



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
Office of the Secretary of State
Matthew A. Brown, Secretary of State

Corporations Division
100 North Main Street
Providence, RI 02903-1335
401.222.3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2005

Filing Period: January 1 - March 1 • Filing Fee: \$50.00

FORM MUST BE TYPED OR PRINTED IN BLACK

1. Corporate ID No. 74064		2. Name of Corporation MONEY CONCEPTS CAPITAL CORP.		
3. Street Address Principal Business Office 7121 Fairway Drive, Ste. 202		City Palm Beach Gardens	State Florida	Zip 33418-3764
4. Business Phone No. (561) 472-2006		5. State of Incorporation FLORIDA		6. SIC Code 6064
7. Brief Description of the Character of Business Conducted in Rhode Island SELL MUTUAL FUNDS, ANNUITIES & LIMITED PARTNERSHIPS.				
8. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS				
President Name Denis Walsh		Vice President Name Mario Monteiro		
Street Address 2372 Bay Village Court		Street Address 12136 Riverbend Tr.		
City Palm Beach Gardens	State FL	Zip 33410	City Port Lucie	State FL
Secretary Name Denis Walsh		Treasurer Name Denis Walsh		
Street Address 2372 Bay Village Court		Street Address 2372 Bay Village Court		
City Palm Beach Gardens	State FL	Zip 33410	City Palm Beach Gardens	State FL
9. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS				
Director Name John P. Walsh		Director Name Denis Walsh		
Street Address 10 Alston Road		Street Address 2372 Bay Village Court		
City Palm Beach Gardens	State FL	Zip 33418	City Palm Beach Gardens	State FL
10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT) ☐				
11. SHARES ISSUED ("X" BOX FOR ATTACHMENT) ☐				
AUTHORIZED SHARES		ISSUED SHARES		
Number of Shares	Class/Series	Par Value	Number of Shares	Class/Series
100 COMM NO PAR VALUE			100	Common
				\$.00

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



74064

FILED

APR 28 2005

By

LCB

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer

Mario Monteiro

Print or Type Name of Officer

Vice President

Title of Officer

Date

2/21/05



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

Matthew A. Brown, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401 272 5040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2004

Filing Period: January 1 - March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No.

2. Name of Corporation

74064

Money Concepts Capital Corp.

3. Street Address Principal Business Office

City

State

Zip

7121 Fairway Drive

Palm Beach Gardens FL

33418-3764

4. Business Phone No

5. State of Incorporation

6. SIC Code

(561) 472-2000

Florida

7. Brief Description of the Character of Business Conducted in Rhode Island

Non Clearing Broker/Dealer/Finance

8. NAMES AND ADDRESSES OF THE OFFICERS ("X" BOX FOR ATTACHMENT) ☒ FILL IN SPACES BEFORE USING ATTACHMENTS

President Name

Vice President Name

Denis Walsh

Mario Monteiro

Street Address

Street Address

7121 Fairway Drive

7121 Fairway Drive

City

State

Zip

City

State

Zip

Palm Beach Gardens FL

33418-3764

Palm Beach Gardens FL

33418-3764

Secretary Name

Treasurer Name

Denis Walsh

Denis Walsh

Street Address

Street Address

7121 Fairway Drive

7121 Fairway Drive

City

State

Zip

City

State

Zip

Palm Beach Gardens FL

33418-3764

Palm Beach Gardens FL

33418-3764

9. NAMES AND ADDRESSES OF THE DIRECTORS ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS

Director Name

Director Name

Denis Walsh

Street Address

7121 Fairway Drive

City

State

Zip

Palm Beach Gardens FL

33418-3764

Director Name

Street Address

City

State

Zip

10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT) ☐

AUTHORIZED SHARES

Number of Shares

Class/Series

Par Value

100

Common

\$0

11. SHARES ISSUED ("X" BOX FOR ATTACHMENT) ☐

ISSUED SHARES

Number of Shares

Class/Series

Par Value

100

Common

0

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee

FILED

File Date

JUN 18 2004

Check No.

By M3515104

By:

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer

Date

Mario Monteiro

Print or Type Name of Officer

Vice President

Title of Officer

Form 630 12-01



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

Matthew A. Brown, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401.222.3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2003

Filing Period: January 1 - March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No.

2. Name of Corporation

74004

Money Concepts Capital Corp.

3. Street Address Principal Business Office

City

State

Zip

7121 Fairway Drive

Palm Beach Gardens FL

33418-3764

4. Business Phone No.

5. State of Incorporation

6. SIC Code

(561) 472-2000

Florida

7. Brief Description of the Character of Business Conducted in Rhode Island

Non Clearing Broker/Dealer/Finance

8. NAMES AND ADDRESSES OF THE OFFICERS ("X" BOX FOR ATTACHMENT) ☒ FILL IN SPACES BEFORE USING ATTACHMENTS

President Name

Vice President Name

Denis Walsh

Mario Monteiro

Street Address

Street Address

7121 Fairway Drive

7121 Fairway Drive

City

State

Zip

City

State

Zip

Palm Beach Gardens FL

33418-3764

Palm Beach Gardens FL

33418-3764

Secretary Name

Treasurer Name

Denis Walsh

Denis Walsh

Street Address

Street Address

7121 Fairway Drive

7121 Fairway Drive

City

State

Zip

City

State

Zip

Palm Beach Gardens FL

33418-3764

Palm Beach Gardens FL

33418-3764

9. NAMES AND ADDRESSES OF THE DIRECTORS ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS

Director Name

Director Name

Denis Walsh

Street Address

Street Address

7121 Fairway Drive

City

State

Zip

City

State

Zip

Palm Beach Gardens FL

33418-3764

Director Name

Director Name

Street Address

Street Address

City

State

Zip

City

State

Zip

10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT) ☐

AUTHORIZED SHARES

Number of Shares

Class/Series

Par Value

100

Common

\$0

11. SHARES ISSUED ("X" BOX FOR ATTACHMENT) ☐

ISSUED SHARES

Number of Shares

Class/Series

Par Value

100

Common

0

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct

Signature of Officer

Date

Mario Monteiro

Print or Type Name of Officer

Vice President

Title of Officer

Form 630 12/01

FILED

File Date

JUN 18 2004

PO. 118 St 11 81 NNC

Check No.

By: 35151 604

By:

FOR SECRETARY OF STATE USE ONLY



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

Edward S. Inman, III, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-222-3040



PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2002

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No.

74064

2. Name of Corporation

MONEY CONCEPTS CAPITAL CORP.

3. Street Address Principal Business Office

7121 FAIRWAY DRIVE STE 202

PALM BEACH GARDENS FLORIDA

Zip 33418-3764

4. Business Phone No.

561-472-2000

5. State of Incorporation

FLORIDA

6. SIC Code

6064

7. Brief Description of the Character of Business Conducted in Rhode Island

NON-CLEARING BROKER DEALER

8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT)

FILL IN SPACES BEFORE USING ATTACHMENTS

President Name

DENIS WALSH

Street Address

7121 FAIRWAY DRIVE STE 202

PALM BEACH Gdns FLORIDA 33418-3764

Secretary Name

DENIS WALSH

Street Address

7121 FAIRWAY DRIVE STE 202

PALM Bch. GARDENS FLORIDA 33418-3764

Vice President Name

MARIO MONTEIRO

Street Address

7121 FAIRWAY DRIVE STE 202

PALM Bch GARDENS FLORIDA 33418-3764

Treasurer Name

DENIS WALSH

Street Address

7121 FAIRWAY DRIVE STE 202

PALM Bch GARDENS FLORIDA 33418-3764

9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT)

FILL IN SPACES BEFORE USING ATTACHMENTS

Director Name

JOHN P. WALSH

Street Address

7121 FAIRWAY DRIVE STE 202

PALM Bch. GARDENS FLORIDA 33418-3764

Director Name

DENIS WALSH

Street Address

7121 FAIRWAY DRIVE 202

PALM Bch. GARDENS FLORIDA 33418-3764

Street Address

City State Zip

Street Address

City State Zip

10. SHARES AUTHORIZED (*X* BOX FOR ATTACHMENT)

AUTHORIZED SHARES

Number of Shares Class/Series Par Value

100 COMM NO PAR VALUE

11. SHARES ISSUED (*X* BOX FOR ATTACHMENT)

ISSUED SHARES

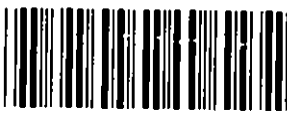
Number of Shares Class/Series Par Value

100

COMMON

0

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



* 7 4 0 6 4 *

4-1-02

File Date: 4/03/02

Check No.: 2

By: _____

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer

Date

MARIO MONTEIRO

Print or Type Name of Officer

VICE-PRESIDENT

Title of Officer

5

Form 630 12/01



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

James R. Langevin, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-277-3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR. 2001
Filing Period: January 1-March 1 • Filing Fee: \$50.00



(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. 74064 2. Name of Corporation MONEY CONCEPTS CAPITAL CORP
3. Street Address Principal Business Office 7121 FAIRWAY DRIVE SUITE 202 City PALM BEACH GARDENS State FLORIDA Zip 33418
4. Business Phone No. (561)472-2000 5. State of Incorporation FLORIDA 6. SIC Code 6064
7. Brief Description of the Character of Business Conducted in Rhode Island

NON-CLEARING BROKER DEALER

8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT)

President Name DENIS WALSH Vice President Name MARIO MONTEIRO
Street Address 7121 FAIRWAY DRIVE SUITE 202 Street Address 7121 FAIRWAY DRIVE SUITE 202
City PALM BEACH GARDENS State FLORIDA Zip 33418 City PALM BEACH GARDENS State FLORIDA Zip 33418
Secretary Name DENIS WALSH Treasurer Name DENIS WALSH
Street Address 7121 FAIRWAY DRIVE SUITE 202 Street Address 7121 FAIRWAY DRIVE SUITE 202
City PALM BEACH GARDENS State FLORIDA Zip 33418 City PALM BEACH GARDENS State FLORIDA Zip 33418

9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT)

Director Name JOHN P. WALSH Director Name DENIS WALSH
Street Address 7121 FAIRWAY DRIVE SUITE 202 Street Address 7121 FAIRWAY DRIVE SUITE 202
City PALM BEACH GARDENS State FLORIDA Zip 33418 City PALM BEACH GARDENS State FLORIDA Zip 33418

10. SHARES AUTHORIZED (*X* BOX FOR ATTACHMENT)

AUTHORIZED SHARES
Number of Shares Class/Series Par Value
100 COMMON 0

11. SHARES ISSUED (*X* BOX FOR ATTACHMENT)

ISSUED SHARES
Number of Shares Class/Series Par Value
100 COMMON 0

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee

File Date: 4-3-01

Check No.: 401341

By: [Signature]

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

[Signature] 03/14/01
Signature of Officer Date

MARIO MONTEIRO
Print or Type Name of Officer

VICE PRESIDENT
Title of Officer



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

James R. Langevin, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-277-3040



PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2000

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No

74064

2. Name of Corporation

MONEY CONCEPTS CAPITAL CORP.

3. Street Address Principal Business Office

1208 US HWY ONE

City

N. PALM BEACH

State

FLORIDA

Zip

33408

4. Business Phone No.

(561)627-0700

5. State of Incorporation

FLORIDA

6. SIC Code

6064

7. Brief Description of the Character of Business Conducted in Rhode Island

NON-CLEARING BROKER DEALER

8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT)

President Name

DENIS WALSH

Vice President Name

MARIO MONTEIRO

Street Address

1208 US HWY ONE

Street Address

1208 US HWY ONE

City

N. PALM BEACH

State

FLORIDA

Zip

33408

City

N. PALM BEACH

State

FLORIDA

Zip

33408

Secretary Name

DENIS WALSH

Treasurer Name

DENIS WALSH

Street Address

1208 US HWY ONE

Street Address

1208 US HWY ONE

City

N. PALM BEACH

State

FLORIDA

Zip

33408

City

N. PALM BEACH

State

FLORIDA

Zip

33408

9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT)

Director Name

JOHN P. WALSH

Director Name

DENIS WALSH

Street Address

1208 US HWY ONE

Street Address

1208 US HWY ONE

City

N. PALM BEACH

State

FLORIDA

Zip

33408

City

N. PALM BEACH

State

FLORIDA

Zip

33408

Director Name

Director Name

Street Address

Street Address

City

State

Zip

City

State

Zip

10. SHARES AUTHORIZED (*X* BOX FOR ATTACHMENT)

AUTHORIZED SHARES

Number of Shares

100

Class/Series

COMMON

Par Value

0

11. SHARES ISSUED (*X* BOX FOR ATTACHMENT)

ISSUED SHARES

Number of Shares

100

Class/Series

COMMON

Par Value

0

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee

File Date: 4/28/00

Check No.: 305578

By: [Signature]

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

[Signature] 8/20/2000
Signature of Officer Date

MARIO MONTEIRO

Print or Type Name of Officer

VICE PRESIDENT

Title of Officer

APR -24' 00 (MON) 11:17

MONEY-CONCEPTS

TEL: 1 561 627 8007

P. 007



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

James R. Luriggin, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-277-3000



PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 1999

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No.

74064

2. Name of Corporation

MONEY CONCEPTS CAPITAL CORP.

3. Street Address Principal Business Office

1208 US HWY ONE

City

N. PALM BEACH

State

FLORIDA

Zip

33408

4. Business Phone No.

(561)627-0700

5. State of Incorporation

FLORIDA

6. SIC Code

6064

7. Brief Description of the Character of Business Conducted in Rhode Island

NON-CLEARING BROKER-DEALER

8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT)

President Name

DENIS WALSH

Vice President Name

MARIO MONTEIRO

Street Address

1208 US HWY ONE

Street Address

1208 US HWY ONE

City

N. PALM BEACH

State

FLORIDA

Zip

33408

City

N. PALM BEACH

State

FLORIDA

Zip

33408

Secretary Name

DENIS WALSH

Treasurer Name

DENIS WALSH

Street Address

1208 US HWY ONE

Street Address

1208 US HWY ONE

City

N. PALM BEACH

State

FLORIDA

Zip

33408

City

N. PALM BEACH

State

FLORIDA

Zip

33408

9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT)

Director Name

JOHN P. WALSH

Director Name

DENIS WALSH

Street Address

1208 US HWY ONE

Street Address

1208 US HWY ONE

City

N. PALM BEACH

State

FLORIDA

Zip

33408

City

N. PALM BEACH

State

FLORIDA

Zip

33408

Director Name

Director Name

Street Address

Street Address

City

City

State

State

Zip

Zip

10. SHARES AUTHORIZED (*X* BOX FOR ATTACHMENT)

AUTHORIZED SHARES

Number of Shares

Class/Series

Par Value

100

COMMON

0

11. SHARES ISSUED (*X* BOX FOR ATTACHMENT)

ISSUED SHARES

Number of Shares

Class/Series

Par Value

100

COMMON

0

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee

FILED

JAN 21 2000

By 007451

CO. 17 877

File Date: _____

Check No: _____

By: _____

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer

Date

MARIO MONTEIRO

Print or Type Name of Officer

VICE PRESIDENT

Title of Officer

97

APR -24 00(MON) 11:18

MONEY-CONCEPTS

TEL: 1 561 627 8007

P. 008



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

James R. Langevin, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-277-3040



PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 1998

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No.

74064

2. Name of Corporation

MONEY CONCEPT CAPITAL CORP

3. Street Address Principal Business Office

1208 US HWY ONE

City

N. PALM BEACH

State

FLORIDA

Zip

33408

4. Business Phone No.

(561)627-0700

5. State of Incorporation

FLORIDA

6. SIC Code

8064

7. Brief Description of the Character of Business Conducted in Rhode Island

NON-CLEARING BROKER-DEALER

8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT)

President's Name

DENIS WALSH

Vice President Name

MARIO MONTEIRO

Street Address

1208 US HWY ONE

Street Address

1208 US HWY ONE

City

N. PALM BEACH

State

FLORIDA

Zip

33408

City

N. PALM BEACH

State

FLORIDA

Zip

33408

Secretary Name

DENIS WALSH

Treasurer Name

DENIS WALSH

Street Address

1208 US HWY ONE

Street Address

1208 US HWY ONE

City

N. PALM BEACH

State

FLORIDA

Zip

33408

City

N. PALM BEACH

State

FLORIDA

Zip

33408

9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT)

Director Name

JOHN WALSH

Director Name

DENIS WALSH

Street Address

1208 US HWY ONE

Street Address

1208 US HWY ONE

City

N. PALM BEACH

State

FLORIDA

Zip

33408

City

N. PALM BEACH

State

FLORIDA

Zip

33408

Director Name

Director Name

Street Address

Street Address

City

City

State

State

Zip

Zip

10. SHARES AUTHORIZED (*X* BOX FOR ATTACHMENT)

AUTHORIZED SHARES

Number of Shares

Class/Series

Par Value

100

COMMON

0

11. SHARES ISSUED (*X* BOX FOR ATTACHMENT)

ISSUED SHARES

Number of Shares

Class/Series

Par Value

100

COMMON

0

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee

FILED

JAN 21 2000

By: [Signature] 33745/

GO. 14 CO 71 17

File Date:

Check No.:

By:

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer

Date

5/19/99

MARIO MONTEIRO

Print or Type Name of Officer

VICE PRESIDENT

Title of Officer

APR -24' 00 (MON) 11:18

MONEY-CONCEPTS

TEL: 1 561 627 8007

P. 009



STATE OF RHODE ISLAND
AND PROVIDENCE PL. ATIONS
Office of the Secretary of State

James R. Langevin, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-277-3040



PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 1997

Filing Period: January 1 - March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. **74064**
2. Name of Corporation **MONEY CONCEPTS CAPITAL CORP.**
3. Street Address Principal Business Office **1208 US HWY ONE** City **N. PALM BEACH** State **FLORIDA** Zip **33408**
4. Business Phone No. **(561) 627-0700** 5. State of Incorporation **FLORIDA** 6. SIC Code **6064**

7. Brief Description of the Character of Business Conducted in Rhode Island
NON-CLEARING BROKER-DEALER

8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT)

President Name DENIS WALSH Street Address 1208 US HWY ONE City N. PALM BEACH State FLORIDA Zip 33408 Secretary Name DENIS WALSH Street Address 1208 US HWY ONE City N. PALM BEACH State FLORIDA Zip 33408	Vice President Name MARIO MONTEIRO Street Address 1208 US HWY ONE City N. PALM BEACH State FLORIDA Zip 33408 Treasurer Name DENIS WALSH Street Address 1208 US HWY ONE City N. PALM BEACH State FLORIDA Zip 33408
--	--

9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT)

Director Name JOHN P. WALSH Street Address 1208 US HWY ONE City N. PALM BEACH State FLORIDA Zip 33408	Director Name DENIS WALSH Street Address 1208 US HWY ONE City N. PALM BEACH State FLORIDA Zip 33408
---	---

10. SHARES AUTHORIZED (*X* BOX FOR ATTACHMENT)

AUTHORIZED SHARES

Number of Shares	Class/Series	Par Value
100	COMMON	0

11. SHARES ISSUED (*X* BOX FOR ATTACHMENT)

ISSUED SHARES

Number of Shares	Class/Series	Par Value
100	COMMON	0

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee

FILED

JAN 21 2000

By **CLT/KC**

File Date: **00, JAN 03 21 17:00**

Check No: **100**

By: **1001 000**

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer **MARIO MONTEIRO** Date **5/19/99**

Print or Type Name of Officer
MARIO MONTEIRO

Title of Officer
VICE PRESIDENT

PROFIT CORPORATION ANNUAL REPORT

1996



State of Rhode Island and Providence Plantations
James R. Langevin, Secretary of State
Corporations Division
100 North Main Street
Providence, Rhode Island 02903-1335 • (401) 277-3040

Filing Period: January 1-March 1
Filing Fee: \$50.00

PLEASE TYPE OR PRINT IN BLACK INK.

1. CORPORATE ID NO. 74064		2. NAME OF CORPORATION MONEY CONCEPTS CAPITAL CORP.			
3. STREET ADDRESS PRINCIPAL BUSINESS OFFICE 1208 US HWY ONE		CITY N. PALM BEACH	STATE FLORIDA	ZIP CODE 33408	
4. BUSINESS PHONE NO. 561-627-0700		5. STATE OF INCORPORATION FLORIDA		6. SAC CODE 6064	
7. BRIEF DESCRIPTION OF THE CHARACTER OF BUSINESS CONDUCTED BY RHODE ISLAND NON-CLEARING BROKER-DEALER					
8. NAMES AND ADDRESSES OF THE OFFICERS					
PRESIDENT NAME DENIS WALSH			VICE PRESIDENT NAME MARIO MONTEIRO		
STREET ADDRESS 1208 US HWY ONE			STREET ADDRESS 1208 US HWY ONE		
CITY N. PALM BCH.	STATE FL	ZIP CODE 33408	CITY N. PALM BCH	STATE FL	ZIP CODE 33408
SECRETARY NAME DENIS WALSH			TREASURER NAME DENIS WALSH		
STREET ADDRESS SAME AS ABOVE			STREET ADDRESS SAME AS ABOVE		
CITY SAME	STATE AS ABOVE	ZIP CODE AS ABOVE	CITY SAME	STATE AS ABOVE	ZIP CODE AS ABOVE
9. NAMES AND ADDRESSES OF THE DIRECTORS					
DIRECTOR NAME JOHN P. WALSH			DIRECTOR NAME DENIS WALSH		
STREET ADDRESS 1208 US HWY ONE			STREET ADDRESS 1208 US HWY ONE		
CITY N. PALM BCH	STATE FL	ZIP CODE 33408	CITY N. PALM BCH	STATE FL	ZIP CODE 33408
DIRECTOR NAME			DIRECTOR NAME		
STREET ADDRESS			STREET ADDRESS		
CITY	STATE	ZIP CODE	CITY	STATE	ZIP CODE
10. SHARES AUTHORIZED AND ISSUED					
AUTHORIZED SHARES			ISSUED SHARES		
NUMBER OF SHARES	CLASS / SERIES	PAR VALUE	NUMBER OF SHARES	CLASS / SERIES	PAR VALUE
100	COMMON	0	100	COMMON	0

This report must be **SIGNED IN INK** by either the
President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

File Date:

6/26/96

Check No:

200120

By:

ca

For Secretary of State Use Only

Signature of Officer

MARIO MONTEIRO
Print or Type Name of Officer

VICE PRESIDENT
Title of Officer

6/17/96
Date

FORM 31 12/95

ANNUAL REPORT

Please Type or Print

File Annually - Jan. 1 - March 1

Filing Fee \$50.00

Make Checks Payable to: Secretary of State

State of Rhode Island and Providence Plantations
 Office of The Secretary of State,
 100 North Main Street
 Providence, Rhode Island 02903-1335
 401-277-3040

ALL ENTRIES MUST BE COMPLETED IN FULL OR THE FORM WILL BE RETURNED.

0074064

1995

Corporate ID: _____ Annual Report for the year: _____

MONEY CONCEPTS CAPITAL CORP.

Name of Corporation: _____

Business entity organized under the laws of the State of: FLORIDA

For foreign entity, address and telephone number of principal office:

1208 U.S. HWY ONE
NORTH PALM BEACH, FL 33408Phone: (407) 627-0700

Address and telephone of the principal office of business entity in Rhode Island (Provide street address - Not P.O. Box):

NONE

Phone: () _____

Business Entity is (check one):

☒ Business Corporation (See RIGL Chapter 7-1.1)☐ Professional Service Corporation (See RIGL Chapter 7-5.1)

Brief statement of the character of business conducted in Rhode Island:

NON CLEARING BROKER/DEALER**THE NAMES OF THE OFFICERS ARE:**

NAME	STREET ADDRESS	CITY/STATE	ZIP CODE
PRESIDENT <u>DENIS WALSH</u>	<u>1208 US HWY ONE</u>	<u>NORTH PALM BEACH, FL</u>	<u>33408</u>
VICE PRESIDENT <u>MARIO MONTEIRO</u>	<u>1208 US HWY ONE</u>	<u>NORTH PALM BEACH, FL</u>	<u>33408</u>
SECRETARY <u>DENIS WALSH</u>	<u>1208 US HWY ONE</u>	<u>NORTH PALM BEACH, FL</u>	<u>33408</u>
TREASURER			

THE NAMES OF THE DIRECTORS ARE:

NAME	STREET ADDRESS	CITY/STATE	ZIP CODE
<u>JOHN P WALSH</u>	<u>1208 US HWY ONE</u>	<u>NORTH PALM BEACH, FL</u>	<u>33408</u>
<u>DENIS WALSH</u>	<u>1208 US HWY ONE</u>	<u>NORTH PALM BEACH, FL</u>	<u>33408</u>

NUMBER OF SHARES AUTHORIZED (Rider may be attached)

Number of Shares	Class / Series
<u>100</u>	<u>COMMON</u>

NUMBER OF SHARES ISSUED AND OUTSTANDING (Rider may be attached)

Number of Shares	Class / Series
<u>100</u>	<u>COMMON</u>

Date FEBRUARY 15, 19 95

PRINT OR TYPE NAME OF OFFICER SIGNING

TITLE OF OFFICER SIGNING

MARIO MONTEIRO
VICE PRESIDENT

Form 3: 1/95

DESIGNATED REGISTERED AGENT FOR SERVICE OF PROCESS:

PLEASE NOTE: If the registered office and/or registered agent indicated below is incorrect, Form 9 must be filed.

PAID

CT CORPORATION SYSTEM
 123 DYER STREET
 PROVIDENCE RI 02903

APR 13 1995
 TP 103562
 SECRETARY OF STATE

Filing Fee \$50.00
Payable to:
Secretary of State

PLEASE TYPE or PRINT
State of Rhode Island and Providence Plantations
Office of The Secretary of State
100 North Main Street
Providence, Rhode Island 02903-1335
401-277-3040

File Annually
LLC: Sept. 1 - Nov. 1
CORP: Jan. 1 - March 1

Corporate ID: 0074064 Annual Report for the year: 1994
MONEY CONCEPTS CAPITAL CORP.

Name of Business Entity:

Business entity organized under the laws of the State of FLORIDA

Federal Taxpayer Identification Number

For foreign entity, address and telephone number of principal office:

1208 U.S. HWY ONE
NORTH PALM BEACH, FL 33408

Phone: (407) 627-0700

Address and telephone of the principal office of business entity in Rhode Island (Provide street address - Not P.O. Box)

NONE

Phone:

Business Entity is (check one)

- ☒ Business Corporation (See RIGL Chapter 7-1.1)
☐ Professional Service Corporation (See RIGL Chapter 7-5.1)
☐ Limited Liability Company (See RIGL 7-16)

Name, title and mailing address of contact person to whom communications may be directed

MARIO MONTEIRO
VICE PRESIDENT
1208 U.S. HWY ONE
NORTH PALM BEACH, FL 33408

Brief statement of the character of business conducted in Rhode Island
NON-CLEARING BROKER/DEALER

Date of Organization 6-23-82

Date of Qualification to do business in Rhode Island (if foreign entity)

9/23/93 RIA

THE NAMES OF THE OFFICERS ARE:

SEE ATTACHED

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Form 31 1994

DESIGNATED REGISTERED OR RESIDENT AGENT FOR SERVICE OF PROCESS:

PLEASE NOTE: If the Corporation has changed its registered office and/or registered or resident agent, Form 9 or Form LLC 3 must be filed

CT CORPORATION SYSTEM
125 DYER STREET
PROVIDENCE RI 02903

FILED

FEB 22 1994

By 1611037B

PAR VALUE OR WITHOUT PAR NO PAR

Date FEBRUARY 2 1994

By

MARIO MONTEIRO
VICE PRESIDENT



MONEY CONCEPTS CAPITAL CORP.
OLD PORT COVE PLAZA, 1208 U.S. HIGHWAY ONE
NORTH PALM BEACH, FLORIDA 33408-3540
PHONE (407) 627-0700 FAX: (407) 626-7991

MONEY CONCEPTS CAPITAL CORP.

A Florida Corporation
6/23/82

SHAREHOLDER: Money Concepts International, Inc.

DIRECTORS:

John P. Walsh
10 Alston Rd.
Palm Beach Gardens, FL 33410
S.S. 450-34-3866

Denis Walsh
13380 William Myers Ct.
Palm Beach Gardens, FL 33408
S.S. 330-48-4674

OFFICERS:

Denis Walsh, PRESIDENT, SECRETARY, TREASURER
13380 William Myers Ct.
Palm Beach Gardens, FL 33408
S.S. 330-48-4674

Mario Monteiro, VICE PRESIDENT
2852 South East Eagle Dr.
Port St. Lucie, FL 33484
S.S. 092-60-8570

