & Members of the Board of Directors

Mary Ann Kalambay	Vice President	500 2nd Avenue New York, NY 10016
Brian W. Keaveney	Vice President	4 Inverness Court Short Hills, NJ 07078
Alysa B. Kurganska	Vice President	145 West 71 st Street New York, NY 10023
Kerry A. McKeon	Vice President	5901 Montrose Road Rockville, MD 20852
Christa M. McNally	Vice President & Controller	563 1 st Street Brooklyn, NY 11215
Walter J. McNamara	Vice President	40 Dean Street Brooklyn, NY 11201
Nilofar Niazi	Vice President	220 Riverside Blvd. New York, NY 10069
Homer D. Parkhill	Vice President	1755 York Avenue New York, NY 10128
William R. Shaw, III	Vice President	161 West 15 th Street New York, NY 10011
Sooah Shin	Vice President	4 Park Avenue South New York, NY 10016
Suresh Varadarajan	Vice President	28 East 14 th Street New York, NY 10003
Sherri A. Williams	Vice President	20 West 64 th Street New York, NY 10023
Ira L. Wolfson	Vice President	565 Park Avenue New York, NY 10021
Philippe D.X. Zrihen	Vice President	400 Chambers Street New York, NY 10282
Diane A. DiGregorio	Assistant Vice President	8 Brookfield Lane E. Stroudsburg, PA 18301

ROTHSCHILD INC.
2005
Officers & Members of the Board of Directors

Sarah Gieske	Assistant Vice President	147 State Street Brooklyn, NY 11201
Robert de Rothschild	Assistant Vice President	c/o Rothschild Inc. 1251 Avenue of the Americas New York, NY 10020
Tara K. Sakis	Assistant Vice President	149-37 15 th Drive Whitestone, NY 11357

BUSINESS CORPORATION

**STATEMENT OF CHANGE OF REGISTERED OFFICE
BY THE REGISTERED AGENT**

Pursuant to the provisions of Sections 7-1.1-12(d) or 7-1.1-107(d) of the General Laws, 1956, as amended, the undersigned registered agent submits the following statement for the purpose of changing the agent's business address and the address of the registered office of the corporation named herein to another place within the state:

1. The name of the corporation is

Rothschild Inc.

2. The address of the registered office as PRESENTLY shown in the corporate records on file with the Rhode Island Secretary of State is:

170 Westminster Street
Suite 900
Providence, RI 02903

3. The address of the NEW registered office is:

222 Jefferson Boulevard
Suite 200
Warwick, RI 02888

4. The change of address of the registered office shall become effective upon the filing of this statement, or on _____
(a date not prior to, nor more than 30 days after, filing this statement)

5. A copy of this Statement has been mailed to the corporation.

Dated: June 14, 2004.

UNITED STATES CORPORATION COMPANY

John H. Pelletier

John H. Pelletier
Assistant Vice President

FILED
JUN 14 2004
By *WCH*



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
Office of the Secretary of State
Matthew A. Brown, Secretary of State

Corporations Division
100 North Main Street
Providence, RI 02903-1335
401.222.3040

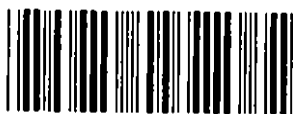
PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2004

Filing Period: January 1 - March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED OR PRINTED IN BLACK)

1. Corporate ID No. 23473		2. Name of Corporation Rothschild Inc.									
3. Street Address Principal Business Office 1251 Avenue of the Americas		City New York		State New York		Zip 10020					
4. Business Phone No. 212 403-3500		5. State of Incorporation DELAWARE				6. SIC Code 6064					
7. Brief Description of the Character of Business Conducted in Rhode Island BROKER DEALER ENGAGED IN INVESTMENT BANKING, CAPITAL MARKETS AND FINANCIAL ADVISORY SERVICES											
8. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) ☒ FILL IN SPACES BEFORE USING ATTACHMENTS											
President Name Gerald Rosenfeld				Vice President Name David N. Audi							
Street Address 110 Riverside Drive				Street Address 94 Parkway Road							
City New York		State NY		Zip 10024		City Ronnsville		State NY		Zip 10708	
Secretary Name (Assistant Secretary) Judith R. MacDonald				Treasurer Name CFO Paul H. Jenssen							
Street Address 245 West 104th Street				Street Address 23 Searingtown Road							
City New York		State NY		Zip 10025		City Searingtown		State NY		Zip 11507	
9. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS											
Director Name Baron David de Rothschild				Director Name Gerald Rosenfeld							
Street Address c/o Rothschild & Cie Banque 17 Avenue Matignon				Street Address 110 Riverside Drive							
City Paris		State France		Zip 75008		City New York		State NY		Zip 10024	
Director Name Paul H. Jenssen				Director Name							
Street Address 23 Searingtown Road				Street Address							
City Searingtown		State NY		Zip 11507		City		State		Zip	
10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT) ☐								11. SHARES ISSUED ("X" BOX FOR ATTACHMENT) ☐			
AUTHORIZED SHARES				ISSUED SHARES							
Number of Shares		Class/Series		Par Value		Number of Shares		Class/Series		Par Value	
2,000 COMM \$10.00 PAR VALUE						856		Common		\$10.000	

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



* 2 3 4 7 3 *

File Date	2-27-04
Check No.	10158
By:	JUP
FOR SECRETARY OF STATE USE ONLY	

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Judith MacDonald 02/25/2004
Signature of Officer Date

Judith R. MacDonald
Print or Type Name of Officer
Managing Director & Asst Secretary
Title of Officer

BUSINESS ADDRESS

1251 Avenue of the Americas
New York, NY 10020

February 5, 2004

ROTHSCHILD INC.

2004

Officers & Members of the Board of Directors

RESIDENCE ADDRESS

* Baron David de Rothschild	Non-Executive Chairman of the Board & Director	c/o Rothschild & Cie Banque 17 Avenue Matignon 75008 Paris, France
* Gerald Rosenfeld	Chief Executive Officer	110 Riverside Drive New York, NY 10024
Roger H. Kimmel	Vice Chairman	1046 Lake Avenue Greenwich, CT 06831
Yves-André Istel	Senior Advisor	146 Central Park West New York, NY 10023
Jewelle W. Bickford	Senior Managing Director	969 Fifth Avenue New York, NY 10021
* Paul H. Jenssen	Senior Managing Director & Chief Financial Officer	23 Scaringtown Road Scaringtown, NY 11507
Neil A. Augustine	Managing Director	24 Desiree Drive Greenwich, CT 06830
René-Pierre Azria	Managing Director	20 Sutton Place South New York, NY 10022
Claudio I. Baldovino	Managing Director	522 East 87 th Street New York, NY 10128
Michael Barr	Managing Director	1164 Deerfield Road Water Mill, NY 11976
J. Elizabeth Bradham	Managing Director	221 East 62 nd Street New York, NY 10021
Lloyd E. Campbell	Managing Director	44 Greenway Terrace Forest Hills, NY 11375
John M. Carroll	Managing Director	43 Chichester Road New Canaan, CT 06840

* Member of the
Board of Directors

ROTHSCHILD INC.
2004
Officers & Members of the Board of Directors

Stacy S. Dick	Managing Director	187 Old Mill Road Greenwich, CT 06831
N. Hugo H. Dryland	Managing Director	7701 Arrowood Court Bethesda, MD 20817
James M. Griffin	Managing Director	159 E. Allendale Road Saddle River, NJ 07458
Robert L. Goodman	Managing Director	31 Field Point Drive Greenwich, CT 06830
Randy L. Hazelton	Managing Director	370 Central Park West New York, NY 10025
Stephen S. Ledoux	Managing Director	205 Old Post Road Bedford, NY 10506-1010
Judith R. MacDonald	Managing Director & Assistant Secretary	245 West 104th Street New York, NY 10025
Scott G. MacLeod	Managing Director	501 East 87 th Street New York, NY 10128
James H. MacNaughton	Managing Director	149 East 73 rd Street New York, NY 10021
Michael G. Meyers	Managing Director	221 West 82 nd Street New York, NY 10024
Kurt R. Oehlberg	Managing Director	21 Calais Road Mendham, NJ 07945
David B. Peterson	Managing Director	25 West 81 st Street New York, NY 10024
Paul J. Prendergast	Managing Director	164 Boulevard Pelham, NY 10803
David L. Resnick	Managing Director	300 Central Park West New York, NY 10024
Matthew L.C. Savage	Managing Director	171 West 71st Street New York, NY 10023
Timothy J. Small	Managing Director	9 Barberry Lane Short Hills, NJ 07078

ROTHSCHILD INC.
2004
Officers & Members of the Board of Directors

Todd R. Snyder	Managing Director	562 West End Avenue New York, NY 10024
Pierre Tattevin	Managing Director	c/o Rothschild Inc. 1251 Avenue of the Americas New York, NY 10020
Steven H. Tishman	Managing Director	1160 Park Avenue New York, NY 10128
Ronald J. Vance	Managing Director	9710 Beman Woods Way Potomac, MD 20854
Adam Young	Managing Director	Meadow End, East Dean Road Lockerley, Hampshire SO51 8JQ England
Elizabeth A. Adamson	Director/Human Resources	19 Joshua Slocum Dock Stamford, CT 06902
Pierre J. Albouy	Director/Investment Banking	55 Chatsworth Avenue Larchmont, NY 10538
Marshall Baillicu	Director/NMR Washington	2015 Kalorama Road Washington, DC 20009
Kathleen M. Burke	Director/Equity Private Placement	17 West 71 st Street New York, NY 10023
James T. Denton	Director/M&A	142 Shore Road Old Greenwich, CT 06870
Richard H. Ennis	Director/Investment Banking	23 School House Lane Morristown, NJ 07960
Salvatore Focella	Director/Tax & Finance	56 Somerset Avenue Garden City, NY 11530
Cynthia A. Furlinger	Director/Information Center	100 West 87 th Street New York, NY 10024
James S. Gilbert	Director/NMR Washington	9838 Faust Drive Vienna, VA 22182
Robert Gray	Director/M&A	222 East 39 th Street, Apt. 24F New York, NY 10016

ROTHSCHILD INC.
2004
Officers & Members of the Board of Directors

Jonathan F. Jameson	Director/Equity Private Placement	33 Scofield Lane New Canaan, CT 06840
Kevin Joyce	Director/NMR Washington	3000 Washington Blvd., # 401 Arlington, VA 22201
Jerome H. Kaiser	Director/ITT	511 Melrose Place South Orange, NJ 07079
John B. Ryan	Director/Realty	320 Buckingham Forest Court Roswell, GA 30075
David N. Audi	Vice President	94 Parkway Road, Apt. 3D Bronxville, NY 10708
Nigel B.D. Bell	Vice President	10 East 29 th Street, Apt. #15J New York, NY 10016
Peter M. Boukouzis	Vice President	8 W. Home Place Irvington, NY 10533
William D. Cannon	Vice President	525 West 49 th Street New York, NY 10019
John T. Chang	Vice President	14115 Stonecutter Drive North Potomac, MD 20878
Thomas P. Daly	Vice President	72 Colchester Road New Providence, NJ 07974
Jared J. Dermont	Vice President	301 West 57 th Street, Apt. 25D New York, NY 10019
Ian D'Souza	Vice President	250 Mercer Street New York, NY 10012
Joseph Fuyuno	Vice President	333 East 45 th Street New York, NY 10017
Daniel C. Gilligan	Vice President	14 Derby Road Port Washington, NY 11050
Mary Ann Kalambay	Vice President	500 2nd Avenue New York, NY 10016

ROTHSCHILD INC.
2004
Officers & Members of the Board of Directors

Brian W. Keaveney	Vice President	8 Meadowbrook Road Short Hills, NJ 07078
Kerry A. McKeon	Vice President	5901 Montrose Road Rockville, MD 20852
Christa M. McNally	Vice President & Controller	78-11 Key Forest Lane Forest Hills, NY 11375
Elizabeth M. Menke	Vice President	254 West 10 th Street New York, NY 10014
Nilofar Niazi	Vice President	220 Riverside Blvd. New York, NY 10069
Homer D. Parkhill	Vice President	1755 York Avenue New York, NY 10128
Noah C. Roy	Vice President	19 Greenwich Avenue New York, NY 10014
William R. Shaw, III	Vice President	161 West 15 th Street New York, NY 10011
Sooah Shin	Vice President	4 Park Avenue South New York, NY 10016
Sherri A. Williams	Vice President	20 West 64 th Street New York, NY 10023
Ira L. Wolfson	Vice President	565 Park Avenue New York, NY 10021
Sarah Gieske	Asst. Vice President	147 State Street Brooklyn, NY 11201
Robert de Rothschild	Asst. Vice President	c/o Rothschild Inc. 1251 Avenue of the Americas New York, NY 10020

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2003
Filing Period: January 1-March 1 • Filing Fee: \$50.00



(FORM MUST BE TYPED OR PRINTED IN BLACK)

1. Corporate ID No. 2. Name of Corporation

23473

Rothschild Inc.

3. Street Address Principal Business Office

1251 Avenue of the Americas

City

New York

State

New York

Zip

10020

4. Business Phone No.

212 403-3500

5. State of Incorporation

DELAWARE

6. SIC Code

6064

7. Brief Description of the Character of Business Conducted in Rhode Island Broker dealer engaged in investment banking, capital markets and financial advisory services.

8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT) X FILL IN SPACES BEFORE USING ATTACHMENTS

President Name CEO

Gerald Rosenfeld

Street Address

110 Riverside Drive

City

State

Zip

New York

NY

10024

Secretary Name (Assistant Secretary)

Judith R. MacDonald

Street Address

245 West 104th Street

City

State

Zip

New York

NY

10025

Vice President Name

David N. Audi

Street Address

94 Parkway Road

City

State

Zip

Bronxville

NY

10708

Treasurer Name CFO

Paul H. Jenssen

Street Address

23 Searingtown Road

City

State

Zip

Searingtown

NY

11507

9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT) FILL IN SPACES BEFORE USING ATTACHMENTS

Director Name

Baron David de Rothschild

Street Address c/o Rothschild & Cie Banque

17 Avenue Matignon

City

State

Zip

Paris

France

75008

Director Name

Paul H. Jenssen

Street Address

23 Searingtown Road

City

State

Zip

Searingtown

NY

11507

Director Name

Gerald Rosenfeld

Street Address

110 Riverside Drive

City

State

Zip

New York

NY

10024

Director Name

Street Address

City

State

Zip

10. SHARES AUTHORIZED (*X* BOX FOR ATTACHMENT)

AUTHORIZED SHARES

Number of Shares

Class/Series

Par Value

2,000 COMM \$10.00 PAR VALUE

11. SHARES ISSUED (*X* BOX FOR ATTACHMENT)

ISSUED SHARES

Number of Shares

Class/Series

Par Value

856

Common

\$10.00

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



* 2 3 4 7 3 *

File Date:

3.10.03

Check No.:

4644

By:

JR

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Judith R. MacDonald

03/06/2003

Signature of Officer

Date

Judith R. MacDonald

Print or Type Name of Officer

Managing Director & Asst. Secretary

Title of Officer

Form 630 12/02

BUSINESS ADDRESS

1251 Avenue of the Americas
New York, NY 10020

January 2, 2003

ROTHSCHILD INC.**2003****Officers & Members of the Board of Directors****RESIDENCE ADDRESS**

* Baron David de Rothschild	Non-Executive Chairman of the Board & Director	c/o Rothschild & Cie Banque 17 Avenue Matignon 75008 Paris, France
* Gerald Rosenfeld	Chief Executive Officer	110 Riverside Drive New York, NY 10024
Roger H. Kimmel	Vice Chairman	1046 Lake Avenue Greenwich, CT 06831
Yves-André Istel	Senior Advisor	146 Central Park West New York, NY 10023
Jewelle W. Bickford	Senior Managing Director	969 Fifth Avenue New York, NY 10021
* Paul H. Janssen	Senior Managing Director & Chief Financial Officer	23 Searingtown Road Searingtown, NY 11507
Neil A. Augustine	Managing Director	24 Desiree Drive Greenwich, CT 06830
René-Pierre Azria	Managing Director	20 Sutton Place South New York, NY 10022
Claudio I. Baldovino	Managing Director	522 East 87 th Street New York, NY 10128
J. Elizabeth Bradham	Managing Director	221 East 62 nd Street New York, NY 10021
Lloyd E. Campbell	Managing Director	44 Greenway Terrace Forest Hills, NY 11375
John M. Carroll	Managing Director	43 Chichester Road New Canaan, CT 06840

* Member of the
Board of Directors

ROTHSCHILD INC.
2003
Officers & Members of the Board of Directors

N. Hugo H. Dryland	Managing Director	7701 Arrowood Court Bethesda, MD 20817
James M. Griffin	Managing Director	159 E. Allendale Road Saddle River, NJ 07458
Randy L. Hazelton	Managing Director	370 Central Park West New York, NY 10025
Stephen S. Ledoux	Managing Director	1059 Quaker Bridge Road East Croton, NY 10520
Judith R. MacDonald	Managing Director & Assistant Secretary	245 West 104th Street New York, NY 10025
James H. MacNaughton	Managing Director	149 East 73 rd Street New York, NY 10021
Michael G. Meyers	Managing Director	221 West 82 nd Street New York, NY 10024
Reginald J. Mills, Jr.	Managing Director	116 Hamilton Avenue New Rochelle, NY 10801
Kurt R. Ochlberg	Managing Director	21 Calais Road Mendham, NJ 07945
Paul J. Prendergast	Managing Director	164 Boulevard Pelham, NY 10803
David L. Resnick	Managing Director	300 Central Park West New York, NY 10024
Matthew L.C. Savage	Managing Director	171 West 71st Street New York, NY 10023
Timothy J. Small	Managing Director	7 Forest Drive North Short Hills, NJ 07078
Todd R. Snyder	Managing Director	562 West End Avenue New York, NY 10024
Pierre Tattevin	Managing Director	c/o Rothschild Inc. 1251 Avenue of the Americas New York, NY 10020

ROTHSCHILD INC.
2003
Officers & Members of the Board of Directors

Steven H. Tishman	Managing Director	1160 Park Avenue New York, NY 10128
Ronald J. Vance	Managing Director	9710 Beman Woods Way Potomac, MD 20854
Edwin E. Wells, Jr.	Managing Director	1111 Caroline, Apt. 2401 Houston, Texas 77010
Adam Young	Managing Director	Meadow End, East Dean Road Lockerley, Hampshire SO51 8JQ England
Pierre J. Albouy	Director/Investment Banking	55 Chatsworth Avenue Larchmont, NY 10538
Marshall Baillieu	Director/NMR Washington	2015 Kalorama Road Washington, DC 20009
Kathleen M. Burke	Director/Equity Private Placement	17 West 71 st Street New York, NY 10023
James T. Denton	Director/M&A	142 Shore Road Old Greenwich, CT 06870
Richard H. Ennis	Director/Investment Banking	23 School House Lane Morristown, NJ 07960
Salvatore Focella	Director/Tax & Finance	56 Somerset Avenue Garden City, NY 11530
James S. Gilbert	Director/NMR Washington	9838 Faust Drive Vienna, VA 22182
Robert Gray	Director/M&A	222 East 39 th Street, Apt. 24F New York, NY 10016
Jonathan F. Jameson	Director/Equity Private Placement	33 Scofield Lane New Canaan, CT 06840
Kevin Joyce	Director/NMR Washington	3800 North Fairfax Drive, #310 Arlington, VA 22203
Jerome H. Kaiser	Director/ITT	511 Melrose Place South Orange, NJ 07079

ROTHSCHILD INC.
2003
Officers & Members of the Board of Directors

Elizabeth A. Keegan	Director/Human Resources	9214 Ridge Boulevard Brooklyn, NY 11209
Scott Mangan	Director/Structured Finance/ Securitization Group	43 Old Highway Wilton, CT 06897
R. Blake Murphy	Director/Structured Finance/ Securitization Group	59 Irwin Place Huntington, NY 11743
David B. Peterson	Director/M&A	25 West 81 st Street New York, NY 10024
John B. Ryan	Director/Realty	320 Buckingham Forest Court Roswell, GA 30075
David N. Audi	Vice President	94 Parkway Road, Apt. 3D Bronxville, NY 10708
Nigel B.D. Bell	Vice President	10 East 29 th Street, Apt. #15J New York, NY 10016
William D. Cannon	Vice President	525 West 49 th Street New York, NY 10019
John T. Chang	Vice President	14115 Stonecutter Drive North Potomac, MD 20878
Thomas P. Daly	Vice President	72 Colchester Road New Providence, NJ 07974
Jared J. Dermont	Vice President	301 West 57 th Street, Apt. 25D New York, NY 10019
Ian D'Souza	Vice President	250 Mercer Street New York, NY 10012
Cynthia A. Furlinger	Vice President	100 West 87 th Street New York, NY 10024
Daniel C. Gilligan	Vice President	14 Derby Road Port Washington, NY 11050
Mary Ann Kalambay	Vice President	500 2nd Avenue New York, NY 10016

ROTHSCHILD INC.
2003
Officers & Members of the Board of Directors

Kerry A. McKeon	Vice President	5901 Montrose Road Rockville, MD 20852
Elizabeth M. Menke	Vice President	254 West 10 th Street New York, NY 10014
Homer D. Parkhill	Vice President	1755 York Avenue New York, NY 10128
Noah C. Roy	Vice President	19 Greenwich Avenue New York, NY 10014
William R. Shaw, III	Vice President	161 West 15 th Street New York, NY 10011
Sooah Shin	Vice President	4 Park Avenue South New York, NY 10016
Sherri A. Williams	Vice President	151 East 31 st Street New York, NY 10016
Ira L. Wolfson	Vice President	155 East 50 th Street New York, NY 10022
Christa M. McNally	Asst. Vice President & Controller	78-11 Key Forest Lane Forest Hills, NY 11375
Robert de Rothschild	Asst. Vice President	c/o Rothschild Inc. 1251 Avenue of the Americas New York, NY 10020
Sarah Knutson	Asst. Vice President	147 State Street Brooklyn, NY 11201



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

Edward S. Inman, III, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-222-3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2002

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)



1. Corporate ID No.

23473

2. Name of Corporation

Rothschild Inc.

3. Street Address Principal Business Office

1251 Avenue of the Americas

City

New York

State

New York

Zip

10020

4. Business Phone No.

212 403-3500

5. State of Incorporation

DELAWARE

6. SIC Code

6064

7. Brief Description of the Character of Business Conducted in Rhode Island

Broker dealer engaged in investment banking,
capital markets and financial advisory services.

8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT) **XX FILL IN SPACES BEFORE USING ATTACHMENTS**

President Name

CEO

Gerald Rosenfeld

Vice President Name

Nigel B.D. Bell

Street Address

110 Riverside Drive

Street Address

10 East 29th Street

City

New York

State

NY

Zip

10024

City

New York

State

NY

Zip

10016

Secretary Name

Asst

Judith R. MacDonald

Treasurer Name

CFO

Paul H. Jenssen

Street Address

245 West 104th Street

Street Address

23 Searingtown Road

City

New York

State

NY

Zip

10025

City

Searingtown

State

NY

Zip

11507

9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT) **XX FILL IN SPACES BEFORE USING ATTACHMENTS**

Director Name

Baron David de Rothschild

Director Name

Yves-Andre Istel

Street Address

c/o Rothschild & Cie Banque

17 Avenue Matignon

Street Address

146 Central Park West

City

Paris

State

France

Zip

75008

City

New York

State

NY

Zip

10023

Director Name

Gerald Rosenfeld

Director Name

Paul H. Jenssen

Street Address

110 Riverside Drive

Street Address

23 Searingtown Road

City

New York

State

NY

Zip

10024

City

Searingtown

State

NY

Zip

11507

10. SHARES AUTHORIZED (*X* BOX FOR ATTACHMENT)

AUTHORIZED SHARES

Number of Shares

Class/Series

Par Value

2,000 COMM \$10.00 PAR VALUE

11. SHARES ISSUED (*X* BOX FOR ATTACHMENT)

ISSUED SHARES

Number of Shares

Class/Series

Par Value

856

Common

\$10.00

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



* 2 3 4 7 3 *

File Date:

02-15-02

Check No.:

51746

By:

JR MacDonald

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Judith R MacDonald
Signature of Officer

Date

2/13/2002

Judith R. MacDonald

Print or Type Name of Officer

Managing Director & Assistant Sec.

Title of Officer

BUSINESS ADDRESS

1251 Avenue of the Americas
New York, NY 10020

February 7, 2002

ROTHSCHILD INC.

2002

Officers & Members of the Board of Directors

RESIDENCE ADDRESS

* Baron David de Rothschild	Non-Executive Chairman of the Board & Director	c/o Rothschild & Cie Banque 17 Avenue Matignon 75008 Paris, France
* Gerald Rosenfeld	Chief Executive Officer	110 Riverside Drive New York, NY 10024
* Yves-André Istel	Vice Chairman	146 Central Park West New York, NY 10023
Roger H. Kimmel	Vice Chairman	1046 Lake Avenue Greenwich, CT 06831
Jewelle W. Bickford	Senior Managing Director	969 Fifth Avenue New York, NY 10021
* Paul H. Jenssen	Senior Managing Director & Chief Financial Officer	23 Scaringtown Road Scaringtown, NY 11507
Neil A. Augustine	Managing Director	36 Barton Lane Cos Cob, CT 06807
René-Pierre Azria	Managing Director	20 Sutton Place South New York, NY 10022
Claudio I. Baldovino	Managing Director	522 East 87 th Street New York, NY 10128
J. Elizabeth Bradham	Managing Director	221 East 62 nd Street New York, NY 10021
Lloyd E. Campbell	Managing Director	44 Greenway Terrace Forest Hills, NY 11375
John M. Carroll	Managing Director	43 Chichester Road New Canaan, CT 06840

* Member of the
Board of Directors

ROTHSCHILD INC.
2002
Officers & Members of the Board of Directors

N. Hugo H. Dryland	Managing Director	7701 Arrowood Court Bethesda, MD 20817
James M. Griffin	Managing Director	159 E. Allendale Road Saddle River, NJ 07458
Randy L. Hazelton	Managing Director	370 Central Park West New York, NY 10025
Stephen S. Ledoux	Managing Director	1059 Quaker Bridge Road East Croton, NY 10520
Judith R. MacDonald	Managing Director & Assistant Secretary	245 West 104th Street New York, NY 10025
James H. MacNaughton	Managing Director	149 East 73 rd Street New York, NY 10021
Jeffrey S. Martin	Managing Director	530 East 86 th Street New York, NY 10028
Michael G. Meyers	Managing Director	221 West 82 nd Street New York, NY 10024
Kurt R. Oehlberg	Managing Director	21 Calais Road Mendham, NJ 07945
Paul J. Prendergast	Managing Director	164 Boulevard Pelham, NY 10803
David L. Resnick	Managing Director	300 Central Park West New York, NY 10024
Matthew L.C. Savage	Managing Director	171 West 71st Street New York, NY 10023
Timothy J. Small	Managing Director	7 Forest Drive North Short Hills, NJ 07078
Todd R. Snyder	Managing Director	562 West End Avenue New York, NY 10024
Pierre Tattevin	Managing Director	c/o Rothschild Inc. 1251 Avenue of the Americas New York, NY 10020

ROTHSCHILD INC.
2002
Officers & Members of the Board of Directors

Ronald J. Vance	Managing Director	9710 Beman Woods Way Potomac, MD 20854
Edwin E. Wells, Jr.	Managing Director	1111 Caroline, Apt. 2401 Houston, Texas 77010
Adam Young	Managing Director	19 Highland Way Scarsdale, NY 10583
Pierre J. Albouy	Director/Investment Banking	55 Chatsworth Avenue Larchmont, NY 10538
Marshall Baillicu	Director/NMR Washington	2015 Kalorama Road Washington, DC 20009
Kathleen M. Burke	Director/Equity Private Placement	17 West 71 st Street New York, NY 10023
Richard H. Ennis	Director/Investment Banking	23 School House Lane Morristown, NJ 07960
Salvatore Focella	Director/Tax & Finance	56 Somerset Avenue Garden City, NY 11530
James S. Gilbert	Director/NMR Washington	9838 Faust Drive Vienna, VA 22182
Jonathan F. Jameson	Director/Equity Private Placement	33 Scofield Lane New Canaan, CT 06840
Kevin Joyce	Director/NMR Washington	c/o N M Rothschild & Sons (WA) LLC 1101 Connecticut Avenue, N.W. Washington, DC 20036
Jerome H. Kaiser	Director/IIT	511 Melrose Place South Orange, NJ 07079
Elizabeth A. Keegan	Director/Human Resources	9214 Ridge Boulevard Brooklyn, NY 11209
Charles R. Levine	Director/Accounting, Treasurer & Controller	80 Edgemere Road Livingston, NJ 07039

ROTHSCHILD INC.
2002
Officers & Members of the Board of Directors

Scott Mangan	Director/Structured Finance/ Securitization Group	43 Old Highway Wilton, CT 06897
Reginald J. Mills, Jr.	Director/Equity Private Placement	116 Hamilton Avenue New Rochelle, NY 10801
Robert S. Mudge	Director/NMR Washington	5519 Chevy Chase Pkway, N.W. Washington, DC 20015
R. Blake Murphy	Director/Structured Finance/ Securitization Group	59 Irwin Place Huntington, NY 11743
Helge Petermann	Director/ABN AMRO Equity Capital Markets	970 Park Avenue, Apt. 1S New York, NY 10028
David B. Peterson	Director/M&A	25 West 81 st Street New York, NY 10024
Simon D. Richards	Director/NMR Washington	3030 K Street, N.W. Washington Harbor Washington, DC 20007
John B. Ryan	Director/Realty	320 Buckingham Forest Court Roswell, GA 30075
Steven D. Wasserman	Director/Marketing	8 Cooper Hawk Drive Manalapan, NJ 07726
Nigel B.D. Bell	Vice President	10 East 29 th Street, Apt. #15J New York, NY 10016
Jason S. Brown	Vice President	240 Irving Avenue South Orange, NJ 07079
Matthew B. Brown	Vice President	365 West 52 nd Street New York, NY 10019
William D. Cannon	Vice President	360 West 51 st Street, Apt. 5B New York, NY 10019
John T. Chang	Vice President	14115 Stonecutter Drive North Potomac, MD 20878
Thomas P. Daly	Vice President	72 Colchester Road New Providence, NJ 07974

ROTHSCHILD INC.
2002
Officers & Members of the Board of Directors

Ian D'Souza	Vice President	250 Mercer Street New York, NY 10012
Cynthia A. Furlinger	Vice President	100 West 87 th Street New York, NY 10024
Joaquin Gianantonio	Vice President	124 West 60 th Street New York, NY 10023
Daniel C. Gilligan	Vice President	14 Derby Road Port Washington, NY 11050
Mary Ann Kalambay	Vice President	500 2nd Avenue New York, NY 10016
Homer D. Parkhill	Vice President	1755 York Avenue New York, NY 10128
Noah C. Roy	Vice President	19 Greenwich Avenue New York, NY 10014
Pablo J. Saez	Vice President	317 West 108 th Street New York, NY 10025
William R. Shaw, III	Vice President	5 East 22 nd Street New York, NY 10010
Sooah Shin	Vice President	4 Park Avenue South New York, NY 10016
Sherri A. Williams	Vice President	151 East 31 st Street New York, NY 10016
Ira L. Wolfson	Vice President	155 East 50 th Street New York, NY 10022
Anne Marie Erigo	Asst. Vice President	108 East 98 th Street New York, NY 10128
Christa M. McNally	Asst. Vice President	78-11 Key Forest Lane Forest Hills, NY 11375

ROTHSCHILD INC.
2002
Officers & Members of the Board of Directors

Robert de Rothschild	Asst. Vice President	c/o Rothschild Inc. 1251 Avenue of the Americas New York, NY 10020
Sarah Knutson	Asst. Vice President	147 State Street Brooklyn, NY 11201



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-222-3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2001

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No.

23473

2. Name of Corporation

Rothschild Inc.

3. Street Address Principal Business Office

1251 Avenue of the Americas

4. Business Phone No.

212 403-3500

5. State of Incorporation

DELAWARE

City

New York

State

New York

Zip

10020

6. SIC Code
6064

7. Brief Description of the Character of Business Conducted in Rhode Island

Broker dealer engaged in investment banking,
capital markets and financial advisory services.

8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT)

X FILL IN SPACES BEFORE USING ATTACHMENTS

Chief Executive Officer

Gerald Rosenfeld

Vice President Name

Robin L. Baskin

Street Address

110 Riverside Drive

Street Address

118 East 60th Street

City

New York

State

NY

Zip

10024

City

New York

State

NY

Zip

10022

Assistant Secretary

Judith R. MacDonald

Chief Financial Officer

Paul H. Jenssen

Street Address

245 West 104th Street

Street Address

23 Searingtown Road

City

New York

State

NY

Zip

10025

City

Searingtown

State

NY

Zip

11507

9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT)

X FILL IN SPACES BEFORE USING ATTACHMENTS

Director Name

Baron David de Rothschild

Director Name

Yves-Andre Istel

Rothschild & Cie Banque

17 Avenue Matignon

Street Address

146 Central Park West

City

Paris

State

France

Zip

75008

City

New York

State

NY

Zip

10023

Director Name

Gerald Rosenfeld

Director Name

Paul H. Jenssen

Street Address

110 Riverside Drive

Street Address

23 Searingtown Road

City

New York

State

NY

Zip

10024

City

Searingtown

State

NY

Zip

11507

10. SHARES AUTHORIZED (*X* BOX FOR ATTACHMENT)

11. SHARES ISSUED (*X* BOX FOR ATTACHMENT)

AUTHORIZED SHARES

ISSUED SHARES

Number of Shares

2,000

Class/Series

Common

Par Value

\$10.00

Number of Shares

856

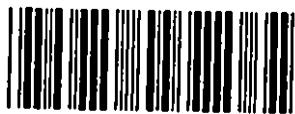
Class/Series

Common

Par Value

\$10.00

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



* 2 3 4 7 3 *

3-1901

File Date: _____

45236

Check No.: _____

2

By: _____

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined
this report, including any accompanying schedules and statements, and
that all statements contained herein are true and correct.

Judith R. MacDonald 3/15/01

Signature of Officer

Date

Judith R. MacDonald

Print or Type Name of Officer

Managing Director & Asst Secretary

Title of Officer



BUSINESS ADDRESS
1251 Avenue of the Americas
New York, NY 10020

CORP ID #: 23473

March 9, 2001

ROTHSCHILD INC.
2001
Officers & Members of the Board of Directors

		<u>RESIDENCE ADDRESS</u>
* Baron David de Rothschild	Non-Executive Chairman of the Board & Director	c/o Rothschild & Cie Banque 17 Avenue Matignon 75008 Paris, France
* Gerald Rosenfeld	Chief Executive Officer	110 Riverside Drive New York, NY 10024
* Yves-André Istel	Vice Chairman	146 Central Park West New York, NY 10023
Roger H. Kimmel	Vice Chairman	1046 Lake Avenue Greenwich, CT 06831
Jewelle W. Bickford	Senior Managing Director	969 Fifth Avenue New York, NY 10021
* Paul H. Jenssen	Senior Managing Director & Chief Financial Officer	23 Seasingtown Road Seasingtown, NY 11507
René-Pierre Azria	Managing Director	20 Sutton Place South New York, NY 10022
Claudio I. Baldovino	Managing Director	522 East 87 th Street New York, NY 10128
J. Elizabeth Bradham	Managing Director	221 East 62 nd Street New York, NY 10021
John M. Carroll	Managing Director	43 Chichester Road New Canaan, CT 06840
H. William Coogan, Jr.	Managing Director	4712 Charmian Road Richmond, VA 23226
N. Hugo H. Dryland	Managing Director	7701 Arrowood Court Bethesda, MD 20817

* Member of the
Board of Directors

ROTHSCHILD INC.
2001
Officers & Members of the Board of Directors

James M. Griffin	Managing Director	159 E. Allendale Road Saddle River, NJ 07458
Richard P. Heald	Managing Director	233 East 62 nd Street New York, NY 10021
William G. Lamarque	Managing Director	55 East 76th Street New York, NY 10021
Judith R. MacDonald	Managing Director & Assistant Secretary	245 West 104th Street New York, NY 10025
James H. MacNaughton	Managing Director	149 East 73 rd Street New York, NY 10021
Kurt R. Oehlberg	Managing Director	21 Calais Road Mendham, NJ 07945
Paul J. Prendergast	Managing Director	1250 Pelhamdale Avenue Pelham, NY 10803
David L. Resnick	Managing Director	300 Central Park West New York, NY 10024
Todd R. Snyder	Managing Director	562 West End Avenue New York, NY 10024
Pierre Tattevin	Managing Director	c/o Rothschild Inc. 1251 Avenue of the Americas New York, NY 10020
Ronald J. Vance	Managing Director	9710 Beman Woods Way Potomac, MD 20854
Edwin E. Wells, Jr.	Managing Director	1111 Caroline, Apt. 2401 Houston, Texas 77010
Kenneth R. White	Managing Director	11 East 86 th Street New York, NY 10028
Adam Young	Managing Director	19 Highland Way Scarsdale, NY 10583
Pierre J. Albouy	Director/Investment Banking	55 Chatsworth Avenue Larchmont, NY 10538

ROTHSCHILD INC.
2001
Officers & Members of the Board of Directors

Marshall Baillicu	Director/NMR Washington	2015 Kalorama Road Washington, DC 20009
Bernard J. Douton, Jr.	Director/Restructuring	67 Morton Street, #3D New York, NY 10014
Richard H. Ennis	Director/Investment Banking	23 School House Lane Morristown, NJ 07960
Salvatore Focella	Director/Tax & Finance	56 Somerset Avenue Garden City, NY 11530
James S. Gilbert	Director/NMR Washington	9838 Faust Drive Vienna, VA 22182
J. Scott Henderson	Director/Metals Division	6 West 75 th Street, Apt. 4C New York, NY 10023
Michael E. Humphries	Director/NMR Washington	1503 Gingerwood Court Vienna, VA 22182
Kevin Joyce	Director/NMR Washington	c/o N M Rothschild & Sons (WA) I.L.C 1101 Connecticut Avenue, N.W. Washington, DC 20036
Jerome H. Kaiser	Director/ITT	511 Melrose Place South Orange, NJ 07079
Elizabeth A. Keegan	Director/Human Resources	9214 Ridge Boulevard Brooklyn, NY 11209
Jeffrey Klein	Director/Metals Division	10 Tarlton Drive Livingston, NJ 07039
Charles R. Levine	Director/Accounting, Treasurer & Controller	80 Edgemere Road Livingston, NJ 07039
Scott Mangan	Director/Structured Finance/ Securitization Group	43 Old Highway Wilton, CT 06897
Robert S. Mudge	Director/NMR Washington	5519 Chevy Chase Pkway, N.W. Washington, DC 20015
R. Blake Murphy	Director/Structured Finance/ Securitization Group	59 Irwin Place Huntington, NY 11743

ROTHSCHILD INC.
2001
Officers & Members of the Board of Directors

Helge Petermann	Director/ABN AMRO Equity Capital Markets	970 Park Avenue, Apt. 1S New York, NY 10028
Simon D. Richards	Director/NMR Washington	3030 K Street, N.W. Washington Harbor Washington, DC 20007
Matthew L.C. Savage	Director/Investment Banking	171 West 71st Street New York, NY 10023
Steven D. Wasserman	Director/Marketing	8 Cooper Hawk Drive Manalapan, NJ 07726
Robin L. Baskin	Vice President	118 East 60 th Street New York, NY 10022
Nigel B.D. Bell	Vice President	10 East 29 th Street, Apt. #15J New York, NY 10016
Jason S. Brown	Vice President	20 Remsen Street Brooklyn, NY 11201
Matthew B. Brown	Vice President	365 West 52 nd Street New York, NY 10019
William D. Cannon	Vice President	360 West 51 st Street, Apt. 5B New York, NY 10019
Joaquin Gianantonio	Vice President	124 West 60 th Street New York, NY 10023
Mary Ann Kalambay	Vice President	500 2nd Avenue New York, NY 10016
Marino Marin	Vice President	170 East 87 th Street New York, NY 10128
Pablo J. Saez	Vice President	317 West 108 th Street New York, NY 10025
Lochlann J. Toolin	Vice President	145 East 36 th Street New York, NY 10016
Robert de Rothschild	Asst. Vice President	c/o Rothschild Inc. 1251 Avenue of the Americas New York, NY 10020

ROTHSCHILD INC.
2001
Officers & Members of the Board of Directors

Justin P. Gibbons	Asst. Vice President	12 East 86 th Street New York, NY 10028
Sarah Knutson	Asst. Vice President	147 State Street Brooklyn, NY 11201
Edward J. Morris	Assistant Secretary	130 Cambridge Avenue Stewart Manor, NY 11530



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

James R. Langevin, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-222-3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR **2000**
Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No.

2. Name of Corporation

3. Street Address Principal Business Office
23473 Rothschild Inc.
1251 Avenue of the Americas

City

New York

State

NY

Zip

10020

4. Business Phone No.

212 403-3500

5. State of Incorporation

6. SIC Code

6064

7. Brief Description of the Character of Business Conducted in Rhode Island **DELAWARE**
Broker dealer engaged in investment banking,
capital markets and financial advisory services.

8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT) ☒ FILL IN SPACES BEFORE USING ATTACHMENTS

President Name

Vacant at present

Vice President Name

Robin Baskin

Street Address

Street Address

118 East 60th Street

City

State

Zip

City

State

Zip

New York

NY

1002

Secretary Name

Peter A. Fried

Treasurer Name (CFO)

Paul H. Jenssen

Street Address

48 Rock Spring Road

Street Address

23 Searingtown Road

City

West Orange

State

NJ

Zip

07052

City

Searingtown

State

NY

Zip

11507

9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT) ☒ FILL IN SPACES BEFORE USING ATTACHMENTS

Director Name

Baron David de Rothschild

Director Name

Wilbur L. Ross, Jr.

Street Address c/o Rothschild & Cie Banque

17 Avenue Matignon

Street Address

265 East 66th Street

City

Paris

State

France

Zip

75008

City

New York

State

NY

Zip

10021

Director Name

Yves-Andre Istel

Director Name

Paul H. Jenssen

Street Address

146 Central Park West

Street Address

23 Searingtown Road

City

New York

State

NY

Zip

10023

City

Searingtown

State

NY

Zip

11507

10. SHARES AUTHORIZED (*X* BOX FOR ATTACHMENT)

AUTHORIZED SHARES

Number of Shares

Class/Series

Par Value

2,000

Common

\$10.00

11. SHARES ISSUED (*X* BOX FOR ATTACHMENT)

ISSUED SHARES

Number of Shares

Class/Series

Par Value

856

Common

\$10.00

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



* 2 3 4 7 3 *

File Date: 3/13/00

Check No: 38601

By: re

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer

Date

Peter A. Fried

Print or Type Name of Officer

Managing Director & Secretary

Title of Officer



CORP. ID #: 23473

BUSINESS ADDRESS

1251 Avenue of the Americas
New York, NY 10020

February 15, 2000

ROTHSCHILD INC.
2000
Officers & Directors

RESIDENCE ADDRESS

* Baron David de Rothschild	Non-Executive Chairman of the Board & Director	c/o Rothschild & Cie Banque 17 Avenue Matignon 75008 Paris, France
* Yves-André Istel	Vice Chairman	146 Central Park West New York, NY 10023
* Wilbur L. Ross, Jr.	Executive Managing Director	265 East 66 th Street New York, NY 10021
Jewelle W. Bickford	Senior Managing Director	969 Fifth Avenue New York, NY 10021
* Paul H. Jenssen	Senior Managing Director & Chief Financial Officer	23 Searingtown Road Searingtown, NY 11507
René-Pierre Azria	Managing Director	20 Sutton Place South New York, NY 10022
J. Elizabeth Bradham	Managing Director	221 East 62 nd Street New York, NY 10021
H. William Coogan, Jr.	Managing Director	4712 Charmian Road Richmond, VA 23226
* John F. Cooper-Mullin	Managing Director	Barney Park Irvington, NY 10533
Maurice L. Fingeret	Managing Director	26 Pipers Hill Road Wilton, CT 06987
* Peter A. Fried	Managing Director & Secretary	48 Rock Spring Road West Orange, NJ 07052
James M. Griffin	Managing Director	159 E. Allendale Road Saddle River, NJ 07458

* Director

ROTHSCHILD INC.
2000
Officers & Directors

Richard P. Heald	Managing Director	233 East 62 nd Street New York, NY 10021
William G. Lamarque	Managing Director	55 East 76th Street New York, NY 10021
Neil P. Lucey	Managing Director	317 Sound Beach Avenue Old Greenwich, CT 06870
Judith R. MacDonald	Managing Director & Assistant Secretary	245 West 104th Street New York, NY 10025
Kurt R. Oehlberg	Managing Director	21 Calais Road Mendham, NJ 07945
Paul J. Prendergast	Managing Director	1250 Pelhamdale Avenue Pelham, NY 10803
David H. Storper	Managing Director	200 East 72nd Street New York, NY 10021
David L. Wax	Managing Director	169 Redding Road Easton, CT 06612
Kenneth R. White	Managing Director	11 East 86 th Street New York, NY 10028
Pierre J. Albouy	Senior Vice President	55 Chatsworth Avenue Larchmont, NY 10538
Gregory H.A. Baxter	Senior Vice President	322 West 57th Street, #15T New York, NY 10019-3701
Richard A. Beberman	Senior Vice President	44 West 71st Street, #5 New York, NY 10023
John P. Callaway	Senior Vice President	276 Fallow Street West Norwalk, CT 06850
Jerome H. Kaiser	Senior Vice President	511 Melrose Place South Orange, NJ 07079
Elizabeth A. Keegan	Senior Vice President	9214 Ridge Boulevard Brooklyn, NY 11209

ROTHSCHILD INC.
2000
Officers & Directors

Jeffrey Klein	Senior Vice President	10 Tarlton Drive Livingston, NJ 07039
Charles R. Levine	Senior Vice President Treasurer & Controller	80 Edgemere Road Livingston, NJ 07039
Matthew L.C. Savage	Senior Vice President	171 West 71st Street New York, NY 10023
John G. Shanahan	Senior Vice President	70 East 96th Street New York, NY 10128
Diana A. Sklar	Senior Vice President	650 Park Avenue New York, NY 10021
Pamela K. Wilson	Senior Vice President	8 East 96 th Street New York, NY 10128
Robin Baskin	Vice President	118 East 60 th Street New York, NY 10022
Nigel B.D. Bell	Vice President	146 East 49 th Street, Apt. #2 New York, NY 10017
Jason S. Brown	Vice President	20 Remsen Street Brooklyn, NY 11201
William D. Cannon	Vice President	360 West 51 st Street, Apt. 5B New York, NY 10019
J. Scott Henderson	Vice President	6 West 75 th Street, Apt. 4C New York, NY 10023
Madeleine C. Jaldon	Vice President	61-15 98th Street, #5J Rego Park, NY 11374
Mary Ann Kalambay	Vice President	500 2nd Avenue New York, NY 10016
Lisa A. Konrad	Vice President	51 West 81st Street New York, NY 10024
Jeffrey W. Kramer	Vice President	177 East 77 th Street, #8C New York, NY 10021

ROTHSCHILD INC.
2000
Officers & Directors

Patrick J. McNelis	Vice President	3 Furwood Court East Northport, NY 11731
Helge Petermann	Vice President	970 Park Avenue, Apt. 1S New York, NY 10028
Brian Statfeld	Vice President	17 Old Field Roslyn, NY 11576
Jennifer Tarozzi	Vice President	205 East 95th Street New York, NY 10128
Shana I. Wilcox	Vice President	101 West 12 th Street New York, NY 10011
Robert de Rothschild	Asst. Vice President	c/o Rothschild Inc. 1251 Avenue of the Americas New York, NY 10020
Justin P. Gibbons	Asst. Vice President	12 East 86 th Street New York, NY 10028
Sarah Knutson	Asst. Vice President	410 West 23 rd Street New York, NY 10011
Justin Samson	Asst. Vice President	15 Charles Street, Apt. 19B New York, NY 10014
Edward J. Morris	Assistant Secretary	130 Cambridge Avenue Stewart Manor, NY 11530



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

James R. Langevin, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-222-3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR **1999**
Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)



1. Corporate ID No. 23473		2. Name of Corporation Rothschild Inc.			
3. Street Address Principal Business Office 1251 Avenue of the Americas		City New York	State New York	Zip 10020	
4. Business Phone No. (212) 403-3500		5. State of Incorporation DELAWARE		6. SIC Code 8084	
7. Brief Description of the Character of Business Conducted in Rhode Island Broker dealer engaged in securities trading and investment banking.					
8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT) ☒ FILL IN SPACES BEFORE USING ATTACHMENTS					
President Name Vacant at present		Vice President Name Emmanuel G. Barre			
Street Address		Street Address 990 Edgewood Avenue			
City	State	Zip	City	State	Zip
			Pelham Manor	NY	10803
Secretary Name Peter A. Fried		Treasurer Name Robert H. Andrew			
Street Address 48 Rock Spring Road		Street Address 19 Silverbrook Road			
City	State	Zip	City	State	Zip
W. Orange	NJ	07052	Westport	CT	06880
9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT) ☒ FILL IN SPACES BEFORE USING ATTACHMENTS					
Director Name Baron David de Rothschild		Director Name Yves-Andre Istel			
Street Address c/o Rothschild & Cie Banque		Street Address 146 Central Park West			
City	State	Zip	City	State	Zip
Paris	France	75008	New York	NY	10023
Director Name Robert H. Andrew		Director Name Wilbur L. Ross, Jr.			
Street Address 19 Silverbrook Road		Street Address 265 East 66th Street			
City	State	Zip	City	State	Zip
Westport	CT	0688-	New York	NY	10021
10. SHARES AUTHORIZED (*X* BOX FOR ATTACHMENT) ☐					11. SHARES ISSUED (*X* BOX FOR ATTACHMENT) ☐
AUTHORIZED SHARES			ISSUED SHARES		
Number of Shares	Class/Series	Par Value	Number of Shares	Class/Series	Par Value
2,000	Common	\$10.000	856	Common	\$10.00

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



* 2 3 4 7 3 *

File Date: **Feb 1, 1999**

Check No.: **0305915**

By: **AD**

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Peter A. Fried **1/28/99**
Signature of Officer Date

Peter A. Fried

Print or Type Name of Officer

Managing Director & Secretary

Title of Officer

BUSINESS ADDRESS

1251 Avenue of the Americas
New York, NY 10020

CORP ID # 23473

January 28, 1999

ROTHSCHILD INC.**1999****Officers & Directors****RESIDENCE ADDRESS**

* Baron David de Rothschild	Non-Executive Chairman of the Board & Director	c/o Rothschild & Cie Banque 17 Avenue Matignon 75008 Paris, France
* Yves-André Istel	Vice Chairman	146 Central Park West New York, NY 10023
* Robert H. Andrew	Senior Managing Director CFO & Assistant Secretary	19 Silverbrook Road Westport, CT 06880
* Wilbur L. Ross, Jr.	Senior Managing Director	265 East 66 th Street New York, NY 10021
René-Pierre Azria	Managing Director	20 Sutton Place South New York, NY 10022
Jewelle W. Bickford	Managing Director	969 Fifth Avenue New York, NY 10021
J. Elizabeth Bradham	Managing Director	17 East 96th Street New York, NY 10128
H. William Coogan, Jr.	Managing Director	4712 Charmian Road Richmond, VA 23226
* John F. Cooper-Mullin	Managing Director	Barney Park Irvington, NY 10533
Maurice L. Fingeret	Managing Director	26 Pipers Hill Road Wilton, CT 06987
* Peter A. Fried	Managing Director & Secretary	48 Rock Spring Road West Orange, NJ 07052
James M. Griffin	Managing Director	159 E. Allendale Road Saddle River, NJ 07458

* Director

ROTHSCHILD INC.
1999
Officers & Directors

Richard P. Heald	Managing Director	233 East 62 nd Street New York, NY 10021
William G. Lamarque	Managing Director	55 East 76th Street New York, NY 10021
David T. Lender	Managing Director	40 Bradford Avenue Upper Montclair, NJ 07043
Neil P. Lucey	Managing Director	317 Sound Beach Avenue Old Greenwich, CT 06870
Judith R. MacDonald	Managing Director & Assistant Secretary	245 West 104th Street New York, NY 10025
William J. Mulrow	Managing Director	7 Northway Bronxville, NY 10708
Kurt R. Oehlberg	Managing Director	21 Calais Road Mendham, NJ 07945
Martha S. Sproule	Managing Director	122 East 82nd Street New York, NY 10028
David L. Wax	Managing Director	169 Redding Road Easton, CT 06612
Howard W. Albert	Senior Vice President	16 Athens Road Short Hills, NJ 07078
Pierre J. Albouy	Senior Vice President	55 Chatsworth Avenue Larchmont, NY 10538
Gregory H.A. Baxter	Senior Vice President	322 West 57th Street, #15T New York, NY 10019-3701
Richard A. Beberman	Senior Vice President	44 West 71st Street, #5 New York, NY 10023
John Benanti	Senior Vice President	37 Valdemar Avenue Staten Island, NY 10309
John P. Callaway	Senior Vice President	276 Fillow Street West Norwalk, CT 06850

ROTHSCHILD INC.
1999
Officers & Directors

Barbara B. Goodstein	Senior Vice President	120 Cabrini Blvd. New York, NY 10033
Matthew J.K. Hickman	Senior Vice President	176 Old Stone Bridge Road Cos Cob, CT 06807
Laura J. Klipp	Senior Vice President	44 West 91st Street New York, NY 10024
Paul M. Levesque	Senior Vice President	525 Park Avenue New York, NY 10021
Charles R. Levine	Senior Vice President	80 Edgemere Road Livingston, NJ 07039
Edward J. Morris	Senior Vice President, Assistant Secretary	130 Cambridge Avenue Stewart Manor, NY 11530
Matthew L.C. Savage	Senior Vice President	171 West 71st Street New York, NY 10023
Diana A. Sklar	Senior Vice President	650 Park Avenue New York, NY 10021
David H. Storper	Senior Vice President	200 East 72nd Street New York, NY 10021
Pamela K. Wilson	Senior Vice President	8 East 96 th Street New York, NY 10128
Emmanuel G. Barre	Vice President	990 Edgewood Avenue Pelham Manor, NY 10803
Lisa A. Fuder	Vice President	51 West 81st Street New York, NY 10024
Nicholas C.R. Gill	Vice President	40 East 62 nd Street New York, NY 10021
Shelley R. Goldberg	Vice President	59 West 71st Street New York, NY 10023
Rosario S. Ilacqua	Vice President	2 Horatio Street, #15J New York, NY 10014

ROTHSCHILD INC.
1999
Officers & Directors

Madeleine C. Jaldon	Vice President	61-15 98th Street, #5J Rego Park, NY 11374
Mary Ann Kalambay	Vice President	500 2nd Avenue New York, NY 10016
Michael C. Kim	Vice President	9 West 69 th Street New York, NY 10023
James McCarthy	Vice President	Route 2, Box 255E Bullet Hole Road Patterson, NY 12563
Larry A. Oshita	Vice President	1214 Bloomfield Street Hoboken, NJ 07030
Debra A. Scoufaras	Vice President	50-15 193rd Street Fresh Meadows, NY 11365
John G. Shanahan	Vice President	70 East 96th Street New York, NY 10128
Brian Statfeld	Vice President	17 Old Field Roslyn, NY 11576
Emily L. Turner	Vice President	10 Plaza Street Brooklyn, NY 11238
Hong-Jik Colby Yoon	Vice President	Samsung World Tower, # 1004 3-1 Samsung Dong Kangnam-Ku Seoul, Korea 135-090
Laura C. Auch	Asst. Vice President	27 Carlton Drive Tinton Falls, NJ 07724
Robert de Rothschild	Asst. Vice President	c/o Rothschild Inc. 1251 Avenue of the Americas New York, NY 10020
Andrew J. Jackson	Asst. Vice President	236 East 47th Street New York, NY 10017
Jennifer Tarozzi	Asst. Vice President	205 East 95th Street New York, NY 10128



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

James R. Langevin, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-277-3040

1998



PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No.
23473

2. Name of Corporation
Rothschild Inc.

3. Street Address Principal Business Office

1251 Avenue of the Americas

4. Business Phone No.

(212) 403-3500

5. State of Incorporation
DELAWARE

City

New York

State

New York

Zip

10020

6. SIC Code
6064

7. Brief Description of the Character of Business Conducted in Rhode Island

Broker dealer engaged in securities trading and investment banking.

8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT) X

President Name

Vacant at present

Street Address

City

State

Zip

Secretary Name

Peter A. Fried

Street Address

8 South Harding Drive

City

State

Zip

So. Orange

NJ

07079

9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT) X

Director Name

Baron David de Rothschild

Street Address c/o Rothschild & Cie Banque

17 Avenue Matignon

City

State

Zip

Paris

France

75003

Director Name

Robert H. Andrew

Street Address

19 Silverbrook Road

City

State

Zip

Westport

CT

06830

10. SHARES AUTHORIZED (*X* BOX FOR ATTACHMENT)

AUTHORIZED SHARES

Number of Shares

Class/Series

Par Value

2,000

Common

\$10.00

Vice President Name

Sherri A. Croasdale

Street Address

47 Tilrose Avenue

City

Malverne

State

NY

Zip

11565

Treasurer Name

Robert H. Andrew

Street Address

19 Silverbrook Road

City

Westport

State

CT

Zip

06880

Director Name

Yves-Andre Istel

Street Address

146 Central Park West

City

New York

State

NY

Zip

10023

Director Name

Wilbur L. Ross, Jr.

Street Address

1111 Park Avenue

City

New York

State

NY

Zip

10128

11. SHARES ISSUED (*X* BOX FOR ATTACHMENT)

ISSUED SHARES

Number of Shares

Class/Series

Par Value

856

Common

\$10.00

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



* 2 3 4 7 3 *

File Date:

2-11-98

Check No:

23138

By:

100

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer

Peter A. Fried

Print or Type Name of Officer

Managing Director & Secretary

Title of Officer

2/9/98

Date

BUSINESS ADDRESS

1251 Avenue of the Americas
New York, NY 10020

February 9, 1998

ROTHSCHILD INC.

1998

Officers & Directors

RESIDENCE ADDRESS

* Baron David de Rothschild	Non-Executive Chairman of the Board & Director	c/o Rothschild & Cie Banque 17 Avenue Matignon 75008 Paris, France
* Yves-André Istel	Vice Chairman	146 Central Park West New York, NY 10023
* Robert H. Andrew	Senior Managing Director CFO & Assistant Secretary	19 Silverbrook Road Westport, CT 06880
* Wilbur L. Ross, Jr.	Senior Managing Director	1111 Park Avenue New York, NY 10128
René-Pierre Azria	Managing Director	20 Sutton Place South New York, NY 10022
Jewelle W. Bickford	Managing Director	969 Fifth Avenue New York, NY 10021
J. Elizabeth Bradham	Managing Director	17 East 96th Street New York, NY 10128
H. William Coogan, Jr.	Managing Director	4712 Charmian Road Richmond, VA 23226
* John F. Cooper-Mullin	Managing Director	Barney Park Irvington, NY 10533
Maurice L. Fingeret	Managing Director	26 Pipers Hill Road Wilton, CT 06987
* Peter A. Fried	Managing Director & Secretary	8 South Harding Drive South Orange, NJ 07079

* Director

ROTHSCHILD INC.

1998

Officers & Directors

William G. Lamarque	Managing Director	55 East 76th Street New York, NY 10021
David T. Lender	Managing Director	333 Rector Place New York, NY 10280
Neil P. Lucey	Managing Director	317 Sound Beach Avenue Old Greenwich, CT 06870
Judith R. MacDonald	Managing Director & Assistant Secretary	245 West 104th Street New York, NY 10025
William J. Mulrow	Managing Director	7 Northway Bronxville, NY 10708
Martha S. Sproule	Managing Director	111 East 85th Street New York, NY 10028
David L. Wax	Managing Director	169 Redding Road Easton, CT 06612
Sydney Gruson	Senior Advisor	136 East 79th Street New York, NY 10021
Howard W. Albert	Senior Vice President	16 Athens Road Short Hills, NJ 07078
Gregory H.A. Baxter	Senior Vice President	322 West 57th Street, #15T New York, NY 10019-3701
Richard A. Beberman	Senior Vice President	44 West 71st Street, #5 New York, NY 10023
John Benanti	Senior Vice President	37 Valdemar Avenue Staten Island, NY 10309
John P. Callaway	Senior Vice President	13 Meyer Place Riverside, CT 06878

* Director

ROTHSCHILD INC.

1998

Officers & Directors

Barbara B. Goodstein	Senior Vice President	120 Cabrini Blvd. New York, NY 10033
Scott T. Jones	Senior Vice President	54 Longfield Drive Neshanic, NJ 08853
Laura J. Klipp	Senior Vice President	44 West 91st Street New York, NY 10024
Paul M. Levesque	Senior Vice President	525 Park Avenue New York, NY 10021
Edward J. Morris	Senior Vice President, Assistant Secretary	130 Cambridge Avenue Stewart Manor, NY 11530
Matthew L.C. Savage	Senior Vice President	100 West 80th Street New York, NY 10024
Diana A. Sklar	Senior Vice President	650 Park Avenue New York, NY 10021
David H. Storper	Senior Vice President	200 East 72nd Street New York, NY 10021
Sherri A. Croasdale	Vice President	47 Tilrose Avenue Malverne, NY 11565
Shelley R. Goldberg	Vice President	59 West 71st Street New York, NY 10023
Rosario S. Ilacqua	Vice President	2 Horatio Street, #15J New York, NY 10014
Madeleine C. Jaldon	Vice President	61-15 98th Street, #5J Rego Park, NY 11374
Mary Ann Kalambay	Vice President	500 2nd Avenue New York, NY 10016

* Director

ROTHSCHILD INC.

1998

Officers & Directors

James McCarthy	Vice President	Route 2, Box 255E Bullet Hole Road Patterson, NY 12563
Larry A. Oshita	Vice President	1214 Bloomfield Street Hoboken, NJ 07030
Debra A. Scoufaras	Vice President	50-15 193rd Street Fresh Meadows, NY 11365
John G. Shanahan	Vice President	70 East 96th Street New York, NY 10128
Brian Statfeld	Vice President	17 Old Field Roslyn, NY 11576
Emily L. Turner	Vice President	10 Plaza Street Brooklyn, NY 11238
Jason S. Brown	Manager	24 Remsen Street Brooklyn, NY 11201
Laura C. Auch	Asst. Vice President	27 Carlton Drive Tinton Falls, NJ 07724
Robert de Rothschild	Asst. Vice President	c/o Rothschild Inc. 1251 Avenue of the Americas New York, NY 10020
Andrew J. Jackson	Asst. Vice President	236 East 47th Street New York, NY 10017
Jennifer Tarozzi	Asst. Vice President	205 East 95th Street New York, NY 10128

* Director



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

James R. Langevin, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-277-3040



PROFIT CORPORATION ANNUAL REPORT 1997

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No.		2. Name of Corporation			
23473		Rothschild Inc.			
3. Street Address Principal Business Office		City	State	Zip	
1251 Avenue of the Americas		New York	New York	10020	
4. Business Phone No.		5. State of Incorporation		6. SIC Code	
(212) 403-3500		DELAWARE		6064	
7. Brief Description of the Character of Business Conducted in Rhode Island					
Broker dealer engaged in securities trading and investment banking.					
8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT)					
President Name		Vice President Name			
Vacant at present		Michael P. Burke			
Street Address		Street Address			
		215-09 47th Avenue			
City	State	City	State	Zip	
		Bayside	NY	11361	
Secretary Name		Treasurer Name			
Peter A. Fried		Robert H. Andrew			
Street Address		Street Address			
8 South Harding Drive		19 Silverbrook Road			
City	State	City	State	Zip	
South Orange	NJ	Westport	CT	06880	
9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT)					
Director Name		Director Name			
Baron David de Rothschild		Yves-Andre Istel			
Street Address		Street Address			
c/o Rothschild & Cie Banque		146 Central Park West			
17 Avenue Matignon					
City	State	City	State	Zip	
Paris	France	New York	NY	10023	
Director Name		Director Name			
Robert H. Andrew		Wilbur L. Ross, Jr.			
Street Address		Street Address			
19 Silverbrook Road		1111 Park Avenue			
City	State	City	State	Zip	
Westport	CT	New York	NY	0128	
10. SHARES AUTHORIZED AND ISSUED (*X* BOX FOR ATTACHMENT)					
AUTHORIZED SHARES		ISSUED SHARES			
Number of Shares	Class/Series	Par Value	Number of Shares	Class/Series	Par Value
2,000	Common	\$10.00	856	Common	\$10.00

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



File Date: 2-10-97

Check No.: 16495

By: UP

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer: Peter A. Fried Date: 1/23/97

Print or Type Name of Officer: Peter A. Fried

Title of Officer: Managing Director & Secretary

BUSINESS ADDRESS

1251 Avenue of the Americas
New York, NY 10020

January 21, 1997

ROTHSCHILD INC.

1997

Officers & Directors

RESIDENCE ADDRESS

* Baron David de Rothschild	Non-Executive Chairman of the Board & Director	c/o Rothschild & Cie Banque 17 Avenue Matignon 75008 Paris, France
* Yves-André Istel	Vice Chairman	146 Central Park West New York, NY 10023
* Robert H. Andrew	Senior Managing Director CFO & Assistant Secretary	19 Silverbrook Road Westport, CT 06880
* Wilbur L. Ross, Jr.	Senior Managing Director	1111 Park Avenue New York, NY 10128
René-Pierre Azria	Managing Director	20 Sutton Place South New York, NY 10022
Jewelle W. Bickford	Managing Director	969 Fifth Avenue New York, NY 10021
J. Elizabeth Bradham	Managing Director	17 East 96th Street New York, NY 10128
Lee E. Buchwald	Managing Director	55 Greentree Drive Scarsdale, NY 10583
* John F. Cooper-Mullin	Managing Director	Barney Park Irvington, NY 10533
* Peter A. Fried	Managing Director & Secretary	8 South Harding Drive South Orange, NJ 07079
David T. Lender	Managing Director	333 Rector Place New York, NY 10280

* Director

ROTHSCHILD INC.

1997

Officers & Directors

Neil P. Lucey	Managing Director	317 Sound Beach Avenue Old Greenwich, CT 06870
Judith R. MacDonald	Managing Director	245 West 104th Street New York, NY 10025
William J. Mulrow	Managing Director	7 Northway Bronxville, NY 10708
Martha S. Sproule	Managing Director	111 East 85th Street New York, NY 10028
David L. Wax	Managing Director	169 Redding Road Easton, CT 06612
Sydney Gruson	Senior Advisor	136 East 79th Street New York, NY 10021
Gregory H.A. Baxter	Senior Vice President	322 West 57th Street, #15T New York, NY 10019-3701
Richard A. Beberman	Senior Vice President	350 East 52nd Street, #4J New York, NY 10022
John Benanti	Senior Vice President	37 Valdemar Avenue Staten Island, NY 10309
John P. Callaway	Senior Vice President	13 Meyer Place Riverside, CT 06878
Maurice L. Fingeret	Senior Vice President	26 Pipers Hill Road Wilton, CT 06987
Barbara B. Goodstein	Senior Vice President	120 Cabrini Blvd. New York, NY 10033
Scott T. Jones	Senior Vice President	54 Longfield Drive Neshanic, NJ 08853

* Director

ROTHSCHILD INC.

1997

Officers & Directors

Paul M. Levesque	Senior Vice President	525 Park Avenue New York, NY 10021
Edward J. Morris	Senior Vice President, Assistant Secretary	130 Cambridge Avenue Stewart Manor, NY 11530
Matthew L.C. Savage	Senior Vice President	100 West 80th Street New York, NY 10024
Diana A. Sklar	Senior Vice President	650 Park Avenue New York, NY 10021
Michael P. Burke	Vice President	215-09 47th Avenue, #3D Bayside, NY 11361
Sherri A. Croasdale	Vice President	47 Tilrose Avenue Malverne, NY 11565
Debra A. Heffler	Vice President	50-15 193rd Street Fresh Meadows, NY 11365
Rosario S. Ilacqua	Vice President	2 Horatio Street, #15J New York, NY 10014
Madeleine C. Jaldon	Vice President	61-15 98th Street, #5J Rego Park, NY 11374
Mary Ann Kalamhay	Vice President	500 2nd Avenue New York, NY 10016
James McCarthy	Vice President	Route 2, Box 255E Bullet Hole Road Patterson, NY 12563
Larry A. Oshita	Vice President	1214 Bloomfield Street Hoboken, NJ 07030
Brian Statfeld	Vice President	17 Old Field Roslyn, NY 11576

* Director

ROTHSCHILD INC.

1997

Officers & Directors

David H. Storper	Vice President	200 East 72nd Street New York, NY 10021
Emily L. Turner	Vice President	10 Plaza Street Brooklyn, NY 11238
Steven D. Wasserman	Vice President	32 Chesapeake Road Manalapan, NJ 07726
Laura C. Auch	Asst. Vice President	27 Carlton Drive Tinton Falls, NJ 07724
Bonnie M. Brensilber	Asst. Vice President	1175 York Avenue New York, NY 10021
Robert de Rothschild	Asst. Vice President	c/o Rothschild Inc. 1251 Avenue of the Americas New York, NY 10020
Jennifer Tarozzi	Asst. Vice President	205 East 95th Street New York, NY 10128
Laura E. Vaughan	Asst. Vice President	316 West 75th Street New York, NY 10023

* Director

PROFIT CORPORATION ANNUAL REPORT

1996



State of Rhode Island and Providence Plantations
James R. Langevin, *Secretary of State*
Corporations Division
100 North Main Street
Providence, Rhode Island 02903-1335 • (401) 277-3040

Filing Period: January 1-March 1

Filing Fee: \$50.00

PLEASE TYPE OR PRINT IN BLACK INK.

1. CORPORATE ID NO. 23473		2. NAME OF CORPORATION Rothschild Inc.			
3. STREET ADDRESS PRINCIPAL BUSINESS OFFICE 1251 Avenue of the Americas		CITY New York	STATE New York		
		ZIP CODE 10020			
4. BUSINESS PHONE NO. (212) 403-3500		5. STATE OF INCORPORATION DELAWARE			
		6. SIC CODE 6064			
7. BRIEF DESCRIPTION OF THE CHARACTER OF BUSINESS CONDUCTED IN RHODE ISLAND Broker dealer engaged in securities trading and investment banking.					
8. NAMES AND ADDRESSES OF THE OFFICERS					
PRESIDENT NAME PLEASE SEE ATTACHED LIST.		VICE PRESIDENT NAME			
STREET ADDRESS		STREET ADDRESS			
CITY	STATE	CITY	STATE		
	ZIP CODE		ZIP CODE		
SECRETARY NAME		TREASURER NAME			
STREET ADDRESS		STREET ADDRESS			
CITY	STATE	CITY	STATE		
	ZIP CODE		ZIP CODE		
9. NAMES AND ADDRESSES OF THE DIRECTORS					
DIRECTOR NAME PLEASE SEE ATTACHED LIST.		DIRECTOR NAME			
STREET ADDRESS		STREET ADDRESS			
CITY	STATE	CITY	STATE		
	ZIP CODE		ZIP CODE		
DIRECTOR NAME		DIRECTOR NAME			
STREET ADDRESS		STREET ADDRESS			
CITY	STATE	CITY	STATE		
	ZIP CODE		ZIP CODE		
10. SHARES AUTHORIZED AND ISSUED					
AUTHORIZED SHARES			ISSUED SHARES		
NUMBER OF SHARES	CLASS / SERIES	PAR VALUE	NUMBER OF SHARES	CLASS / SERIES	PAR VALUE
2,000	Common	\$10.00	856	Common	\$10.00

This report must be **SIGNED IN INK** by either the
President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Michele A. Konner

Signature of Officer

Michele A. Konner

Print or Type Name of Officer

Senior Vice President,

Assistant Secretary

Title of Officer

2/26/96

Date

File Date:

3/6/96

Check No:

010298

By:

CP

For Secretary of State Use Only

DETACH BOTTOM BEFORE RETURNING

FORM 31-12-95

BUSINESS ADDRESS

1251 Avenue of the Americas
New York, NY 10020

January 26, 1996

ROTHSCHILD INC.

1996

Officers & Directors**RESIDENCE ADDRESS**

* Baron David de Rothschild	Non-Executive Chairman of the Board & Director	c/o Rothschild & Cie Banque 17 Avenue Matignon 75008 Paris, France
* Yves-André Istel	Vice Chairman	146 Central Park West New York, NY 10023
* Robert H. Andrew	Senior Managing Director CFO & Assistant Secretary	19 Silverbrook Road Westport, CT 06880
* Wilbur L. Ross, Jr.	Senior Managing Director	c/o Rothschild Inc. 1251 Avenue of the Americas New York, NY 10020
Jewelle W. Bickford	Managing Director	969 Fifth Avenue New York, NY 10021
J. Elizabeth Bradham	Managing Director	17 East 96th Street New York, NY 10128
Lee E. Buchwald	Managing Director	55 Greentree Drive Scarsdale, NY 10583
* John F. Cooper-Mullin	Managing Director	Barney Park Irvington, NY 10533
* Peter A. Fried	Managing Director & Secretary	8 South Harding Drive South Orange, NJ 07079
David T. Lender	Managing Director	333 Rector Place New York, NY 10280
Neil P. Lucey	Managing Director	317 Sound Beach Avenue Old Greenwich, CT 06870

* Director

ROTHSCHILD INC.

1996

Officers & Directors

William J. Mulrow	Managing Director	7 Northway Bronxville, NY 10708
Martha S. Sproule	Managing Director	111 East 85th Street New York, NY 10028
David L. Wax	Managing Director	169 Redding Road Easton, CT 06612
Sydney Gruson	Senior Advisor	136 East 79th Street New York, NY 10021
Gregory H.A. Baxter	Senior Vice President	322 West 57th Street, #15T New York, NY 10019-3701
Richard A. Beberman	Senior Vice President	350 East 52nd Street, #4J New York, NY 10022
John Benanti	Senior Vice President	37 Valdemar Avenue Staten Island, NY 10309
John P. Callaway	Senior Vice President	13 Meyer Place Riverside, CT 06878
Rita Duggan	Senior Vice President	325 East 41st Street New York, NY 10017
Maurice L. Fingeret	Senior Vice President	26 Pipers Hill Road Wilton, CT 06987
Barbara B. Goodstein	Senior Vice President	120 Cabrini Blvd. New York, NY 10033
Scott T. Jones	Senior Vice President	54 Longfield Drive Neshanic, NJ 08853
Michele A. Konner	Senior Vice President, Assistant Secretary	88 Old Farm Road Chappaqua, NY 10514

* Director

ROTHSCHILD INC.

1996

Officers & Directors

Paul M. Levesque	Senior Vice President	525 Park Avenue New York, NY 10021
Edward J. Morris	Senior Vice President, Assistant Secretary	130 Cambridge Avenue Stewart Manor, NY 11530
Diana A. Sklar	Senior Vice President	650 Park Avenue New York, NY 10021
Sherri A. Croasdale	Vice President	47 Tilrose Avenue Malverne, NY 11565
Debra A. Heffler	Vice President	50-15 193rd Street Fresh Meadows, NY 11365
Rosario S. Ilacqua	Vice President	2 Horatio Street, #15J New York, NY 10014
Madeleine C. Jaldon	Vice President	61-15 98th Street, #5J Rego Park, NY 11374
Mary Ann Kalambay	Vice President	500 2nd Avenue New York, NY 10016
James McCarthy	Vice President	Route 2, Box 255E Bullet Hole Road Patterson, NY 12563
Larry A. Oshita	Vice President	1214 Bloomfield Street Hoboken, NJ 07030
Charles B. Samkoff	Vice President	29 West 64th Street New York, NY 10023
Matthew L.C. Savage	Vice President	100 West 80th Street New York, NY 10024
Emily L. Turner	Vice President	10 Plaza Street Brooklyn, NY 11238

* Director

ROTHSCHILD INC.

1996

Officers & Directors

David K.J. Atkinson	Asst. Vice President	95 Horatio Street New York, NY 10014
Laura C. Auch	Asst. Vice President	27 Carlton Drive Tinton Falls, NJ 07724
Bonnie M. Brensilber	Asst. Vice President	1175 York Avenue New York, NY 10021
Michael P. Burke	Asst. Vice President	215-09 47th Avenue, #3D Bayside, NY 11361
Joy V. Rousso	Asst. Vice President	200 St. James Place Brooklyn, NY 11238
Robert de Rothschild	Asst. Vice President	c/o Rothschild Inc. 1251 Avenue of the Americas New York, NY 10020

* Director

ANNUAL REPORT

Please Type or Print
File Annually Jan. 1 - March 1
Filing Fee \$50.00

Make Checks Payable to: Secretary of State

ALL ENTRIES MUST BE COMPLETED IN FULL OR THE FORM WILL BE RETURNED.

0023473

1995

Corporate ID:

Annual Report for the year:

Rothschild Inc.

Name of Corporation:

Business entity organized under the laws of the State of: **Delaware**

Business Entity is (check one):

For foreign entity, address and telephone number of principal office:

☒ Business Corporation (See RIGL Chapter 7-1.1)

1251 Avenue of the Americas

☐ Professional Service Corporation (See RIGL Chapter 7-5.1)

New York, NY 10020

Phone: (212) 403-3500

Brief statement of the character of business conducted in Rhode Island:
Broker dealer engaged in securities trading and investment banking

Address and telephone of the principal office of business entity in Rhode

Island (Provide street address - Not P.O. Box):

None

Phone: ()

THE NAMES OF THE OFFICERS ARE:

PRESIDENT	STREET ADDRESS	CITY/STATE	ZIP CODE
Please see attached list.			
VICE PRESIDENT	STREET ADDRESS	CITY/STATE	ZIP CODE
SECRETARY	STREET ADDRESS	CITY/STATE	ZIP CODE
TREASURER	STREET ADDRESS	CITY/STATE	ZIP CODE

THE NAMES OF THE DIRECTORS ARE:

NAME	STREET ADDRESS	CITY/STATE	ZIP CODE
Please see attached list.			
NAME	STREET ADDRESS	CITY/STATE	ZIP CODE
NAME	STREET ADDRESS	CITY/STATE	ZIP CODE

NUMBER OF SHARES AUTHORIZED (Rider may be attached)

NUMBER OF SHARES ISSUED AND OUTSTANDING (Rider may be attached)

Number of Shares Class / Series

Number of Shares Class / Series

2,000

Common/--

356

Common/--

Date January 24 19 95

By: Michele A. Konner
Michele A. Konner
PRINT OR TYPE NAME OF OFFICER SIGNING
Sr. Vice President & Secretary
TITLE OF OFFICER SIGNING

Form 31 1995

DESIGNATED REGISTERED AGENT FOR SERVICE OF PROCESS:

PLEASE NOTE: If the registered office and/or registered agent indicated below is incorrect, Form 9 must be filed.

US CORPORATION COMPANY
170 WESTMINSTER STREET
PROVIDENCE RI 02903

FILED

JAN 27 1995

By: [Signature]
4451

BUSINESS ADDRESS

1251 Avenue of the Americas
New York, NY 10020

January 1, 1995

ROTHSCHILD INC.

1995

Officers & Directors**RESIDENCE ADDRESS**

* Baron David de Rothschild	Director	c/o Rothschild & Cie Banque 17 Avenue Matignon 75008 Paris, France
* Yves-André Istel	Vice Chairman	146 Central Park West New York, NY 10023
* Robert H. Andrew	Senior Managing Director CFO & Assistant Secretary	19 Silverbrook Road Westport, CT 06880
* Wilbur L. Ross, Jr.	Senior Managing Director	500 Park Avenue New York, NY 10022
Jewelle W. Bickford	Managing Director	969 Fifth Avenue New York, NY 10021
Lee E. Buchwald	Managing Director	115 Fourth Avenue, #5C New York, NY 10003
* John F. Cooper-Mullin	Managing Director	Barney Park Irvington, NY 10533
* Peter A. Fried	Managing Director & Secretary	8 South Harding Drive South Orange, NJ 07079
David T. Lender	Managing Director	333 Rector Place New York, NY 10280
Neil P. Lucey	Managing Director	317 Sound Beach Avenue Old Greenwich, CT 06870
Martha S. Sproule	Managing Director	111 East 85th Street New York, NY 10028
David L. Wax	Managing Director	169 Redding Road Easton, CT 06612

* Director

ROTHSCHILD INC.

1995

Officers & Directors

Sydney Gruson	Senior Advisor	136 East 79th Street New York, NY 10021
Gregory H.A. Baxter	Senior Vice President	322 West 57th Street, #15T New York, NY 10019-3701
Richard A. Beberman	Senior Vice President	350 East 52nd Street, #4J New York, NY 10022
John P. Callaway	Senior Vice President	63 East 9th Street New York, NY 10003
Scott T. Jones	Senior Vice President	54 Longfield Drive Neshanic, NJ 08853
Joe W. Keepers	Senior Vice President	11 Bradford Road Edison, NJ 08820
Michele A. Konner	Senior Vice President, Assistant Secretary	55 Greentree Drive Scarsdale, NY 10583
Paul M. Levesque	Senior Vice President	525 Park Avenue New York, NY 10021
Edward J. Morris	Senior Vice President, Assistant Secretary	130 Cambridge Avenue Stewart Manor, NY 11530
James J. O'Neill	Senior Vice President	566 Forest Avenue New Rochelle, NY 10804
Salvatore P. Patanio	Senior Vice President	972 Lamont Avenue Staten Island, NY 10309
Andrew B. Samett	Senior Vice President	285 River Road Grandview, NY 10960
Diana A. Sklar	Senior Vice President	650 Park Avenue New York, NY 10021
John Benanti	Vice President	37 Valdemar Avenue Staten Island, NY 10309

* Director

ROTHSCHILD INC.

1995

Officers & Directors

Kimberly T. Christopher	Vice President	42 Stearns Point Road Shelter Island, NY 11965
Barbara B. Goodstein	Vice President	120 Cabrini Blvd. New York, NY 10033
Debra A. Heffler	Vice President	50-15 193rd Street Fresh Meadows, NY 11365
Rosario S. Ilacqua	Vice President	2 Horatio Street, #15J New York, NY 10014
Madeleine C. Jaldon	Vice President	61-15 98th Street, #5J Rego Park, NY 11374
James McCarthy	Vice President	Route 2, Box 255E Bullet Hole Road Patterson, NY 12563
Larry A. Oshita	Vice President	1214 Bloomfield Street Hoboken, NJ 07030
Joshua J. Slovik	Vice President	201 East 77th Street New York, NY 10021
Emily L. Turner	Vice President	10 Plaza Street Brooklyn, NY 11238
Laura C. Auch	Asst Vice President	27 Carlton Drive Tinton Falls, NJ 07724
Bonnie M. Brensiiber	Asst. Vice President	1175 York Avenue New York, NY 10021
Michael P. Burke	Asst. Vice President	215-09 47th Avenue, #3D Bayside, NY 11361
Sherri A. Croasdale	Asst. Vice President	47 Tilrose Avenue Malverne, NY 11565
Mary Ann Kalambay	Asst. Vice President	500 2nd Avenue New York, NY 10016

* Director

ROTHSCHILD INC.

1995

Officers & Directors

Vincent Lopez	Asst. Vice President	22 Lafayette Court North Brunswick, NJ 08902
Joy V. Rousso	Asst. Vice President	200 St. James Place Brooklyn, NY 11238
Robert de Rothschild	Asst. Vice President	c/o Rothschild Inc. 1251 Avenue of the Americas New York, NY 10020
Charles B. Samkoff	Asst. Vice President	29 West 64th Street New York, NY 10023
Matthew Savage	Asst. Vice President	100 West 80th Street New York, NY 10024

* Director

Filing Fee \$50.00
Payable to
Secretary of State

PLEASE TYPE or PRINT
State of Rhode Island and Providence Plantations
Office of The Secretary of State
100 North Main Street
Providence, Rhode Island 02903 1335
401-277 3040

File Annually
LLC: Sept 1 - Nov 1
CORP Jan 1 - March 1

Corporate ID. <u>0023473</u>		Annual Report for the year <u>1994</u>	
Name of Business Entity <u>Rothschild Inc.</u>			
Business entity organized under the laws of the State of <u>Delaware</u>		Business Entity is (check one)	
Federal Taxpayer Identification Number <u>[REDACTED]</u>		☒ Business Corporation (See RIGL Chapter 7-1.1)	
For foreign entity, address and telephone number of principal office:		☐ Professional Service Corporation (See RIGL Chapter 7-5.1)	
<u>One Rockefeller Plaza</u>		☐ Limited Liability Company (See RIGL 7-16)	
<u>New York, New York 10020</u>		Name, title and mailing address of contact person to whom communications may be directed	
Phone: (<u>212</u>) <u>757-6000</u>		<u>Michele A. Konner, Esq.</u>	
Address and telephone of the principal office of business entity in Rhode Island (Provide street address - Not P.O. Box)		<u>Rothschild Inc.</u>	
<u>None</u>		<u>One Rockefeller Plaza</u>	
		<u>New York, New York 10020</u>	
Phone: ()		Brief statement of the character of business conducted in Rhode Island:	
		<u>Broker dealer engaged in securities</u>	
		<u>trading and investment banking.</u>	
		Date of Organization <u>7/20/67</u>	
		Date of Qualification to do business in Rhode Island (if foreign entity)	
		<u>10/24/83</u>	
THE NAMES OF THE OFFICERS ARE:			
☒ CHIEF EXECUTIVE OFFICER OR ☐ PRESIDENT (See RIGL 7-1.1)	STREET ADDRESS	CITY/STATE	ZIP CODE
Please see attached list.			
☐ CHIEF FINANCIAL OFFICER OR ☐ VICE PRESIDENT (See RIGL 7-1.1)	STREET ADDRESS	CITY/STATE	ZIP CODE
☐ CONTROLLER (See RIGL 7-1.1)	STREET ADDRESS	CITY/STATE	ZIP CODE
☐ SECRETARY (See RIGL 7-1.1)	STREET ADDRESS	CITY/STATE	ZIP CODE
☐ CHIEF FINANCIAL OFFICER OR ☐ TREASURER (See RIGL 7-1.1)	STREET ADDRESS	CITY/STATE	ZIP CODE
THE NAMES OF THE DIRECTORS ARE:			
NAME	STREET ADDRESS	CITY/STATE	ZIP CODE
Please see attached list.			
NAME	STREET ADDRESS	CITY/STATE	ZIP CODE
NAME	STREET ADDRESS	CITY/STATE	ZIP CODE
NAME	STREET ADDRESS	CITY/STATE	ZIP CODE
NUMBER OF SHARES AUTHORIZED (If Applicable)		NUMBER OF SHARES ISSUED AND OUTSTANDING (If Applicable)	
NUMBER <u>2,000</u>		NUMBER <u>856</u>	
CLASS <u>Common</u>		CLASS <u>Common</u>	
SERIES <u>--</u>		SERIES <u>--</u>	
PAR VALUE OR WITHOUT PAR <u>\$10.00</u>		PAR VALUE OR WITHOUT PAR <u>\$10.00</u>	
Date <u>March 4</u> 19 <u>94</u>		By <u>Michele A. Konner</u>	
		Michele A. Konner	
		Sr. Vice President, Asst. Secretary	
		TITLE OF OFFICER SIGNING	

Form 21 - '94

DESIGNATED REGISTERED OR RESIDENT AGENT FOR SERVICE OF PROCESS:

PLEASE NOTE: If the Corporation has changed its registered office and/or registered or resident agent, Form 9 or Form LLC-3 must be filed

US CORPORATION COMPANY
170 WESTMINSTER STREET
PROVIDENCE RI 02903

BUSINESS ADDRESS

One Rockefeller Plaza
New York, NY 10020

January 17, 1994

ROTHSCHILD INC.

1994

Officers & DirectorsRESIDENCE ADDRESS

* Baron David de Rothschild	Director	c/o Rothschild & Cie Banque 17 Avenue Matignon 75008 Paris, France
* Yves-André Istel	Vice Chairman	146 Central Park West New York, NY 10023
* Robert H. Andrew	Senior Managing Director, CFO & Assistant Secretary	19 Silverbrook Road Westport, CT 06880
* Wilbur L. Ross, Jr.	Senior Managing Director	500 Park Avenue New York, NY 10022
Lee E. Buchwald	Managing Director	115 Fourth Avenue, #5C New York, NY 10003
Craig K. Castilla	Managing Director	240 East 47th Street New York, NY 10017
* John F. Cooper-Mullin	Managing Director	Barney Park Irvington, NY 10533
* Peter A. Fried	Managing Director & Secretary	8 South Harding Drive South Orange, NJ 07079
Neil P. Lucey	Managing Director	47 Halliwell Drive Stamford, CT 06902
Martha S. Sproule	Managing Director	111 East 85th Street New York, NY 10028
David L. Wax	Managing Director	169 Redding Road Easton, CT 06612
Sydney Gruson	Senior Advisor	136 East 79th Street New York, NY 10021
Richard A. Beberman	Senior Vice President	350 East 52nd Street, #4J New York, NY 10022
John P. Callaway	Senior Vice President	63 East 9th Street New York, NY 10003
Scott T. Jones	Senior Vice President	54 Longfield Drive Neshanic, NJ 08853
Michele A. Konner	Senior Vice President, Assistant Secretary	201 East 79th Street New York, NY 10021

* Director

ROTHSCHILD INC.

1994

Officers & Directors

Edward J. Morris	Senior Vice President, Assistant Secretary	130 Cambridge Avenue Stewart Manor, NY 11530
James J. O'Neill	Senior Vice President	566 Forest Avenue New Rochelle, NY 10804
Salvatore P. Patanio	Senior Vice President	972 Lamont Avenue Staten Island, NY 10309
Andrew B. Samett	Senior Vice President	235 West End Avenue New York, NY 10023
John Benanti	Vice President	37 Valdemar Avenue Staten Island, NY 10309
Barbara B. Goodstein	Vice President	120 Cabrini Blvd. New York, NY 10033
Rosario S. Ilacqua	Vice President	2 Horatio Street, #15J New York, NY 10014
Madeleine C. Jaldon	Vice President	61-15 98th Street, #5J Rego Park, NY 11374
Paul M. Levesque	Vice President	525 Park Avenue New York, NY 10021
Joyce Luke	Vice President	12 Degraw Avenue Clifton, NJ 07013
James McCarthy	Vice President	Route 2, Box 255E Bullet Hole Road Patterson, NY 12563
Larry A. Oshita	Vice President	1214 Bloomfield Street Hoboken, NJ 07030
Emily L. Turner	Vice President	10 Plaza Street Brooklyn, NY 11238
Michael P. Burke	Asst. Vice President	215-09 47th Avenue, #3D Bayside, NY 11361
Sherri A. Croasdale	Asst. Vice President	47 Tilrose Avenue Malverne, NY 11565
Debra A. Heffler	Asst. Vice President	50-15 193rd Street Fresh Meadows, NY 11365
Mary Ann Kalambay	Asst. Vice President	470 2nd Avenue New York, NY 10016
Vincent Lopez	Asst. Vice President	22 Lafayette Court North Brunswick, NJ 08902

* Director

ROTHSCHILD INC.

1994

Officers & Directors

Joy V. Rouso	Asst. Vice President	200 St. James Place Brooklyn, NY 11238
Robert de Rothschild	Asst. Vice President	c/o Rothschild Inc. One Rockefeller Plaza New York, NY 10020
Charles B. Samkoff	Asst. Vice President	29 West 64th Street New York, NY 10023
Matthew Savage	Asst. Vice President	100 West 80th Street New York, NY 10024
Joshua J. Slovik	Asst. Vice President	201 East 77th Street New York, NY 10021

* Director

Filing Fee \$50.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
100 NORTH MAIN STREET
PROVIDENCE, RHODE ISLAND 02903

55107 mme

Corporate ID 0023473 Annual Report for the year 1993

FIRST: The name of the corporation is Rothschild Inc.

SECOND: It is incorporated under the laws of Delaware

THIRD: Character of business, briefly stated, is Broker-dealer engaged in securities and
currency trading, investment banking and venture capital.

FOURTH: If foreign corporation, address of its principal office One Rockefeller Plaza
New York, NY 10020

FIFTH: Business address in Rhode Island None

SIXTH: Names and addresses of its directors and officers: (Attach rider if necessary)

Name	Office	Address (including number, street, zip code)
Please see attached list.	Director	
	Director	
	Director	
	President	
	Vice President	
	Secretary	
	Treasurer	

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
2,000	Common		\$10.00

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
856	Common	-	\$10.00

Dated February 10 1993

ROTHSCHILD INC.

(Name of Corporation)

By Michel A. Karne

Title Senior Vice President, Assistant
Secretary

(Report must be signed by an officer)

BUSINESS ADDRESS
One Rockefeller Plaza
New York, NY 10020

January 11, 1993

ROTHSCHILD INC.

1993

Officers & Directors

		<u>RESIDENCE ADDRESS</u>
* Robert S Pirie	Chairman & Chief Executive Officer	Aquila Farm Hamilton, MA 01936
* Gerald Goldsmith	President & Chief Operating Officer	303 East 57th Street New York, NY 10022
Yves-Andre Istel	Vice Chairman	101 West 79th Street New York, NY 10024
Robert H. Andrew	Senior Managing Director, CFO & Assistant Secretary	19 Silverbrook Road Westport, CT 06880
* Wilbur L. Ross, Jr.	Senior Managing Director	One West 72nd Street New York, NY 10023
Lee E. Buchwald	Managing Director	115 Fourth Avenue, #5C New York, NY 10003
Craig K. Castilla	Managing Director	240 East 47th Street New York, NY 10017
John A. Conlon, Jr.	Managing Director	34 Brookridge Drive Greenwich, CT 06830
John F. Cooper-Mullin	Managing Director	Barney Park Irvington, NY 10533
Marshall V. Davidson	Managing Director	100 U.N. Plaza 327 East 48th Street New York, NY 10017
* Peter A. Fried	Managing Director & Secretary	8 South Harding Drive South Orange, NJ 07079
Jeffrey S. Himmel	Managing Director	400 East 56th Street, #14B New York, NY 10022
Neil P. Lucey	Managing Director	47 Halliwell Drive Stamford, CT 06902
Martha S. Sproule	Managing Director	111 East 85th Street New York, NY 10028
David L. Wax	Managing Director	169 Redding Road Easton, CT 06612
Sydney Gruson	Senior Advisor	136 East 79th Street New York, NY 10021

ROTHSCHILD INC.

1993

Officers & Directors

Michael P. Burke	Asst. Vice President	215-09 47th Avenue, #3D Bayside, NY 11361
Sherri A. Croasdale	Asst. Vice President	47 Tilrose Avenue Malverne, NY 11565
Scott L. Geller	Asst. Vice President	6 Allen Court Manalapan, NJ 07726
Annette D. Grimm	Asst. Vice President	54 Hammock Lane Staten Island, NY 10312
Debra A. Heffler	Asst. Vice President	50-15 193rd Street Fresh Meadows, NY 11365
George Islamoff	Asst. Vice President	23 Jamie Court Staten Island, NY 10314
Vincent Lopez	Asst. Vice President	22 Lafayette Court North Brunswick, NJ 08902
Claude C. Mare	Asst. Vice President	4301 46th Street Sunnyside, NY 11104
Joy V. Rousso	Asst. Vice President	200 St. James Place Brooklyn, NY 11238
Robert de Rothschild	Asst. Vice President	c/o Rothschild Inc. One Rockefeller Plaza New York, NY 10020
Joshua J. Slovik	Asst. Vice President	201 East 77th Street New York, NY 10021
Gary E. Stiller	Asst. Vice President	1323 9th Street West Babylon, NY 11704
Wei S. Yen	Asst. Vice President	15 Ward Street Norwalk, CT 06851

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
100 NORTH MAIN STREET
PROVIDENCE, RHODE ISLAND 02903

JDI CR 49400

Corporate ID 0023473 Annual Report for the year 1992

FIRST: The name of the corporation is Rothschild Inc.

SECOND: It is incorporated under the laws of Delaware

THIRD: Character of business, briefly stated, is Broker-dealer engaged in securities and
currency trading, investment banking and venture capital.FOURTH: If foreign corporation, address of its principal office One Rockefeller Plaza
New York, New York 10020

FIFTH: Business address in Rhode Island None

SIXTH: Names and addresses of its directors and officers: (Attach rider if necessary)

Name

Office

Address (including number, street, zip code)

Please see attached list. Director

Director

Director

President

Vice President

Secretary

Treasurer

PAID

MAR 02 1992

SECY OF STATE

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
2,000	Common	-	\$10.00

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
1,445	Common	-	\$10.000

Dated 2/28 1992

ROTHSCHILD INC.

(Name of Corporation)

By Michele B. Karne

Title Senior Vice President, Assistant
Secretary

(Report must be signed by an officer)

BUSINESS ADDRESS
One Rockefeller Plaza
New York, NY 10020

February 4, 1992

ROTHSCHILD INC.

OFFICERS & DIRECTORS

1992

RESIDENCE ADDRESS

* Robert S Pirie	President & CEO	Aquila Farm Hamilton, MA 01936
* Gerald Goldsmith	Executive Managing Director	19 Manitoba Way Marlboro, NJ 07746
Robert H. Andrew	Senior Managing Director, CFO & Assistant Secretary	19 Silverbrook Road Westport, CT 06880
* Wilbur L. Ross, Jr.	Senior Managing Director	One West 72nd Street New York, NY 10023
Lee E. Buchwald	Managing Director	115 Fourth Avenue, #5C New York, NY 10003
Craig K. Castilla	Managing Director	240 East 47th Street New York, NY 10017
John A. Conlon, Jr.	Managing Director	34 Brookridge Drive Greenwich, CT 06830
John F. Cooper-Mullin	Managing Director	Barney Park Irvington, NY 10533
* Peter A. Fried	Managing Director & Secretary	8 South Harding Drive South Orange, NJ 07079
Jeffrey S. Himmel	Managing Director	400 East 56th Street, #14B New York, NY 10022
Keith D. Lowry	Managing Director	11 Belden Hill Road Wilton, CT 06897
Neil P. Lucey	Managing Director	47 Halliwell Drive Stamford, CT 06902
Martha S. Sproule	Managing Director	111 East 85th Street New York, NY 10028
David L. Wax	Managing Director	169 Redding Road Easton, CT 06612
Sydney Gruson	Senior Advisor	136 East 79th Street New York, NY 10021

ROTHSCHILD INC. - OFFICERS & DIRECTORS

1992

Edwin T. Burton	Senior Vice President	Windermere Farm P.O. Box 354 Earlsville, VA 22936
Robert R. Husa	Senior Vice President	16 Harbor Court East Roslyn Harbor, NY 11576
Herbert V. Jacobs	Senior Vice President	14 Kodiak Drive Woodbury, NY 11797
Michele A. Konner	Senior Vice President, Asst. Secretary	201 East 79th Street New York, NY 10021
Roger P. Marhefka	Senior Vice President	4 Headley Ct. Denville, NJ 07834
Donald J. McLoughlin	Senior Vice President	66 Fox Run South Salem, NY 10590
Edward J. Morris	Senior Vice President, Assistant Secretary	130 Cambridge Avenue Stewart Manor, NY 11530
James J. O'Neill	Senior Vice President	566 Forest Avenue New Rochelle, NY 10804
Salvatore P. Patanio	Senior Vice President	972 Lamont Avenue Staten Island, NY 10309
Richard A. Beberman	Vice President	350 East 52nd Street, #4J New York, NY 10022
John Benanti	Vice President	37 Valdemar Avenue Staten Island, NY 10309
Keith A. Bernhard	Vice President	66 Oakwood Avenue Farmingdale, NY 11735
Rosario S. Ilacqua	Vice President	2 Horatio Street, #15J New York, NY 10014
Madeleine C. Jaldon	Vice President	61-15 98th Street, #5J Rego Park, NY 11374
Scott T. Jones	Vice President	54 Longfield Drive Neshanic, NJ 08853
Paul M. Levesque	Vice President	525 Park Avenue New York, NY 10021
Joyce Luke	Vice President	12 Degraw Avenue Clifton, NJ 07013

ROTHSCHILD INC. - OFFICERS & DIRECTORS

1992

Dennis B. McAlpine	Vice President	901 Lexington Avenue New York, NY 10021
John J. McCarron	Vice President	4 North Court Mahopac, NY 10541
James McCarthy	Vice President	Route 2, Box 255E Bullet Hole Road Patterson, NY 12563
Jerry Phillip Melin	Vice President	38 Vanderbilt Avenue Manhasset, NY 11030
John W. Near	Vice President	6 Beverly Road Bronxville, NY 10708
Daniel M. O'Connor	Vice President	110 South Street Fairfield, CT 06430
Larry A. Oshita	Vice President	1214 Bloomfield Street Hoboken, NJ 07030
Frank J. Prezelski	Vice President	375 W. Mountain Road Ridgefield, CT 06877
James H. Ramhold	Vice President	245 East 37th Street, #5H New York, NY 10016
James J. Ramp	Vice President	615 Sweetwater Drive Langhorne, PA 19053
Emily L. Turner	Vice President	10 Plaza Street Brooklyn, NY 11238
Michael P. Burke	Asst. Vice President	215-09 47th Avenue, #3D Bayside, NY 11361
John P. Callaway	Asst. Vice President	63 East 9th Street New York, NY 10003
Sherri A. Croasdale	Asst. Vice President	47 Tilrose Avenue Malverne, NY 11565
Salvatore A. D'Aliso	Asst. Vice President	15 Chimney Ash Farm Road Basking Ridge, NJ 07920
Scott L. Geller	Asst. Vice President	6 Allen Court Manalapan, NJ 07728
Steven L. Gidumal	Asst. Vice President	200 East 89th Street, #20E New York, NY 10128

ROTHSCHILD INC. - OFFICERS & DIRECTORS

1992

Annette D. Grimm	Asst. Vice President	54 Hammock Lane Staten Island, NY 10312
Debra A. Heffler	Asst. Vice President	169-04 21st Road Whitestone, NY 11357
George Islamoff	Asst. Vice President	23 Jamie Court Staten Island, NY 10314
Matthew W. Kaplan	Asst. Vice President	230 East 15th Street, #2A New York, NY 10003
Timothy J. Kindregan	Asst. Vice President	328 Pemberwick, #4 Greenwich, CT 06831
Vincent Lopez	Asst. Vice President	22 Lafayette Court North Brunswick, NJ 08902
Claude C. Mare	Asst. Vice President	4301 46th Street Sunnyside, NY 11104
Timothy D. McCarthy	Asst. Vice President	197 Signal Hill Road Wilton, CT 06897
Girard F. Oberrender III	Asst. Vice President	10 Ryan Way Bridgewater, NJ 08807
Robert de Rothschild	Asst. Vice President	c/o Rothschild Inc. One Rockefeller Plaza New York, NY 10020
Andrew B. Samett	Asst. Vice President	235 West 102nd Street, #12D New York, NY 10025
Gary E. Stiller	Asst. Vice President	1323 9th Street West Babylon, NY 11704

Filing Fee \$50.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
100 NORTH MAIN STREET
PROVIDENCE, RHODE ISLAND 02903

Corporate ID 0023473

Annual Report for the year 1991

FIRST: The name of the corporation is

ROTHSCHILD INC.

SECOND: It is incorporated under the laws of Delaware

THIRD: Character of business, briefly stated, is Broker-dealer engaged in securities and
currency trading, investment banking and venture capital.

FOURTH: If foreign corporation, address of its principal office. One Rockefeller Plaza,
New York, NY 10020

FIFTH: Business address in Rhode Island None

SIXTH: Names and addresses of its directors and officers:

Please see
attached list.

(Attach rider if necessary)

Name

Office

Address (including number, street, zip code)

Director

Director

Director

President

Vice President

Secretary

Treasurer

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
2,000	Common	-	\$10.00

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
1,445	Common	-	\$10.00

PAID

MAR 04 1991

SECY OF STATE

Dated Feb. 27 1991

Rothschild Inc.

(Name of Corporation)

By

Michelle A. Kerner

Title

Vice President, Asst. Secretary

(Report must be signed by an officer)

March 1, 1991

BUSINESS ADDRESS

One Rockefeller Plaza
New York, NY 10020

ROTSCHILD INC.

OFFICERS & DIRECTORS

1991

RESIDENCE ADDRESS

* Robert S Pirie	President & CEO	Aquila Farm Hamilton, MA 01936
* Gerald Goldsmith	Executive Managing Director	19 Manitoba Way Marlboro, NJ 07746
Robert H. Andrew	Senior Managing Director, CFO & Assistant Secretary	19 Silverbrook Road Westport, CT 06880
* Wilbur L. Ross, Jr.	Senior Managing Director	One West 72nd Street New York, NY 10023
John A. Conlon, Jr.	Managing Director	34 Brookridge Drive Greenwich, CT 06830
John F. Cooper-Mullin	Managing Director	Barney Park Irvington, NY 10533
* Peter A. Fried	Managing Director & Secretary	8 South Harding Drive South Orange, NJ 07079
Keith D. Lowry	Managing Director	11 Belden Hill Road Wilton, CT 06897
Neil P. Lucey	Managing Director	47 Halliwell Drive Stamford, CT 06902
David L. Wax	Managing Director	169 Redding Road Easton, CT 06612
Ivan L. Wolff	Managing Director	165 Laurel Hill Road Mountain Lakes, NJ 07046
Sydney Gruson	Senior Advisor	136 East 79th Street New York, NY 10021
Lee E. Buchwald	Senior Vice President	115 Fourth Avenue, #5C New York, NY 10003
Edwin T. Burton	Senior Vice President	Windermere Farm P.O. Box 354 Earlsville, VA 22936

* Director
0446S/13

ROTHSCHILD INC.

OFFICERS & DIRECTORS

1991

Craig K. Castilla	Senior Vice President	240 East 47th Street New York, NY 10017
Jeffrey S. Himmel	Senior Vice President	400 East 56th Street, #14B New York, NY 10022
Robert R. Husa	Senior Vice President	16 Harbor Court East Roslyn Harbor, NY 11576
Herbert V. Jacobs	Senior Vice President	14 Kodiak Drive Woodbury, NY 11797
Roger P. Marhefka	Senior Vice President	4 Headley Ct. Denville, NJ 07834
Edward J. Morris	Senior Vice President, Assistant Secretary	130 Cambridge Avenue Stewart Manor, NY 11530
James J. O'Neill	Senior Vice President	566 Forest Avenue New Rochelle, NY 10804
Salvatore P. Patanio	Senior Vice President	972 Lamont Avenue Staten Island, NY 10309
Martha S. Sproule	Senior Vice President	111 East 85th Street New York, NY 10028
Richard A. Beberman	Vice President	350 East 52nd Street, #4J New York, NY 10022
John Benanti	Vice President	37 Valdemar Avenue Staten Island, NY 10309
Jonathan L. Bernstein	Vice President	40 East 15th Street, #5B New York, NY 10011
Rosario S. Ilacqua	Vice President	2 Horatio Street, #15J New York, NY 10014
Madeleine C. Jaldon	Vice President	61-15 98th Street, #5J Rego Park, NY 11374
Scott T. Jones	Vice President	54 Longfield Drive Neshanic, NJ 08853
Michele A. Konner	Vice President, Asst. Secretary	201 East 79th Street New York, NY 10021

* Director

0446S/14

ROTESCHILD INC.

OFFICERS & DIRECTORS

1991

Kenneth R. Kulju	Vice President	182 East 95th Street, #21G New York, NY 10128
Paul M. Levesque	Vice President	525 Park Avenue New York, NY 10021
Joyce Luke	Vice President	12 Degraw Avenue Clifton, NJ 07013
James McCarthy	Vice President	Route 2, Box 255E Bullet Hole Road Patterson, NY 12563
Donald J. McLoughlin	Vice President	66 Fox Run South Salem, NY 10590
Jerry Phillip Melin	Vice President	38 Vanderbilt Avenue Manhasset, NY 11030
Frank J. Prezelski	Vice President	375 W. Mountain Road Ridgefield, CT 06877
James H. Ramhold	Vice President	245 East 37th Street, #5H New York, NY 10016
Harvey L. Tepner	Vice President	11-Fifth Avenue, #8L New York, NY 10003
Sima B. Auerbach	Asst. Vice President	42 Colgate Road Great Neck, NY 11023
Keith A. Bernhard	Asst. Vice President	66 Oakwood Avenue Farmingdale, NY 11735
John P. Callaway	Asst. Vice President	310 East 46th Street, #11W New York, NY 10017
Robert M. Canlon	Asst. Vice President	2930-3 Rockaway Avenue, #16 Oceanside, NY 11572
Sherri A. Croasdale	Asst. Vice President	400 East 83rd Street, #1A New York, NY 10028
Salvatore A. D'Aliso	Asst. Vice President	15 Chimney Ash Farm Road Basking Ridge, NJ 07920

* Director

0446S/15

ROTSCHILD INC.

OFFICERS & DIRECTORS

1991

Scott L. Geller	Asst. Vice President	6 Allen Court Manalapan, NJ 07728
Annette D. Grimm	Asst. Vice President	54 Hammock Lane Staten Island, NY 10312
Debra A. Heffler	Asst. Vice President	169-04 21st Road Whitestone, NY 11357
George Islamoff	Asst. Vice President	23 Jamie Court Staten Island, NY 10314
Matthew W. Kaplan	Asst. Vice President	230 East 15th Street, #2A New York, NY 10003
Timothy J. Kindregan	Asst. Vice President	328 Pemberwick, #4 Greenwich, CT 06831
Vincent Lopez	Asst. Vice President	22 Lafayette Court North Brunswick, NJ 08902
Claude C. Mare	Asst. Vice President	4301 46th Street Sunnyside, NY 11104
Timothy D. McCarthy	Asst. Vice President	197 Signal Hill Road Wilton, CT 06897
John W. Near	Asst. Vice President	1360 Midland Avenue Bronxville, NY 10708
Girard F. Oberrender III	Asst. Vice President	18 Redwood Road Martinsville, NJ 08836
Peter Osenni	Asst. Vice President	1726 68th Street Brooklyn, NY 11204
Larry A. Oshita	Asst. Vice President	1214 Bloomfield Street Hoboken, NJ 07030
Robert de Rothschild	Asst. Vice President	c/o Rothschild Inc. One Rockefeller Plaza New York, NY 10020
Andrew B. Samett	Asst. Vice President	235 West 102nd Street, #12D New York, NY 10025
Gary E. Stiller	Asst. Vice President	6 Parkside Drive Carle Place, NY 11514
Emily L. Turner	Asst. Vice President	10 Plaza Street Brooklyn, NY 11238

* Director

Filing Fee \$15.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
100 NORTH MAIN STREET
PROVIDENCE, RHODE ISLAND 02903

Corporate ID 0023473

Annual Report for the year

FIRST: The name of the corporation is Rothschild Inc.

SECOND: It is incorporated under the laws of Delaware

THIRD: Character of business, briefly stated, is Broker-dealer engaged in securities and currency trading, investment banking and venture capital.

FOURTH: If foreign corporation, address of its principal office One Rockefeller Plaza,
New York, New York 10020

FIFTH: Business address in Rhode Island None

SIXTH: Names and addresses of its directors and officers:

(Attach rider if necessary)

Name Office
Please see attached list.

Address (including number, street, zip code)

Director

Director

Director

President

Vice President

Secretary

Treasurer

SEVENTH: Number of Shares authorized:

No. of Shares
2,000

Class
Common

Series
-

Par Value
or statement that
shares are without
par value
\$10.00

SECY. OF STATE

EIGHTH: Number of Shares issued:

No. of Shares
1,445

Class
Common

Series
-

Par Value
or statement that
shares are without
par value
\$10.00

Dated February 27 1990

Rothschild Inc.
(Name of Corporation)

By Michele A. Kanner

(Report must be signed by an officer)

Title Assistant Secretary Vice President

January 1990

ROTHSCHILD INC.

DIRECTORS

1990

Robert S Pirie	Aquila Farm Hamilton, MA 01936
Jess L. Belser	East Hill Farm R.R. #4, Box 844 West Guilford, VT 05301
Peter A. Fried	8 South Harding Drive South Orange, NJ 07079
Gerald Goldsmith	19 Manitoba Way Marlboro, NJ 07746
Wilbur L. Ross, Jr.	One West 72nd Street New York, NY 10023

February 7, 1990

BUSINESS ADDRESS

One Rockefeller Plaza
New York, NY 10020

ROTHSCHILD INC.

OFFICERS

1990

RESIDENCE ADDRESS

* Robert S Pirie	President & CEO	Aquila Farm Hamilton, MA 01936
* Gerald Goldsmith	Executive Managing Director	19 Manitoba Way Marlboro, NJ 07746
* Jess L. Belser	Senior Managing Director	East Hill Farm R.R. #4, Box 844 West Guilford, VT 05301
* Wilbur L. Ross, Jr.	Senior Managing Director	One West 72nd Street New York, NY 10023
Robert H. Andrew	Managing Director, CFO & Assistant Secretary	19 Silverbrook Road Westport, CT 06880
John A. Conlon, Jr.	Managing Director	34 Brookridge Drive Greenwich, CT 06830
John F. Cooper-Mullin	Managing Director	Barney Park Irvington, NY 10533
* Peter A. Fried	Managing Director & Secretary	8 South Harding Drive South Orange, NJ 07079
Keith D. Lowry	Managing Director	11 Belden Hill Road Wilton, CT 06897
Neil P. Lucey	Managing Director	47 Halliwell Drive Stamford, CT 06902
Douglas S. Luke, Jr.	Managing Director	444 Central Park West New York, NY 10025
Ivan L. Wolff	Managing Director	165 Laurel Hill Road Mountain Lakes, NJ 07046
Sydney Gruson	Senior Advisor	136 East 79th Street New York, NY 10021
Lee E. Buchwald	Senior Vice President	115 Fourth Avenue, #5C New York, NY 10003

ROTHSCHILD INC.

OFFICERS

1990

Edwin T. Burton	Senior Vice President	Windermere Farm P.O. Box 354 Earlsville, VA 22936
Craig K. Castilla	Senior Vice President	240 East 47th Street New York, NY 10017
Robert R. Husa	Senior Vice President	16 Harbor Court East Roslyn Harbor, NY 11576
Herbert V. Jacobs	Senior Vice President	14 Kodiak Drive Woodbury, NY 11797
Christopher D. Kirby	Senior Vice President	Southgate, #6C Alger Court Bronxville, NY 10708
Roger P. Marhefka	Senior Vice President	4 Headley Ct. Denville, NJ 07834
Edward J. Morris	Senior Vice President, Assistant Secretary	130 Cambridge Avenue Stewart Manor, NY 11530
Peter J.C. Mosse	Senior Vice President	353 East 72nd Street, #33D New York, NY 10021
James J. O'Neill	Senior Vice President	566 Forest Avenue New Rochelle, NY 10804
Salvatore P. Patanio	Senior Vice President	972 Lamont Avenue Staten Island, NY 10309
Martha S. Sproule	Senior Vice President	111 East 85th Street New York, NY 10028
Richard A. Beberman	Vice President	350 East 52nd Street, #4J New York, NY 10022
John Benanti	Vice President	37 Valdemar Avenue Staten Island, NY 10309
Jonathan L. Bernstein	Vice President	40 East 15th Street, #5B New York, NY 10011
Jeffrey S. Himmel	Vice President	400 East 56th Street, #14B New York, NY 10022
Madeleine C. Jaldon	Vice President	61-15 98th Street, #5J Rego Park, NY 11374

* Director
0446S/14

ROTHSCHILD INC.

OFFICERS

1990

Scott T. Jones	Vice President	54 Longfield Drive Neshanic, NJ 08853
Michele A. Konner	Vice President, Asst. Secretary	201 East 79th Street New York, NY 10021
Kenneth R. Kulju	Vice President	182 East 95th Street, #21G New York, NY 10128
Paul M. Levesque	Vice President	525 Park Avenue New York, NY 10021
James McCarthy	Vice President	Route 2, Box 255E Bullet Hole Road Patterson, NY 12563
Richard W. McGraw	Vice President	62-56 83rd Street Middle Village, NY 11379
Donald J. McLoughlin	Vice President	66 Fox Run South Salem, NY 10590
Nancy J. Plessner	Vice President	418 East 77th Street, #1H New York, NY 10021
James H. Ramhold	Vice President	245 East 37th Street, #5H New York, NY 10016
Harvey L. Tepner	Vice President	11-Fifth Avenue, #8L New York, NY 10003
Sima B. Auerbach	Asst. Vice President	42 Colgate Road Great Neck, NY 11023
Mary Anne Berardino-Carey	Asst. Vice President	240 S. Broadway, #12D Tarrytown, NY 10591
Robert M. Canlon	Asst. Vice President	2930-3 Rockaway Avenue, #16 Oceanside, NY 11572
Salvatore A. D'Aliso	Asst. Vice President	1001 Clinton Street Hoboken, NJ 07030

* Director
0446S/15

ROTHSCHILD INC.

OFFICERS

1990

Gwendolyn E. Day	Asst. Vice President	2 Inness Place Glen Ridge, NJ 07028
Scott L. Geller	Asst. Vice President	334 83rd Street Brooklyn, NY 11209
Annette D. Grimm	Asst. Vice President	54 Hammock Lane Staten Island, NY 10312
George Islamoff	Asst. Vice President	23 Jamie Court Staten Island, NY 10314
Vincent Lopez	Asst. Vice President	1401 Suwanee Drive North Brunswick, NJ 08902
Claude C. Mare	Asst. Vice President	4301 46th Street Sunnyside, NY 11104
Timothy D. McCarthy	Asst. Vice President	7 West Elm Street, #4A Greenwich, CT 06830
Peter Osenni	Asst. Vice President	1726 68th Street Brooklyn, NY 11204
Larry A. Oshita	Asst. Vice President	1214 Bloomfield Street Hoboken, NJ 07030
Kevin T. Radell	Asst. Vice President	435 East 76th Street, #3C New York, New York 10021
Robert de Rothschild	Asst. Vice President	c/o Rothschild Inc. One Rockefeller Plaza New York, NY 10020
Gary E. Stiller	Asst. Vice President	6 Parkside Drive Carle Place, NY 11514
Emily L. Turner	Asst. Vice President	10 Plaza Street Brooklyn, NY 11238

* Director

0446S/16

Filing Fee \$15.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
100 NORTH MAIN STREET
PROVIDENCE, RHODE ISLAND 02903

Corporate ID 0023473

Annual Report for the year 1988 **1989**

FIRST: The name of the corporation is Rothschild Inc.

SECOND: It is incorporated under the laws of Delaware

THIRD: Character of business, briefly stated, is Broker-dealer engaged in securities,
commodities & currency trading, investment banking and venture capital.

FOURTH: If foreign corporation, address of its principal office 229 South State Street,
Dover, DE 19901

FIFTH: Business address in Rhode Island None at present.

SIXTH: Names and addresses of its directors and officers: (Attach rider if necessary)

Name

Office

Address (including number, street, zip code)

Please see attached list. Director

Director

Director

President

Vice President

Secretary

Treasurer

SEVENTH: Number of Shares authorized:

No. of Shares

Class

Series

Par Value
or statement that
shares are without
par value

2,000

Common

-

PAID

\$10.00

EIGHTH: Number of Shares issued:

No. of Shares

Class

Series

Par Value
or statement that
shares are without
par value

1,445

Common

-

\$10.00

Dated February 28 19 89

Rothschild Inc.

(Name of Corporation)

By

Secretary

Title

(Report must be signed by an officer)

January 1989

ROTHSCHILD INC.

DIRECTORS

1989

Robert S Pirie	Aquila Farm Hamilton, MA 01936
Jess L. Belser	East Hill Farm R.R. #1, Box 844 West Guilford, VT 05301
Peter A. Fried	8 South Harding Drive South Orange, NJ 07079
Gerald Goldsmith	19 Manitoba Way Marlboro, NJ 07746
Wilbur L. Ross, Jr.	One West 72nd Street New York, NY 10023

BUSINESS ADDRESS

One Rockefeller Plaza
New York, NY 10020

ROTSCHILD INC.**OFFICERS****1989****RESIDENCE ADDRESS**

* Robert S Pirie	President & CEO	Aquila Farm Hamilton, MA 01936
* Gerald Goldsmith	Executive Managing Director	19 Manitoba Way Marlboro, NJ 07746
* Jess L. Belser	Senior Managing Director	East Hill Farm R.R. #1, Box 844 West Guilford, VT 05301
* Wilbur L. Ross, Jr.	Senior Managing Director	One West 72nd Street New York, NY 10023
Robert H. Andrew	Managing Director, CFO & Assistant Secretary	1675 York Avenue New York, NY 10028
Carl A. Bafaro	Managing Director	417 Shelbourne Terrace Ridgewood, NJ 07450
John F. Cooper-Mullin	Managing Director	Barney Park Irvington, NY 10533
Leslie M. Duryea	Managing Director	6 Monarch Bay Laguna Beach, CA 92677
* Peter A. Fried	Managing Director & Secretary	8 South Harding Drive South Orange, NJ 07079
Neil P. Lucey	Managing Director	47 Halliwell Drive Stamford, CT 06902
Douglas S. Luke, Jr.	Managing Director	444 Central Park West New York, NY 10025
Ivan L. Wolff	Managing Director	165 Laurel Hill Road Mountain Lakes, NJ 07046
Sydney Gruson	Senior Advisor	136 East 79th Street New York, NY 10021
Lee E. Buchwald	Senior Vice President	145 Fourth Avenue, #14H New York, NY 10003

* Director
0446S/26

ROTHSCHILD INC.

OFFICERS

1989

Edwin T. Burton	Senior Vice President	Windmere Farms P.O. Box 354 Earlsville, VA 22936
Craig K. Castilla	Senior Vice President	240 East 47th Street New York, NY 10017
Robert Husa	Senior Vice President	16 Harbor Court East Roslyn Harbor, NY 11576
Herbert V. Jacobs	Senior Vice President	14 Kodiak Drive Woodbury, NY 11797
Christopher Kirby	Senior Vice President	401 East 80th St., #7J New York, NY 10021
Patrick Latterell	Senior Vice President	444 East 86th Street, #32F New York, NY 10028
Keith D. Lowry	Senior Vice President	11 Belden Hill Road Wilton, CT 06897
Peter Mosse	Senior Vice President	353 East 72nd Street, #33D New York, NY 10021
Salvatore Patanio	Senior Vice President	160 Russek Drive Staten Island, NY 10312
Martha S. Sproule	Senior Vice President	111 East 85th Street New York, NY 10028
John Benanti	Vice President	37 Valdemar Avenue Staten Island, NY 10309
Jonathan Bernstein	Vice President	60 East 12th Street, #8L New York, NY 10003
Victoria A. Butcher	Vice President	200 Rector Place New York, NY 10003
Jeffrey S. Himmel	Vice President	400 East 56th Street, #14B New York, NY 10022
Madeleine Jaldon	Vice President	61-15 98th Street Rego Park, NY 11374
Michele A. Konner	Vice President	201 East 79th St., Box 32 New York, NY 10021

* Director

ROTHSCHILD INC.

OFFICERS

1989

Kenneth R. Kulju	Vice President	182 East 95th Street New York, NY 10128
Paul M. Levesque	Vice President	525 Park Avenue New York, NY 10021
James McCarthy	Vice President	Route 2, Box 255E Bullet Hole Road Patterson, NY 12563
Richard W. McGraw	Vice President	65-47 Myrtle Avenue Glendale, NY 11385
Donald J. McLoughlin	Vice President	66 Fox Run South Salem, NY 10590
Edward J. Morris	Vice President, Assistant Secretary	130 Cambridge Avenue Stewart Manor, NY 11530
James J. O'Neill	Vice President	566 Forest Avenue New Rochelle, NY 10804
Nancy J. Plessner	Vice President	418 East 77th Street, #1H New York, NY 10021
James H. Ramhold	Vice President	245 East 37th Street, #5H New York, NY 10016
Richard A. Sommer	Vice President	34 Horton Lane New Canaan, CT 06840
Harvey L. Tepner	Vice President	235 East 95th St., Apt. 10M New York, NY 10128
Ann M. Woods	Vice President	4 Park Avenue, #19R New York, NY 10016
Sima Auerbach	Asst. Vice President	42 Colgate Road Great Neck, NY 11023
Richard A. Beberman	Asst. Vice President	350 East 52nd Street, #4J New York, NY 10022
Mary Anne Berardino	Asst. Vice President	34 Adams Street Garden City, NY 11530
Robert M. Canlon	Asst. Vice President	108 Prospect Avenue Staten Island, NY 10305

* Director

ROTHSCHILD INC.

OFFICERS

1989

Gwendolyn E. Day	Asst. Vice President	2 Inness Place Glen Ridge, NJ 07028
Scott L. Geller	Asst. Vice President	334 83rd Street Brooklyn, NY 11209
Annette D. Grimm	Asst. Vice President	4750 Bedford Avenue, #7K Brooklyn, NY 11235
Scott T. Jones	Asst. Vice President	54 Longfield Drive Neshanic, NJ 08853
Vincent Lopez	Asst. Vice President	47 1/2 E. 7th St., Apt. CC New York, NY 10003
Claude C. Mare	Asst. Vice President	4301 46th Street Sunnyside, NY 11104
Timothy D. McCarthy	Asst. Vice President	27 College Avenue Upper Montclair, NJ 07043
Peter Osenni	Asst. Vice President	1726 68th Street Brooklyn, NY 11204
Larry A. Oshita	Asst. Vice President	1214 Bloomfield Street Hoboken, NJ 07030
Robert de Rothschild	Asst. Vice President	c/o Rothschild Inc. One Rockefeller Plaza New York, NY 10020
Gary E. Stiller	Asst. Vice President	6 Parkside Drive Carle Place, NY 11514
Emily L. Turner	Asst. Vice President	10 Plaza Street Brooklyn, NY 11238

* Director

Filing Fee \$15.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
270 WESTMINSTER MALL
PROVIDENCE, RHODE ISLAND 02903

Corporate ID 23473 Annual Report for the year 1988

FIRST: The name of the corporation is Rothschild Inc.

SECOND: It is incorporated under the laws of Delaware

THIRD: Character of business, briefly stated, is Broker-dealer engaged in securities, commodities and precious metals trading, investment banking, venture capital and advisory related management services.

FOURTH: If foreign corporation, address of its principal office

One Rockefeller Plaza, New York, NY 10020

FIFTH: Business address in Rhode Island None at present.

SIXTH: Names and addresses of its directors and officers: (Attach rider if necessary)

Name	Office	Address (including number, street, zip code)
Please see attached list.	Director	
	Director	
	Director	
	President	
	Vice President	
	Secretary	
	Treasurer	

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
34,000	Common	--	\$10.00
7,040	Class A Nonvoting	--	.01
29,333	Class B Nonvoting	--	.01

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
1,000	Common	--	\$10.00

PAID

MAR 28 1988

Dated March 21 19 88 STATE OF RHODE ISLAND Rothschild Inc.
(Name of Corporation)

BUSINESS ADDRESS
One Rockefeller Plaza
New York, NY 10020

February 1988

ROTHSCHILD INC.

OFFICERS

1988

		<u>RESIDENCE ADDRESS</u>
* Robert S Pirie	President & CEO	Aquila Farm Hamilton, MA 01936
* Gerald Goldsmith	Executive Managing Director	19 Manitoba Way Marlboro, NJ 07746
* Wilbur L. Ross, Jr.	Senior Managing Director	One West 72nd Street New York, NY 10023
Robert H. Andrew	Managing Director, CFO & Assistant Secretary	1675 York Avenue New York, NY 10028
Carl A. Bafaro	Managing Director	417 Shelbourne Terrace Ridgewood, NJ 07450
* Jess L. Belser	Managing Director	70 Morningside Drive South Westport, CT 06880
John F. Cooper-Mullin	Managing Director	Barney Park Irvington, NY 10533
* Peter A. Fried	Managing Director & Secretary	8 South Harding Drive South Orange, NJ 07079
Douglas S. Luke, Jr.	Managing Director	444 Central Park West New York, NY 10025
Ivan L. Wolff	Managing Director	165 Laurel Hill Road Mountain Lakes, NJ 07046
Robert Husa	Senior Vice President	16 Harbor Court East Roslyn Harbor, NY 11576
Herbert V. Jacobs	Senior Vice President	14 Kodiak Drive Woodbury, NY 11797

* Director

ROTHSCHILD INC.

OFFICERS

1988

Keith D. Lowry	Senior Vice President	11 Belden Hill Road Wilton, CT 06897
Neil P. Lucey	Senior Vice President	47 Halliwell Drive Stanford, CT 06902
Peter Mosse	Senior Vice President	353 East 72nd Street, #33D New York, NY 10021
Lewis W. Parker	Senior Vice President	320 East 46th Street, #17B New York, NY 10017
Salvatore Patanio	Senior Vice President	160 Russek Drive Staten Island, NY 10312
John Benanti	Vice President	37 Valdemar Avenue Staten Island, NY 10309
Jonathan Bernstein	Vice President	60 East 12th Street, #8L New York, NY 10003
Lee E. Buchwald	Vice President	145 Fourth Avenue, #14H New York, NY 10003
Craig K. Castilla	Vice President	240 East 47th Street New York, NY 10017
Emanuel H. Glantz	Vice President	660 Hickory Street Washington Tnshp., NJ 07675
Jeffrey S. Himmel	Vice President	400 East 56th Street, #14B New York, NY 10022
Harry A. Ikenson	Vice President	250 Gorge Road, #28K Cliffside Park, NJ 07010
Madeleine Jaldon	Vice President	61-15 98th Street Rego Park, NY 11374
Christopher Kirby	Vice President	401 East 80th St., #7J New York, NY 10021
Patrick Latterell	Vice President	444 East 86th Street, #32F New York, NY 10028
Marion R. Lee	Vice President	99 Spring Street, #5 New York, NY 10012

* Director

ROTHSCHILD INC.

OFFICERS

1988

James McCarthy	Vice President	Route 2, Box 255E Bullet Hole Road Patterson, NY 12563
Richard W. McGraw	Vice President	65-47 Myrtle Avenue Glendale, NY 11385
Donald J. McLoughlin	Vice President	66 Fox Run South Salem, NY 10590
Edward J. Morris	Vice President, Assistant Secretary	45-69 Parsons Blvd. Flushing, NY 11355
James J. O'Neill	Vice President	566 Forest Avenue New Rochelle, NY 10804
Nancy J. Plesser	Vice President	418 East 77th Street, #1H New York, NY 10021
James H. Ramhold	Vice President	245 East 37th Street, #5H New York, NY 10016
Richard A. Sommer	Vice President	34 Horton Lane New Canaan, CT 06840
Martha S. Sproule	Vice President	111 East 85th Street New York, NY 10028
Katherine G. Sullivan	Vice President	215 West 84th Street, #129 New York, NY 10024
Ann M. Woods	Vice President	4 Park Avenue, #19R New York, NY 10016
Sima Auerbach	Asst. Vice President	42 Colgate Road Great Neck, NY 11023
Mary Anne Berardino	Asst. Vice President	34 Adams Street Garden City, NY 11530
Robert M. Canlon	Asst. Vice President	108 Prospect Avenue Staten Island, NY 10305
Gwendolyn E. Day	Asst. Vice President	2 Inness Place Glen Ridge, NJ 07028
Scott L. Geller	Asst. Vice President	334 83rd Street Brooklyn, NY 11209

* Director

ROTHSCHILD INC.

OFFICERS

1988

Annette D. Grimm	Asst. Vice President	4750 Bedford Avenue, #7K Brooklyn, NY 11235
Scott T. Jones	Asst. Vice President	54 Longfield Drive Neshanic, NJ 08853
Michele A. Konner	Asst. Vice President	201 East 79th St., Box 32 New York, NY 10021
Vincent Lopez	Asst. Vice President	47 1/2 E. 7th St., Apt. CC New York, NY 10003
Claude C. Mare	Asst. Vice President	4301 46th Street Sunnyside, NY 11104
Timothy D. McCarthy	Asst. Vice President	27 College Avenue Upper Montclair, NJ 07043
Peter Osenni	Asst. Vice President	1726 68th Street Brooklyn, NY 11204
* Robert de Rothschild	Asst. Vice President	c/o Rothschild Inc. One Rockefeller Plaza New York, NY 10020
Harvey L. Tepner	Asst. Vice President	235 East 95th St., Apt. 10M New York, NY 10128

* Director

BUSINESS ADDRESS
One Rockefeller Plaza
New York, NY 10020

February 1988

ROTHSCHILD INC.

DIRECTORS

1988

SOCIAL SECURITY #

Robert S Pirie
Aquila Farm
Hamilton, MA 01936

103-28-7660

Jess L. Belser
70 Morningside Drive South
Westport, CT 06880

074-12-0094

Peter A. Fried
8 South Harding Drive
South Orange, NJ 07079

023-24-7010

Gerald Goldsmith
19 Manitoba Way
Marlboro, NJ 07746

084-34-1387

Wilbur L. Ross, Jr.
One West 72nd Street
New York, NY 10023

141-30-7307

Filing Fee \$15.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
270 WESTMINSTER MALL
PROVIDENCE, RHODE ISLAND 02903

Corporate ID 23473 Annual Report for the year 1987

FIRST: The name of the corporation is Rothschild Inc.

SECOND: It is incorporated under the laws of Delaware

THIRD: Character of business, briefly stated, is Broker-dealer engaged in securities, commodities,
and currently trading for its own and customer accounts, investment banking, advisory and
related management services.

FOURTH: If foreign corporation, address of its principal office One Rockefeller Plaza
New York, NY 10020

FIFTH: Business address in Rhode Island 110 Dyer Street, Suite 3A
Providence, RI 02903

SIXTH: Names and addresses of its directors and officers: (Attach rider if necessary)

Name

Office

Address (including number, street, zip code)

.....	Director	
<u>Please see attached list.</u>	Director	
.....	Director	
.....	President	
.....	Vice President	
.....	Secretary	
.....	Treasurer	

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
34,000	Common	-	\$10.00
7,040	Class A Non-Voting	-	.01
29,333	Class B Non-Voting	-	.01

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
24,000	Common	-	\$10.00
5,927	Class A Non-Voting	-	.01
29,333	Class B Non-Voting	-	.01

Dated February 2 19 87 Rothschild Inc.

APR 06 ENT'D

(Name of Corporation)

By

(Report must be signed by an officer)

Title Managing Director

BUSINESS ADDRESS

One Rockefeller Plaza
New York, NY 10020

1/1/87

ROTHSCHILD INC.OFFICERS1987RESIDENCE ADDRESS

* Robert S Pirie	President & CEO	Aquila Farm Hamilton, MA 01936
* Gerald Goldsmith	Executive Managing Director	19 Manitoba Way Marlboro, NJ 07746
Robert H. Andrew	Managing Director and CFO	1675 York Avenue New York, NY 10028
Carl A. Bafaro	Managing Director	417 Shelbourne Terrace Ridgewood, NJ 07450
* Jess L. Belser	Managing Director	70 Morningside Drive South Westport, CT 06880
* Aniello A. Bianco	Managing Director	201 W. 70 Street, #42K New York, NY 10023
* Robert H. Buckles	Managing Director	969 Park Avenue, #2F New York, NY 10028
John F. Cooper-Mullin	Managing Director	Barney Park Irvington, NY 10533
* Edmond Cotty	Managing Director	One Fenimore Pond Road Scarsdale, NY 10538
Peter A. Fried	Managing Director	8 South Harding Drive South Orange, NJ 07079
* John D. McGurk	Managing Director	201 East 87th Street New York, NY 10028
* Wilbur L. Ross, Jr.	Managing Director	One West 72nd Street New York, NY 10023
Joseph B. Schwartz	Managing Director	185 West End Avenue, #18C New York, NY 10023

* Director

1/1/87

ROTHSCHILD INC.

OFFICERS

1987

Martin Boorstein	Senior Vice President	12 Carlton Road Great Neck, NY 11021
Robert Husa	Senior Vice President	16 Harbor Court East Roslyn Harbor, NY 11576
Herbert V. Jacobs	Senior Vice President	14 Kodiak Drive Woodbury, NY 11797
Douglas S. Luke, Jr.	Senior Vice President	444 Central Park West New York, NY 10025
Peter Mosse	Senior Vice President	353 East 72nd Street, #33D New York, NY 10021
Lewis W. Parker	Senior Vice President	320 East 46th Street, #17B New York, NY 10017
Salvatore Patanio	Senior Vice President	160 Russek Drive Staten Island, NY 10312
Donald M. Sagman	Senior Vice President	5 East 22nd Street, #21J New York, NY 10010
Richard Y. Smith	Senior Vice President	127 Evergreen Avenue Rye, NY 10580
Ivan L. Wolff	Senior Vice President	165 Laurel Hill Road Mountain Lakes, NJ 07046
John Benanti	Vice President	37 Valdemar Avenue Staten Island, NY 10309
Jonathan Bernstein	Vice President	60 East 12th Street, #8L New York, NY 10003
Laurence J. Botticelli	Vice President	34 1/2 Madison Street Morristown, NJ 07960
Lee E. Buchwald	Vice President	145 Fourth Avenue, #14H New York, NY 10003

* Director

1/1/87

ROTHSCHILD INC.

OFFICERS

1987

Craig K. Castilla	Vice President	240 East 47th Street New York, NY 10017
Thomas A. Gamon	Vice President	11 Spring Street Chatham Twp., NJ 07928
Emanuel H. Glantz	Vice President	660 Hickory Street Washington Tnshp., NJ 07675
Harry A. Ikenson	Vice President	250 Gorge Road, #28K Cliffside Park, NJ 07010
Christopher Kirby	Vice President	401 East 80th St., #7J New York, NY 10021
Marion R. Lee	Vice President	99 Spring Street, #5 New York, NY 10012
Keith D. Lowry	Vice President	11 Belden Hill Road Wilton, CT 06897
Neil P. Lucey	Vice President	47 Halliwell Drive Stanford, CT 06902
James McCarthy	Vice President	Route 2, Box 255E Bullet Hole Road Patterson, NY 12563
Richard W. McGraw	Vice President	65-47 Myrtle Avenue Glendale, NY 11385
Donald J. McLoughlin	Vice President	66 Fox Run South Salem, NY 10590
Edward J. Morris	Vice President	45-69 Parsons Blvd. Flushing, NY 11355
James J. O'Neill	Vice President	566 Forest Avenue New Rochelle, NY 10804
Nancy J. Plesser	Vice President	418 East 77th Street, #1H New York, NY 10021
James H. Ramhold	Vice President	245 East 37th Street, #5H New York, NY 10016

1/1/87

ROTHSCHILD INC.

OFFICERS

1987

Richard A. Sommer	Vice President	34 Horton Lane New Canaan, CT 06840
Martha S. Sproule	Vice President	111 East 85th Street New York, NY 10028
Katherine G. Sullivan	Vice President	215 West 84th Street, #129 New York, NY 10024
Ann M. Woods	Vice President	4 Park Avenue, #19R New York, NY 10016
Sima Auerbach	Asst. Vice President	42 Colgate Road Great Neck, NY 11023
Mary Anne Berardino	Asst. Vice President	34 Adams Street Garden City, NY 11530
Annette D. Grimm	Asst. Vice President	4750 Bedford Avenue, #7K Brooklyn, NY 11235
Madeleine Jaldon	Asst. Vice President	61-15 98th Street Rego Park, NY 11374
Patrick Latterell	Asst. Vice President	444 East 86th Street, #32F New York, NY 10028
Michael J. Minahan	Asst. Vice President	65-20 Booth St., #5A Rego Park, NY 11374
Peter Osenni	Asst. Vice President	1726 68th Street Brooklyn, NY 11204
* Robert de Rothschild	Asst. Vice President	c/o Rothschild Inc. One Rockefeller Plaza New York, NY 10020

* Director

12/2/86

ROTHSCHILD INC.

DIRECTORS

1986

*Evelyn de Rothschild	c/o N.M. Rothschild & Sons Limited P.O. Box No. 185 New Court St. Swithin's Lane London EC4P 4DU England
*Baron David de Rothschild	c/o Rothschild & Associates Banque 17 Avenue Matignon 75008 Paris France
+*Baron Guy de Rothschild	c/o Rothschild Inc. One Rockefeller Plaza New York, NY 10020
*Baron Eric de Rothschild	c/o Rothschild & Associates Banque 17 Avenue Matignon 75008 Paris France
Robert de Rothschild	c/o Rothschild Inc. One Rockefeller Plaza New York, NY 10020
*Robert S Pirie	Aquila Farm Hamilton, MA 01936
Jess L. Belser	70 Morningside Drive South Westport, CT 06880
Aniello A. Bianco	201 W. 70 Street, Apt. 42K New York, NY 10023
Robert H. Buckles	969 Park Avenue, Apt. 2F New York, NY 10028
Edmond Cotty	One Fenimore Pond Road Scarsdale, NY 10538

+ Chairman of the Executive Committee
* Member of the Executive Committee
++ Honorary Director

12/2/86

ROTHSCHILD INC.

DIRECTORS

1986

Jacques Getten	c/o Rothschild & Associates Banque 17 Avenue Matignon 75008 Paris France
Gerald Goldsmith	19 Manitoba Way Marlboro, NJ 07746
Dr. Alfred Hartmann	c/o Rothschild Bank A.G. Zollikerstrasse 181 8034, Zurich Switzerland
*John Loudon	c/o N.M. Rothschild & Sons Limited P.O. Box No. 185 New Court St. Swithin's Lane London EC4P 4DU England
*Nicolas McAndrew	c/o N.M. Rothschild & Sons Limited P.O. Box No. 185 New Court St. Swithin's Lane London EC4P 4DU England
John D. McGurk	201 East 87th Street New York, NY 10028
Bernard Myers	c/o N.M. Rothschild & Sons Limited P.O. Box No. 185 New Court St. Swithin's Lane London EC4P 4DU England

-
- + Chairman of the Executive Committee
 - * Member of the Executive Committee
 - ++ Honorary Director

12/2/86

ROTHSCHILD INC.

DIRECTORS

1986

Michael Richardson

c/o N.M. Rothschild & Sons
Limited
P.O. Box No. 185
New Court
St. Swithin's Lane
London EC4P 4DU
England

Wilbur L. Ross, Jr.

One West 72nd Street
New York, NY 10023

++G. Peter Fleck

Bay House, Quanset Road
South Orleans, MA 02662

-
- + Chairman of the Executive Committee
 - * Member of the Executive Committee
 - ++ Honorary Director

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
270 WESTMINSTER MALL
PROVIDENCE, RHODE ISLAND 02903Corporate ID 23473 Annual Report for the year 1986FIRST: The name of the corporation is Rothschild Inc.SECOND: It is incorporated under the laws of DelawareTHIRD: Character of business, briefly stated, is broker/dealer

FOURTH: If foreign corporation, address of its principal office

One Rockefeller Plaza, New York, NY 10020FIFTH: Business address in Rhode Island 101 Dyer Street, Suite 3-A, Providence, RI
02903

SIXTH: Names and addresses of its directors and officers: (Attach rider if necessary)

Name

Office

Address (including number, street, zip code)

Director

SEE ATTACHED

Director

Director

President

Vice President

Secretary

Treasurer

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
34,000	Common	--	\$10.00
7,040	Class A nonvoting Common	--	\$.01
29,333	Class B nonvoting Common	--	\$.01

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
24,000	Common	--	\$10.00
5,927	Class A nonvoting Common	--	\$.01
29,333	Class B nonvoting Common	--	\$.01

Dated January 24 19 86

FEB 19 1986

(Report must be signed by an officer)

0083A001
Rothschild Inc.
(Name of Corporation)

By

Title

Managing Director

1/1/86

ROTHSCHILD INC.

OFFICERS

1986

* Robert S Pirie	President & CEO	Aquila Farm Hamilton, MA 01936
Robert H. Andrew	Managing Director/CFO	1675 York Avenue New York, NY 10028
Carl A. Bafaro	Managing Director	417 Shelbourne Terrace Ridgewood, NJ 07450
Jess L. Belser	Managing Director	70 Morningside Drive South Westport, CT 06880
* Aniello A. Bianco	Managing Director	201 W. 70 Street, Apt. 42K New York, NY 10023
* Robert H. Buckles	Managing Director	420 E. 72nd Street New York, NY 10021
* Edmond Cotty	Managing Director	One Fenimore Pond Road Scarsdale, NY 10538
Peter A. Fried	Managing Director	8 South Harding Drive South Orange, NJ 07079
A. Stanley Gluck	Managing Director/ Secretary	60 East End Avenue New York, NY 10028
* Gerald Goldsmith	Managing Director/ Exec. Vice President	19 Manitoba Way Marlboro, NJ 07746
* John D. McGurk	Managing Director	201 East 87th Street New York, NY 10028
* Wilbur L. Ross, Jr.	Managing Director	One West 72nd Street New York, NY 10023
Martin Boorstein	Senior Vice President	12 Carlton Road Great Neck, NY 11021
John F. Cooper-Mullin	Senior Vice President	108 Pierrepont Street Brooklyn, NY 11201

* Director

1/1/86

ROTHSCHILD INC.

OFFICERS

1986

Mark M. Feldman	Senior Vice President	3 Church Lane Scarsdale, NY 10583
Robert Husa	Senior Vice President	16 Harbor Court East Roslyn Harbor, NY 11576
Laurence E. Levine	Senior Vice President	40 East 94th St., Apt. 14F New York, NY 10028
Douglas S. Luke, Jr.	Senior Vice President	444 Central Park West New York, NY 10025
Peter Mosse	Senior Vice President	353 East 72nd Street #33D New York, NY 10021
Salvatore Patanio	Senior Vice President	160 Russek Drive Staten Island, NY 10312
Richard Y. Smith	Senior Vice President	127 Evergreen Avenue Rye, NY 10580
Ivan L. Wolff	Senior Vice President	165 Laurel Hill Road Mountain Lakes, NJ 07046
John Benanti	Vice President	37 Valdemar Avenue Staten Island, NY 10309
Jonathan Bernstein	Vice President	60 East 12th Street #8L New York, NY 10003
Robert A. Bettigole	Vice President	21 Robin Hill Road Scarsdale, NY 10583
Thomas A. Gamon	Vice President	11 Spring Street Chatham Twp., NJ 07928
Shelley R. Gilbert	Vice President	Tower Hill Road Tuxedo Park, NY 10987
Emanuel R. Glantz	Vice President	660 Hickory Street Washington Tnshp., NJ 07675
Harry A. Ikenson	Vice President	250 Gorge Road, Apt. 28K Cliffside Park, NJ 07010

* Director

1/1/86

ROTHSCHILD INC.

OFFICERS

1986

Herbert V. Jacobs	Vice President	14 Kodiak Drive Woodbury, NY 11797
Keith D. Lowry	Vice President	9 Quintard Avenue Old Greenwich, CT 06870
Neil P. Lucey	Vice President	47 Halliwell Drive Stanford, CT 06902
Donald J. McLoughlin	Vice President	66 Fox Run South Salem, NY 10590
Irene R. Miller	Vice President	157 West 78th Street New York, NY 10024
Edward J. Morris	Vice President	45-69 Parsons Blvd. Flushing, NY 11355
Lewis W. Parker	Vice President	320 E. 46th St., Apt. 17B New York, NY 10017
Donald Sagman	Vice President	5 East 22nd St., Apt. 21J New York, NY 10010
Peter Simpson	Vice President/ Treasurer	240 East 82nd Street #4E New York, NY 10028
Richard A. Sommer	Vice President	34 Horton Lane New Canaan, CT 06840
Sima Auerbach	Asst. Vice President	42 Colgate Road Great Neck, NY 11023
Lee E. Buchwald	Asst. Vice President	145 Fourth Avenue, 14th New York, NY 10003
Craig K. Castilla	Asst. Vice President	240 East 47th Street New York, NY 10017
Annette D. Grimm	Asst. Vice President	4750 Bedford Avenue, 7K Brooklyn, NY 11235
Madeleine Jaldon	Asst. Vice President	61-15 98th Street Rego Park, NY 11374

* Director

1/1/86

ROTHSCHILD INC.

OFFICERS

1986

Thomas V. Keenan, Jr.	Asst. Vice President	100 W. 87th Street, 4A New York, NY 10024
Kathleen A. Kelly	Asst. Vice President	22 Gramercy Park South New York, NY 10010
Robert M. Manning	Asst. Vice President	360 East 65th St., Apt. 10B New York, NY 10021
Richard W. McGraw	Asst. Vice President	65-47 Myrtle Avenue Glendale, NY 11385
Michael J. Minahan	Asst. Vice President	65-20 Booth St., Apt. 5A Rego Park, NY 11374
Nancy J. Plessner	Asst. Vice President	418 East 77th Street New York, NY 10021
* Robert de Rothschild	Asst. Vice President	c/o Rothschild Inc. One Rockefeller Plaza New York, NY 10020
Frank P. Russo	Asst. Vice President	2430 Benson Avenue Brooklyn, NY 11214
Katherine G. Sullivan	Asst. Vice President	395 Broadway, 15A New York, NY 10013
Ann M. Woods	Asst. Vice President	4 Park Avenue South, 19R New York, NY 10016

* Director

1/1/86

ROTHSCHILD INC.

DIRECTORS

1986

*Evelyn de Rothschild	c/o N.M. Rothschild & Sons Limited P.O. Box No. 185 New Court St. Swithin's Lane London EC4P 4DU England
*Baron David de Rothschild	c/o P.O. Banque 17 Avenue Matignon 75008 Paris France
+*Baron Guy de Rothschild	c/o Rothschild Inc. One Rockefeller Plaza New York, NY 10020
*Baron Eric de Rothschild	c/o P.O. Banque 17 Avenue Matignon 75008 Paris France
Robert de Rothschild	c/o Rothschild Inc. One Rockefeller Plaza New York, NY 10020
*Robert S Pirie	Aquila Farm Hamilton, MA 01936
Jess L. Belser	70 Morningside Drive South Westport, CT 06880
Aniello A. Bianco	201 W. 70 Street, Apt. 42K New York, NY 10023
Robert H. Buckles	420 E. 72nd Street New York, NY 10021

+ Chairman of the Executive Committee
* Member of the Executive Committee
++ Honorary Director

1/1/86

ROTHSCHILD INC.

DIRECTORS

1986

Edmond Cotty One Fenimore Pond Road
Scarsdale, NY 10538

Jacques Getten c/o P.O. Banque
17 Avenue Matignon
75008 Paris
France

Gerald Goldsmith 19 Manitoba Way
Marlboro, NJ 07746

Dr. Alfred Hartmann c/o Rothschild Bank A.G.
Zollikerstrasse 181
8034, Zurich
Switzerland

*John Loudon c/o N.M. Rothschild & Sons
Limited
P.O. Box No. 185
New Court
St. Swithin's Lane
London EC4P 4DU
England

*Nicolas McAndrew c/o N.M. Rothschild & Sons
Limited
P.O. Box No. 185
New Court
St. Swithin's Lane
London EC4P 4DU
England

John D. McGurk 201 East 87th Street
New York, NY 10028

Bernard Myers c/o N.M. Rothschild & Sons
Limited
P.O. Box No. 185
New Court
St. Swithin's Lane
London EC4P 4DU
England

+ Chairman of the Executive Committee

* Member of the Executive Committee

++ Honorary Director

1/1/86

ROTHSCHILD INC.

DIRECTORS

1986

Michael Richardson

c/o N.M. Rothschild & Sons
Limited
P.O. Box No. 185
New Court
St. Swithin's Lane
London EC4P 4DU
England

Wilbur L. Ross, Jr.

One West 72nd Street
New York, NY 10023

++G. Peter Fleck

Bay House, Quanset Road
South Orleans, MA 02662

-
- + Chairman of the Executive Committee
 - * Member of the Executive Committee
 - ++ Honorary Director

Filing Fee \$15.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
270 WESTMINSTER MALL
PROVIDENCE, RHODE ISLAND 02903

Corporate ID 23473

Annual Report for the year 1985

FIRST: The name of the corporation is Rothschild Inc.

SECOND: It is incorporated under the laws of Delaware

THIRD: Character of business, briefly stated, is Broker-dealers engaged in securities, commodities and currency trading for its own and customer accounts.

FOURTH: If foreign corporation, address of its principal office One Rockefeller Plaza, New York, New York 10020

FIFTH: Business address in Rhode Island c/o United States Corporation Company, 101 Dyer Street, Suite 3-A, Providence, Rhode Island 02903

SIXTH: Names and addresses of its directors and officers:

(Attach rider if necessary)

Name

Office

Address (including number, street, zip code)

Director

(SEE ATTACHED LIST)

Director

Director

President

Vice President

Secretary

Treasurer

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
34,000	Common	--	\$10.00
7,040	Class A nonvoting Common	--	\$.01
29,333	Class B nonvoting Common	--	\$.01

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
---------------	-------	--------	--

Dated 3-15 1985

Rothschild Inc.

(Name of Corporation)

By

[Signature]
S. V. P.

Title

(Report must be signed by an officer)

2/27/85

ROTHSCHILD INC.

OFFICERS

1985

* Evelyn de Rothschild	Co-Chairman	c/o N.M. Rothschild & Sons Limited P.O. Box No. 185 New Court St. Swithin's Lane London EC4P 4DU England
* David de Rothschild	Co-Chairman	c/o P.O. Banque 17 Avenue Matignon 75008 Paris France
* Robert S Pirie	President & CEO	Aquila Farm Hamilton, MA 01936
* Aniello A. Bianco	Managing Director & CFO	201 W. 70 Street, Apt. 42K New York, NY 10023
James C. Blair	Managing Director	85 Balcourt Drive Princeton, NJ 08540
* Robert H. Buckles	Managing Director	420 E. 72nd Street New York, NY 10021
* Edmond Cotty	Managing Director	One Fenimore Pond Road Scarsdale, NY 10538
* James T. Gahan	Managing Director	25 South Drive Plandome, NY 11030
A. Stanley Gluck	Managing Director/ Secretary	60 East End Avenue New York, NY 10028
* Gerald Goldsmith	Managing Director	19 Manitoba Way Marlboro, NJ 07746
* Archie J. McGill	Managing Director	289-1 Mount Harmony Road Bernardsville, NJ 07924
* John D. McGurk	Managing Director	201 East 87th Street New York, NY 10028
* Wilbur L. Ross, Jr.	Managing Director	One West 72nd Street New York, NY 10023

* Director

2/27/85

ROTHSCHILD INC.

OFFICERS

1985

* John Loudon	c/o N.M. Rothschild & Sons Limited P.O. Box No. 185 New Court St. Swithin's Lane London EC4P 4DU England
* Nicolas McAndrew	c/o N.M. Rothschild & Sons Limited P.O. Box No. 185 New Court St. Swithin's Lane London EC4P 4DU England
* Bernard Myers	c/o N.M. Rothschild & Sons Limited P.O. Box No. 185 New Court St. Swithin's Lane London EC4P 4DU England
*Michael Richardson	c/o N.M. Rothschild & Sons Limited P.O. Box No. 185 New Court St. Swithin's Lane London EC4P 4DU England
* Lord Rothschild	c/o N.M. Rothschild & Sons Limited P.O. Box No. 185 New Court St. Swithin's Lane London EC4P 4DU England
* Eric de Rothschild	c/o P.O. Banque 17 Avenue Matignon 75008 Paris France
* Jacques Getten	c/o P.O. Banque 17 Avenue Matignon 75008 Paris France
* Director	

2/27/85

ROTHSCHILD INC.

OFFICERS

1985

* Dr. Alfred Hartmann		c/o Rothschild Bank A.G. Zollikerstrasse 181 8034, Zurich Switzerland
* Baron Guy de Rothschild		c/o Rothschild Inc. One Rockefeller Plaza New York, NY 10020
Robert H. Andrew	Senior Vice President	1675 York Avenue New York, NY 10028
Carl Bafaro	Senior Vice President	417 Shelbourne Terrace Ridgewood, NJ 07450
Martin Boorstein	Senior Vice President	12 Carlton Road Great Neck, NY 11021
John F. Cooper-Mullin	Senior Vice President	108 Pierrepont Street Brooklyn, NY 11201
Mark M. Feldman	Senior Vice President	3 Church Lane Scarsdale, NY 10583
Peter A. Fried	Senior Vice President	8 South Harding Drive South Orange, NJ 07079
Robert Husa	Senior Vice President	16 Harbor Court East Roslyn Harbor, NY 11576
I. B. Krim	Senior Vice President	72 Carlyle Green Staten Island, NY 10312
Douglas S. Luke, Jr.	Senior Vice President	444 Central Park West New York, NY 10025
Peter Mosse	Senior Vice President	353 East 72nd Street #33D New York, NY 10021
Salvatore Patanio	Senior Vice President	160 Russek Drive Staten Island, NY 10312
Richard Y. Smith	Senior Vice President	127 Evergreen Avenue Rye, NY 10580
Ivan L. Wolff	Senior Vice President	165 Laurel Hill Road Mountain Lakes, NJ 07046

* Director

2/27/85

ROTHSCHILD INC.

OFFICERS

1985

John Benanti	Vice President	37 Valdemar Avenue Staten Island, NY 10309
Jonathan Bernstein	Vice President	60 East 12th Street #8L New York, NY 10003
Thomas A. Gamon	Vice President	11 Spring Street Chatham Twp., NJ 07928
Shelley R. Gilbert	Vice President	Tower Hill Road Tuxedo Park, NY 10987
Emanuel H. Glantz	Vice President	660 Hickory Street Washington Tnshp., NJ 07677
Harry A. Ikenson	Vice President	250 Gorge Road, Apt. 28K Cliffside Park, NJ 07010
Herbert V. Jacobs	Vice President	14 Kodiak Drive Woodbury, NY 11797
Jennifer H. Lobo	Vice President	123 East 54th Street New York, NY 10022
Keith D. Lowry	Vice President	9 Quintard Avenue Old Greenwich, CT 06870
Irene R. Miller	Vice President	157 West 78th Street New York, NY 10024
Edward J. Morris	Vice President	45-69 Parsons Blvd. Flushing, NY 11355
Lewis W. Parker	Vice President	320 E. 46th St., Apt. 17B New York, NY 10017
Donald Sagman	Vice President	5 East 22nd St., Apt. 21J New York, NY 10010
Peter Simpson	Vice President	240 East 82nd Street #4E New York, NY 10028
Sima Auerbach	Asst. Vice President	42 Colgate Road Great Neck, NY 11023
Robert A. Bettigole	Asst. Vice President	21 Robin Hill Road Scarsdale, NY 10583

* Director

2/27/85

ROTHSCHILD INC.

OFFICERS

1985

Lee E. Buchwald	Asst. Vice President	145 Fourth Avenue, 14H New York, NY 10003
Annette D. Grimm	Asst. Vice President	4750 Bedford Avenue, 7K Brooklyn, NY 11235
Madeleine Jaldon	Asst. Vice President	61-15 98th Street Rego Park, NY 11374
Thomas V. Keenan, Jr.	Asst. Vice President	100 W. 87th Street, 4A New York, NY 10024
Richard W. McGraw	Asst. Vice President	65-47 Myrtle Avenue Glendale, NY 11385
Donald McLoughlin	Asst. Vice President	11 Park Hill Place Yonkers, NY 10705
Thomas L. Phillips	Asst. Vice President	57 West 94th Street New York, NY 10025
* Robert de Rothschild	Asst. Vice President	c/o Rothschild Inc. One Rockefeller Plaza New York, NY 10020
Frank P. Russo	Asst. Vice President	2430 Benson Avenue Brooklyn, NY 11214
Katherine G. Sullivan	Asst. Vice President	395 Broadway, 15A New York, NY 10013
Ann M. Woods	Asst. Vice President	4 Park Avenue South, 19R New York, NY 10016

* Director

ROTHSCHILD INC.

DIRECTORS

1985

Evelyn de Rothschild (Co-Chairman)	c/o N.M. Rothschild & Sons Limited P.O. Box No. 185 New Court St. Swithin's Lane London EC4P 4DU England
Baron David de Rothschild (Co-Chairman)	c/o P.O. Banque 17 Avenue Matignon 75008 Paris France
Baron Guy de Rothschild	c/o Rothschild Inc. One Rockefeller Plaza New York, NY 10020
Lord Rothschild	c/o N.M. Rothschild & Sons Limited P.O. Box No. 185 New Court St. Swithin's Lane London EC4P 4DU England
Baron Eric de Rothschild	c/o P.O. Banque 17 Avenue Matignon 75008 Paris France
Robert de Rothschild	c/o Rothschild Inc. One Rockefeller Plaza New York, NY 10020
Robert S Pirie	Aquila Farm Hamilton, MA 01936
Aniello A. Bianco	201 W. 70 Street Apt. 42K New York, NY 10023
Robert H. Buckles	420 E. 72nd Street New York, NY 10021

Edmond Cotty	One Fenimore Pond Road Scarsdale, NY 10538
G. Peter Fleck	Bay House, Quanset Rd. South Orleans, MA 02662
James T. Gahan	25 South Drive Plandome, NY 11030
Jacques Getten	c/o P.O. Banque 17 Avenue Matignon 75008 Paris France
Gerald Goldsmith	19 Manitoba Way Marlboro, NJ 07746
Dr. Alfred Hartmann	c/o Rothschild Bank A.G. Zollikerstrasse 181 8034, Zurich Switzerland
John Loudon	c/o N.M. Rothschild & Sons Limited P.O. Box No. 185 New Court St. Swithin's Lane London EC4P 4DU England
Nicholas McAndrew	c/o N.M. Rothschild & Sons Limited P.O. Box No. 185 New Court St. Swithin's Lane London EC4P 4DU England
Archie J. McGill	289-1 Mount Harmony Road Bernardsville, NJ 07924
John D. McGurk	201 East 87th Street New York, NY 10028
Bernard Myers	c/o N.M. Rothschild & Sons Limited P.O. Box No. 185 New Court St. Swithin's Lane London EC4P 4DU England

Michael Richardson

c/o N.M. Rothschild & Sons
Limited
P.O. Box No. 185
New Court
St. Swithin's Lane
London EC4P 4DU
England

Wilbur L. Ross, Jr.

One West 72nd Street
New York, NY 10023

Filing fee. \$15.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations
OFFICE OF THE SECRETARY OF STATE

Annual Report for the year 1984

FIRST: The name of the corporation is Rothschild Inc.

SECOND: It is incorporated under the laws of Delaware

THIRD: Character of business, briefly stated, is Broker-dealers engaged in securities, commodities and currency trading for its own and customer accounts, investment banking, advisory and related management services.

FOURTH: If foreign corporation, address of its principal office

One Rockefeller Plaza, New York, New York 10020

FIFTH: Business address in Rhode Island

101 Dyer Street, Suite 3-A, Providence, RI 02903

SIXTH: Names and addresses of its directors and officers:

(Addresses must include street and number, if any)

Name	Office	Address
	Director	
See attached rider	Director	
	Director	
	President	
	Vice President	
	Secretary	
	Treasurer	

(If additional space is needed, attach rider)

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
24,000	Common Stock	--	\$10.00
3,720	Class A nonvoting Common Stock	--	\$.01
9,468	Class B nonvoting Common Stock	--	\$.01

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
24,000	Common Stock	--	\$10.00
3,720	Class A nonvoting Common Stock	--	\$.01
9,468	Class B nonvoting Common Stock	--	\$.01

Dated: 3/1/84 1984 Rothschild Inc.

(Name of Corporation)

By *Phil H. K...*
Title Senior Vice President

(Report must be signed by an officer)

If the corporation has changed its registered office and/or its registered agent,
Form #9 must be filed. Please contact Corporation Division for information. 277-3040

OFFICERS OF

ROTHSCHILD INC.

<u>Name</u>	<u>Title</u>	<u>Address</u>	<u>Birthdate</u>
Robert S Pirie	President and Chief Executive Officer	641 Bay Road Hamilton, MA 01936	5/8/34
Robert H. Andrew	Vice-President and Assistant Secretary	1675 York Avenue New York, NY 10020	6/7/51
Aniello A. Bianco	Chief Financial Officer	475 Taconic Road Greenwich, CT 06830	3/26/40
A. Stanley Gluck	Secretary and Treasurer	60 East End Avenue New York, NY 10028	5/1/19
Edward J. Morris	Assistant Secretary and Assistant Treasurer	45-69 Parsons Blvd. Flushing, NY 11355	3/22/48
Paul L. Roseman	Assistant Treasurer	98 Hampton Place Rossville, NY 10309	5/17/51

DIRECTORS OF
ROTHSCHILD INC.

<u>Name</u>	<u>Address</u>
Baron David de Rothschild	One Rockefeller Plaza New York, NY 10020
Baron Eric de Rothschild	One Rockefeller Plaza New York, NY 10020
Baron Guy de Rothschild	One Rockefeller Plaza New York, NY 10020
Baron Nathaniel de Rothschild	1040 Fifth Avenue New York, NY 10028
Jacques Getten	One Rockefeller Plaza New York, NY 10028
John Loudon	26 Chelsea Square London, England
Nicolas McAndrew	White Lodge TWYFORD Winchester, Hampshire England
Evelyn de Rothschild	Ascott House Wing, Leightonbuzzard, Bedfordshire, U.K.
Lord Rothschild	11 Herschel Road Cambridge, England
Michael Richardson	One Rockefeller Plaza New York, NY 10020
Robert S Pirie	641 Bay Road Hamilton, MA 01936
Aniello A. Bianco	475 Taconic Road Greenwich, CT 06830
Edmond Cotty	1 Fenimore Road Scarsdale, NY 10538
Francis X. Driscoll	8 Hansen Lane Huntington Station, NY 11746
Gerald Goldsmith	19 Manitoba Way Marlboro, NJ 07746

DIRECTORS OF
ROTHSCHILD INC.
(continued)

<u>Name</u>	<u>Address</u>
John D. McGurk	201 East 87th St. New York, NY 10028
Wilbur L. Ross, Jr.	1 West 72nd St. New York, NY 10023
Madelon D. Talley	876 Park Avenue New York, NY 10021
William L. Asmundson	500 Thayer Pond Rd. Wilton, CT 06820

\$20.00

Corp. I.D.# 23473

**STATEMENT OF CHANGE OF REGISTERED OFFICE
OR REGISTERED AGENT, OR BOTH,
OF
ROTHSCHILD INC.**

To the Secretary of State

of the State of Rhode Island

Pursuant to the provisions of Section 7-1.1-12 (d) and/or 7-1.1-107(d) of the General Laws, 1956, as amended, the undersigned corporation, organized under the laws of the State of Rhode Island, submits the following statement for the purpose of changing the registered office in the State of Rhode Island of the corporation hereinafter named in Article FIRST.

FIRST: The name of the corporation is ROTHSCCHILD INC.

SECOND: The address of its present registered office is 101 Dyer Street, Suite 3-A, Providence, Rhode Island 02903.

THIRD: The address to which its registered office is to be changed is 170 Westminster Street, Providence, Rhode Island 02903.

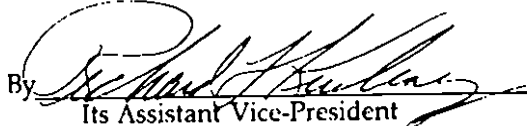
FOURTH: The name of its present registered agent is United States Corporation Company.

FIFTH: The address of its registered office and the address of the business office of its registered agent, as changed, will be identical.

SIXTH: A copy of this statement has been mailed to the corporation.

Dated February 10, 1992.

UNITED STATES CORPORATION COMPANY

By 
Its Assistant Vice-President

STATE OF)
COUNTY OF) Sc.
)

At _____ in said county on this _____ day of _____, _____, personally appeared before me _____, who, being by me first duly sworn, declared that he is the _____ of _____ that he signed the foregoing document as _____ of the corporation, and that the statements therein contained are true.

(NOTARIAL SEAL)

PAID

Notary Public

FORM 9

APR - 6 1992

SECY OF STATE

CZ #78268