



# State of Rhode Island and Providence Plantations

Office of the Secretary of State / Corporations Division

A. Ralph Mollis

Secretary of State

January 5, 2007

AMERICAN GROWTH FUND SPONSORS, INC.  
110 16<sup>th</sup> Street, Suite 1400  
Denver, CO 80202

**Corporations  
Division**

**Business Section**

Phone: 401-222-3040  
Fax: 401-222-1309  
corporations@sec.state.ri.us

**Business Information  
Center**

Phone: 401-222-2185  
Fax: 401-222-1309  
businessinfo@sec.state.ri.us

**Notary & Trademark  
Sections**

Phone: 401-222-1487  
Fax: 401-222-3879  
notaries@sec.state.ri.us  
trademarks@sec.state.ri.us

**UCC Section**

Phone: 401-222-3040  
Fax: 401-222-3879  
ucc@sec.state.ri.us

148 W. River Street  
Providence, RI  
02904-2615

Re: **AMERICAN GROWTH FUND SPONSORS, INC.**  
**20058**

Dear Sir or Madam:

On January 3, 2007, this office accepted for filing a 2007 annual report for the above named corporation. It has come to our attention that you listed a different corporate name in section 2.

An annual report is not the vehicle to use to change a corporate name. In order to properly change the name of a corporation you must file an Amended Certificate of Authority along with a \$75.00 filing fee.

You can obtain the forms on our website at [www.sec.state.ri.us](http://www.sec.state.ri.us). If you have any questions, please feel free to contact me.

Very truly yours,

Andrea M. Francese  
Assistant to the Director



STATE OF RHODE ISLAND  
AND PROVIDENCE PLANTATIONS  
Office of the Secretary of State

Matthew A. Brown, Secretary of State  
Corporations Division  
148 W. River St  
Providence, RI 02904-2615  
401.222.3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2007

Filing Period: January 1 - March 1 • Filing Fee: \$50.00\*

\* In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

1 Corporate ID No <u>20058</u>		2 Name of Corporation <u>World Capital Brokerage, Inc.</u>			
3 Street Address Principal Business Office <u>110 16th St. Ste 1400</u>			City <u>Denver</u>	State <u>CO</u>	Zip <u>80202</u>
4 Business Phone No <u>303-626-0631</u>		5 State of Incorporation <u>Colorado</u>			
6 Brief Description of the Character of Business Conducted in Rhode Island <u>Securities Broker/Dealer doing a General Securities Business.</u>					
7. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS					
President Name <u>Robert Brody</u>			Vice President Name <u>Timothy E. Taggart</u>		
Street Address <u>110 16th St. Ste 1400</u>			Street Address <u>110 16th St. Ste 1400</u>		
City <u>Denver</u>	State <u>CO</u>	Zip <u>80202</u>	City <u>Denver</u>	State <u>CO</u>	Zip <u>80202</u>
Secretary Name <u>Timothy E. Taggart</u>			Treasurer Name <u>Robert Brody</u>		
Street Address <u>110 16th St Ste 1400</u>			Street Address <u>110 16th St. Ste 1400</u>		
City <u>Denver</u>	State <u>CO</u>	Zip <u>80202</u>	City <u>Denver</u>	State <u>CO</u>	Zip <u>80202</u>
8. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS					
Director Name <u>Robert Brody</u>			Director Name <u>Timothy E. Taggart</u>		
Street Address <u>110 16th St. Ste 1400</u>			Street Address <u>110 16th St. Ste 1400</u>		
City <u>Denver</u>	State <u>CO</u>	Zip <u>80202</u>	City <u>Denver</u>	State <u>CO</u>	Zip <u>80202</u>
Director Name			Director Name		
Street Address			Street Address		
City	State	Zip	City	State	Zip
9. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/>					
AUTHORIZED SHARES					
Number of Shares	Class/Series	Par Value	Number of Shares	Class/Series	Par Value
<u>50,000</u>	<u>Comm</u>	<u>\$1.00</u>	<u>24,500</u>	<u>Common</u>	<u>1.00</u>
10. SHARES ISSUED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/>					
ISSUED SHARES					
Number of Shares	Class/Series	Par Value	Number of Shares	Class/Series	Par Value

This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

<b>FILED</b>	
File Date	<u>JAN 03 2007</u>
Check No	
By	<u>16247</u>
FOR SECRETARY OF STATE USE ONLY	

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature Timothy E. Taggart Date 12-11-06  
Print or Type Name  
Executive Vice President  
Title