



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State

Matthew A. Brown, Secretary of State

Corporations Division
100 North Main Street
Providence, RI 02903-1335
401 222-3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2005

Filing Period: January 1 - March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED OR PRINTED IN BLACK)

| | | | | | |
|---|--|--|---|-------------------|---------------------|
| 1. Corporate ID No. 140069 | | 2. Name of Corporation Harris Nesbitt Corp. | | | |
| 3. Street Address Principal Business Office 3 Times Square | | | City New York | State NY | Zip 10036 |
| 4. Business Phone No. 212-605-1613 | | 5. State of Incorporation DELAWARE | | | 6. SIC Code 6064 |
| 7. Brief Description of the Character of Business Conducted in Rhode Island SECURITIES BROKER DEALER | | | | | |
| 8. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) <input checked="" type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS | | | | | |
| President Name David Hartley | | | Vice President Name Edward T. Colloton | | |
| Street Address 3 Times Square | | | Street Address 3 Times Square | | |
| City New York | State New York | Zip 10036 | City New York | State New York | Zip 10036 |
| Secretary Name Michael G. Zeiss | | | Treasurer Name Susanne Vorster | | |
| Street Address 3 Times Square | | | Street Address 3 Times Square | | |
| City New York | State New York | Zip 10036 | City New York | State New York | Zip 10036 |
| 9. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) <input checked="" type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS | | | | | |
| Director Name William A. Downe | | | Director Name Emanuel Gerard | | |
| Street Address 111 West Monroe, Floor 3W | | | Street Address 360 Madison Avenue | | |
| City Chicago | State Illinois | Zip 60603 | City New York | State New York | Zip 10017 |
| Director Name Ellen Costello | | | Director Name David Hyma | | |
| Street Address 3 Times Square | | | Street Address 1 First Canadian Place | | |
| City New York | State New York | Zip 10036 | City Toronto | State Canada | Zip M5X 1A1 |
| 10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> | | | 11. SHARES ISSUED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> | | |
| ISSUED SHARES | | | ISSUED SHARES | | |
| Number of Shares | Class Series | Par Value | Number of Shares | Class Series | Par Value |
| 10,000 | COMM NO PAR VALUE, 1 PREF NO PAR VALUE | | 1,090 | Common | -0- |
| | | | 1 | Preferred | \$1,000 |

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



140069

FILED

File Date APR 04 2005
 Check No. By [Signature]
 By: _____
 FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer [Signature] Date 3/29/05
 Print or Type Name of Officer Michael George Zeiss
 Title of Officer Secretary

HARRIS NESBITT CORP.
Directors and Principal Officers

| <u>Directors</u> | <u>Residential Address</u> | <u>Business Address</u> | <u>Position Held</u> |
|----------------------------|--|--|--|
| DOWNE, William A | 530 Willow Rd. Winnetka, IL USA 60093 | 111 West Monroe Floor 3W Chicago, IL USA 60603 | Chairman of the Board |
| COSTELLO, Ellen | 247 East 71st Street New York, NY USA 10021 | 3 Times Square New York, NY USA 10036 | Vice Chair |
| HARTLEY, David | 1077 River Road Unit 511N Edgewater, NJ USA 07020 | 3 Times Square New York, New York USA 10036 | Executive Managing Director and Chief Operating Officer |
| HYMA, David R. | 1657 Covington Terrace Mississauga, Ontario Canada L5M 3S4 | 1 First Canadian Place Toronto, Ontario Canada M5X 1A1 | Director only |
| PERSAUD, Devanand | 408 Quebec Avenue Toronto, Ontario Canada M6P 2V4 | 1 First Canadian Place Toronto, Ontario Canada M5X 1H3 | Director only |
| MILROY, Thomas | 73 Lynwood Avenue Toronto, Ontario Canada M4V 1K5 | 1 First Canadian Place Toronto, Ontario Canada M5X 1H3 | Director only |
| GERARD, Emanuel "Manny" | 1 East End Avenue Apt. 9C New York, NY USA 10021 | 360 Madison Avenue 5 th Floor New York, NY USA 10017 | Director only |
| TECHAR, Frank J. | 111 Abingdon Ave. Chicago, IL USA 60043 | 111 West Monroe St. Floor 4W Chicago, IL. USA 60603 | Director only |

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 SECRETARY OF STATE
 CORPORATIONS DIV.

HARRIS NESBITT CORP.

| <u>Principal Officers</u> <u>(who are not Directors)</u> | <u>Residential Address</u> | <u>Business Address</u> | <u>Position Held</u> |
|---|---|---|---|
| EPISCOPIO, Douglas | 39-33 222 nd St. Bayside, NY USA 11361 | 3 Times Square New York, NY USA 10036 | Vice President and Controller |
| LEAVY, Keith C. | 20 Gleeful Lane Valley Cottage, NY USA 10989 | 3 Times Square New York, NY USA 10036 | Managing Director and Chief Compliance Officer |
| UMBS, THERESE R. | 519 Bloomfield Avenue Apt. 5C Caldwell, NJ USA 07006 | 3 Times Square New York, NY USA 10036 | Assistant Secretary |
| VORSTER, Susanne H. | 111-09 76 th Road Apt. E-1 Forest Hills, NY USA 11375 | 3 Times Square New York, NY 28 th Floor USA 10036 | Vice- President and Chief Financial Officer |
| ZEISS, Michael George | 368 Carter St. New Canaan, Ct. USA 06840 | 3 Times Square 28 th Floor New York, NY USA 10036 | Managing Director, Chief Legal Officer and Secretary |

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CORPORATIONS DIV
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PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2004

Filing Period: January 1 - March 1 • Filing Fee: \$50.00
(FORM MUST BE TYPED OR PRINTED IN BLACK)

| | | | | | |
|--|---------------------------------------|---|---|-------------------------------|----------------------------|
| 1. Corporation ID No 70204 | | 2. Name of Corporation Harris Nesbitt Corp. | | | |
| 3. Street Address Principal Business Office 3 Times Square | | | City New York | State New York | Zip 10036 |
| 4. Business Phone No (212) 605-1613 | | 5. State of Incorporation DELAWARE | | | 6. SIC Code 6064 |
| 7. Brief Description of the Character of Business Conducted in Rhode Island BROKER DEALER | | | | | |
| 8. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS | | | | | |
| President Name David Harley | | | Vice President Name Edward T. Colloton | | |
| Street Address 3 Times Square | | | Street Address 3 Times Square | | |
| City New York | State New York | Zip 10036 | City New York | State New York | Zip 10036 |
| Secretary Name Michael G. Zeiss | | | Treasurer Name Susanne Vorster | | |
| Street Address 3 Times Square | | | Street Address 3 Times Square | | |
| City New York | State New York | Zip 10036 | City New York | State New York | Zip 10036 |
| 9. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS | | | | | |
| Director Name William A. Downe | | | Director Name Emanuel Gerard | | |
| Street Address 111 West Monroe, Floor 3W | | | Street Address 360 Madison Avenue | | |
| City Chicago | State Illinois | Zip 60603 | City New York | State New York | Zip 10017 |
| Director Name Ellen Costello | | | Director Name David R. Hyma | | |
| Street Address 3 Times Square | | | Street Address 1 First Canadian Place | | |
| City New York | State New York | Zip 10036 | City Toronto | State Canada | Zip M5X 1A1 |
| 10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> | | | 11. SHARES ISSUED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> | | |
| AUTHORIZED SHARES | | | ISSUED SHARES | | |
| Number of Shares 205,000 | Class/Series 200,000 Common | Par Value .010 | Number of Shares 100,401 | Class/Series Common | Par Value 1,004 |
| | 5,000 Preferred | 100.00 | -0- | | |

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



* 7 0 2 0 4 *

File Date 02-27-04
Check No. 39373
By: 100
FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct

Signature of Officer Therese R. Umbs Date 2/26/04
Print or Type Name of Officer **Therese R. Umbs**
Assistant Secretary
Title of Officer

HARRIS NESBITT CORP.
(as of February 3, 2004)

| <u>Directors</u> | <u>Residential Address</u> | <u>Business Address</u> | <u>Position Held</u> |
|----------------------------|--|--|--|
| DOWNE, William A | 530 Willow Rd. Winnetka, IL USA 60093 | 111 West Monroe Floor 3W Chicago, IL USA 60603 | Chairman of the Board |
| COSTELLO, Ellen | 247 East 71st Street New York, NY USA 10021 | 3 Times Square New York, NY USA 10036 | Vice Chair |
| HARTLEY, David | 1077 River Road Unit 511N Edgewater, NJ USA 07020 | 3 Times Square New York, New York USA 10036 | Executive Managing Director and Chief Operating Officer |
| HYMA, David R. | 1657 Covington Terrace Mississauga, Ontario Canada L5M 3S4 | 1 First Canadian Place Toronto, Ontario Canada M5X 1A1 | Director only |
| TRIPP, Eric Clifton | 42 Balsam Avenue Toronto, Ontario Canada M4E 3B4 | 1 First Canadian Place Toronto, Ontario Canada M5X 1H3 | Director only |
| MILROY, Thomas | 73 Lynwood Avenue Toronto, Ontario Canada M4V 1K5 | 1 First Canadian Place Toronto, Ontario Canada M5X 1H3 | Director only |
| GERARD, Emanuel "Manny" | 1 East End Avenue Apt. 9C New York, NY USA 10021 | 360 Madison Avenue 5 th Floor New York, NY USA 10017 | Director only |
| TECHAR, Frank J. | 111 Abingdon Ave. Chicago, IL USA 60043 | 111 West Monroe St. Floor 4W Chicago, IL USA 60603 | Director only |

HARRIS NESBITT CORP.
(as at February 3, 2004)

| <u>Officers (who are not Directors)</u> | <u>Residential Address</u> | <u>Business Address</u> | <u>Position Held</u> |
|---|---|--|------------------------------------|
| ZEISS, Michael George | 368 Carter St. New Canaan, CT USA 06840 | 3 Times Square New York, NY USA 10036 | Managing Director and Secretary |
| HORRA, Yasmin | 901 Duncannon Drive Pickering, Ontario L1X 2M3 Canada | 1 First Canadian Place Toronto, Ontario M5X 1H3 Canada | Assistant Secretary |
| UMBS, Therese R. | 519 Bloomfield Avenue Apt. 5C Caldwell, NJ USA 07006 | 3 Times Square New York, NY USA 10036 | Assistant Secretary |
| ADAIR, Charles Louis | 103 E. George Street Wheaton, IL USA 60187 | 115 South LaSalle 20th Floor West Chicago, IL USA 60603 | Managing Director |
| ALLEN, James B. | 147 West 49 th St. Apt. 7A New York, NY USA 10024 | 3 Times Square New York, NY USA 10036 | Managing Director |
| BARBINO, William J. | 1212 Cromwell Lane Naperville, IL USA 60564 | 115 S. LaSalle Street 13 W Chicago, IL USA 60603 | Vice-President |
| BENEDETTO, Rene Diane | 130 East 12 th Street New York, NY USA 10003 | 3 Times Square New York, NY USA 10036 | Vice-President |
| BROWN, Timothy | 60 East First Street Apt. 4B New York, NY USA 10003 | 3 Times Square New York, NY USA 10036 | Vice-President |
| BUSA, Joseph | 8 The High Road Bronxville, NY USA 10708 | 3 Times Square New York, NY USA 10036 | Vice-President |

HARRIS NESBITT CORP.
(as at February 3, 2004)

| <u>Officers (who are not Directors)</u> | <u>Residential Address</u> | <u>Business Address</u> | <u>Position Held</u> |
|---|---|--|--------------------------------|
| CASPER, David Robert | 2234 Crabtree Lane Northbrook, IL USA 60521 | 111 West Monroe St. 20th Floor East Chicago, IL USA 60604 | Managing Director |
| CECIL, David | 12311 Broken Bough Houston, TX USA 77024 | 700 Louisiana Street Suite 4400 Houston, TX USA 77002 | Vice-President |
| CERRONE, Maria | 160 Olmsted Road Riverside, IL USA 60546 | 115 South LaSalle St. 19W Chicago, IL USA 60603 | Vice-President |
| CHIN, Roger Ignatius | 245 East 54th Street New York, NY USA 10022 | 3 Times Square New York, NY USA 10036 | Managing Director |
| COLLTON, Edward T. | 104 Drury Lane Schwenksville, PA USA 19473 | 3 Times Square New York, NY USA 10036 | Vice-President |
| DOMENICO, Michael Leo | 19 Hidden Pine Avenue Colts Neck, NJ USA 07722 | 3 Times Square New York, NY USA 10036 | Managing Director |
| DONDERO, Stephen Joseph | 8 Lynridge Lane Huntington, NY USA 11743 | 3 Times Square New York, NY USA 10036 | Managing Director |
| DUNCAN, John "Jed" E. | 765 Silvermine Road New Canaan, CT USA 06840 | 3 Times Square New York, NY USA 10036 | Managing Director |
| EATROFF, Bruce A. | 33 Westview Road Short Hills, NJ USA 07078 | 3 Times Square New York, NY USA 10036 | Executive Managing Director |
| EDWARDS, Brad A. | 888 Eighth Avenue Apt. 6H New York, NY USA 10019 | 3 Times Square New York, NY USA 10036 | Vice President |

HARRIS NESBITT CORP.
(as at February 3, 2004)

| <u>Officers (who are not Directors)</u> | <u>Residential Address</u> | <u>Business Address</u> | <u>Position Held</u> |
|---|---|---|----------------------------------|
| EMOND, Gary | 205 Seeley St. Brooklyn, NY USA 11218 | 3 Times Square New York, NY USA 10036 | Managing Director |
| EPISCOPIO, Douglas | 39-33 222 nd St. Bayside, NY USA 11361 | 3 Times Square New York, NY USA 10036 | Vice President and Controller |
| FOLEY, Denis | 6155 W. Marshall Unit 3E Chicago Ridge, IL USA 60415 | 111 West Monroe St. 20 W Chicago, IL USA 60603 | Vice-President |
| FRANK, Christine | 78 West 85th Street Apt. 7D New York, NY USA 10024 | 3 Times Square New York, NY USA 10036 | Managing Director |
| FRANKLIN, Scott Michael | 1109 Longford Road Bartlett, IL USA 60103 | 111 West Monroe St. 20th Floor East Chicago, IL USA 60604 | Vice-President |
| FRASER (McNally Smith), Harvey | 1310 North Green Bay Rd. Lake Forest, IL USA 60045 | 111 West Monroe St. 20th Floor East Chicago, IL USA 60604 | Managing Director |
| FUREY, Michael F. | 160 Riverside Blvd. Apt. 31B New York, NY 10069 | 3 Times Square New York, NY USA 10036 | Vice-President |
| GALASSINI, Lou | 1452 West Henderson Chicago, IL USA 60657 | 115 South LaSalle Street 13th Floor Chicago, IL USA 60603 | Vice-President |
| GIBBONS, Kevin Patrick | 2650 North Orchard Chicago, IL USA 60614 | 115 South LaSalle Street 20th Floor West Chicago, IL USA 60603 | Vice-President |

HARRIS NESBITT CORP.**(as at February 3, 2004)**

| <u>Officers (who are not Directors)</u> | <u>Residential Address</u> | <u>Business Address</u> | <u>Position Held</u> |
|---|---|--|----------------------|
| GLOVER, Thomas S. | 829 Park Avenue Apt. 8A New York, NY USA 10021 | 3 Times Square New York, NY USA 10036 | Managing Director |
| GOLDSMITH, Mathew | 321 East 90 th Street New York, NY USA 10128 | 3 Times Square New York, NY USA 10036 | Managing Director |
| GOLL, James J. | 32 Three Wells Lane Darien, CT USA 06820 | 3 Times Square New York, NY USA 10036 | Managing Director |
| HANAU, Kenneth | 21 Gladwin Place Bronxville, NY USA 10708 | 3 Times Square New York, NY USA 10036 | Managing Director |
| HIDA, Masami | 3845 North Ashland Unit 3E Chicago, IL USA 60613 | 115 S. LaSalle St. 13W Chicago, IL USA 60603 | Vice-President |
| HINDENACH, James L. | 111 Hemlock Hills North Fairfield, CT USA 06430 | 3 Times Square New York, NY USA 10036 | Vice-President |
| HURWITZ, Peter A. | 40 Half Moon Lane Irvington, NY USA 10530 | 3 Times Square New York, NY USA 10036 | Managing Director |
| JOHNSON, Paul | 1108 Linden Ave. Deerfield, IL USA 60015 | 111 West Monroe St. 20E Chicago, IL USA 60603 | Vice-President |
| KAISAND, Craig | 148 Watchung Ave. Chatham, NJ USA 07928 | 3 Times Square New York, NY USA 10036 | Vice-President |
| KENT, James P. | 5861 West 126 th Street Alsip, IL USA 60803 | 115 South LaSalle 19W Chicago, IL USA 60603 | Vice-President |

HARRIS NESBITT CORP.
(as at February 3, 2004)

| <u>Officers (who are not Directors)</u> | <u>Residential Address</u> | <u>Business Address</u> | <u>Position Held</u> |
|---|---|--|----------------------|
| KIJEWSKI, Richard M. | 66 - 17th Charleston Oak Forest, IL USA 60452 | 115 South LaSalle St. 20th Floor West Chicago, IL USA 60603 | Managing Director |
| KING, Edmund | 333 Sunset Road Winnetka, Chicago USA 60093 | 111 West Monroe St. 20th Floor East Chicago, IL USA 60603 | Managing Director |
| KNOOP, Steven E. | 641 W. Willow Apt. 102 Chicago, IL USA 60614 | 111 West Monroe St. 20th Floor East Chicago, IL USA 60604 | Managing Director |
| KRIS, Douglas A. | 4 Hemlock Lane Morristown, NJ USA 07960 | 3 Times Square New York, NY USA 10036 | Vice-President |
| KUCERA, David John | 1800 West Wabansia Chicago, IL USA 60622 | 111 West Monroe St. 20th Floor East Chicago, IL USA 60604 | Managing Director |
| LAUTERJUNG, Amy | 5125 North Kenmore Ave. Apt 5S Chicago, IL 60640 | 111 West Monroe St. 11W Chicago, IL USA 60603 | Vice-President |
| LAVITT, Jed | 44 Jane Street New York, NY USA 10014 | 3 Times Square New York, NY USA 10036 | Vice-President |
| LAZAROVICI, Victor | 100 Green Acres Ave. Scarsdale, NY USA 10583-7109 | 3 Times Square New York, NY USA 10036 | Managing Director |
| LEASURE, Dirk W. | 94 Five Mile River Road Darien, CT USA 06820 | 3 Times Square New York, NY USA 10036 | Managing Director |

HARRIS NESBITT CORP.
(as at February 3, 2004)

| <u>Officers (who are not Directors)</u> | <u>Residential Address</u> | <u>Business Address</u> | <u>Position Held</u> |
|---|---|--|--------------------------------|
| LEAVY, Keith C. | 20 Gleeeful Lane Valley Cottage, NY USA 10989 | 3 Times Square New York, NY USA 10036 | Managing Director |
| LYNCH, Owen Thomas | 68 Oakland Place Summit, NJ USA 07901 | 3 Times Square New York, NY USA 10036 | Executive Managing Director |
| MAJERUS, Paul Gregory | 1016 West Wolfran Apt. 3 Chicago, IL USA 60657 | 111 West Monroe St. 20th Floor East Chicago, IL USA 60604 | Managing Director |
| MARK, Ronald L. | 2524 Woodlawn Road Northbrook, IL USA 60062 | 115 South LaSalle 19W Chicago, IL USA 60603 | Vice-President |
| McVEY, James Joseph | 132 Lawrence Ave. Staten Island, NY USA 10310 | 1 First Canadian Place Toronto, Ontario M5X 1H3 Canada | Managing Director |
| MEYER, Timothy P. | 301 Main Street Westport, CT USA 06880 | 3 Times Square New York, NY USA 10036 | Managing Director |
| MOGLIA, James J. | 825 West Shore Drive Kinnelon, NJ USA 07405 | 3 Times Square New York, NY USA 10036 | Executive Managing Director |
| MOHAMMED, Neil | 266 East 78 th St. 17 th floor New York, NY USA 10021-2078 | 3 Times Square New York, NY USA 10036 | Vice-President |
| MOORE, Andrew | 173 Summit Avenue Upper Montclair, NJ USA 07043 | 3 Times Square New York, NY USA 10036 | Managing Director |
| MORGAN, Headley Lloyd | 1565 East 37 th St. Brooklyn, NY USA 11234 | 3 Times Square New York, NY USA 10036 | Vice-President |

HARRIS NESBITT CORP.
(as at February 3, 2004)

| <u>Officers (who are not Directors)</u> | <u>Residential Address</u> | <u>Business Address</u> | <u>Position Held</u> |
|---|---|---|--------------------------------|
| MORRIS, Gregory Paul | 1372 Crabapple Ct. Batoria, IL USA 60510 | 115 South LaSalle Street 20th Floor West Chicago, IL USA 60603 | Vice-President |
| MOSER, William John | 1120 Glencrest Dr. Inverness, IL USA 60010 | 115 South LaSalle Street 20th Floor West Chicago, IL USA 60603 | Vice-President |
| MURPHY, Patrick J. | 1223 Park Avenue River Forest, IL USA 60305 | 200 West Monroe 18th Floor Chicago, IL USA 60606 | Vice-President Tax |
| NIEBRUGGE, Keith J. | 6511 Cambridge Rd. Willowbrook, IL USA 60521 | 115 South LaSalle Street 13 W Chicago, IL USA 60603 | Vice-President |
| NOLAN, Christian T. | 760 West End Avenue Apt. 6E New York, NY USA 10025 | 3 Times Square New York, NY USA 10036 | Vice-President |
| NOLAN, Robert B. (Jr.) | 602 North Street Greenwich, CT USA 06830 | 3 Times Square New York, NY USA 10036 | Executive Managing Director |
| ONORIO, Paul G. | 56 Parsler Place Fords, NJ USA 08863 | 3 Times Square New York, NY USA 10036 | Vice-President |
| PAPPANO, John Robert | 11900 N. Elma Avenue Elgin, IL USA 60120 | 111 West Monroe St. 20th Floor East Chicago, IL USA 60604 | Vice-President |
| PETERS, Matthew | 838 West Armitage Apt. 2W Chicago, IL USA 60614 | 115 S. LaSalle Street Chicago, IL USA 60603 | Vice-President |

HARRIS NESBITT CORP.

(as at February 3, 2004)

| <u>Officers (who are not Directors)</u> | <u>Residential Address</u> | <u>Business Address</u> | <u>Position Held</u> |
|---|---|--|--------------------------------|
| PHILLIPS, Jeffrey James | 28533 Heritage Oak Road Barrington, IL USA 60010 | 115 South LaSalle St. 20th Floor West Chicago, IL USA 60603 | Executive Managing Director |
| PINCUS, Michael | 237 Homes Avenue Clarendon Hills, IL USA 06820 | 111 West Monroe St. 10W Chicago, IL USA 60603 | Managing Director |
| PRIOLEAU, Charlie | 1903 Olympia Houston, TX USA 77019 | 700 Louisiana Street Suite 4400 Houston, TX USA 77002 | Executive Managing Director |
| PROSTIC, Seth | 1120 W. Melrose Chicago, IL USA 60657 | 111 West Monroe St. 20 E Chicago, IL USA 60603 | Vice-President |
| RIFFE, Kristi S. | 33 Bright Ridge Drive Schaumburg, IL USA 60194 | 115 S. LaSalle Street Floor 13W Chicago, IL USA 60603 | Vice-President |
| ROCHE, Mark | 2732 Cheyenne Drive Naberville, IL USA 60565 | 115 South LaSalle St. 20th Floor West Chicago, IL USA 60603 | Managing Director |
| RODMAN, Benjamin | 427 9th St. Wilmette, IL USA 60091 | 111 West Monroe St. 20th Floor West Chicago, IL USA 60604 | Managing Director |
| ROSE, Derek | 428 South Wesley Ave. Oak Park, IL USA 60301 | 111 West Monroe St. 13W Chicago, IL USA 60603 | Vice-President |
| ROTH, Elisabeth " Betsy" | 643 West Fullerton Pkwy Unit 2 Chicago, IL USA 60614 | 111 West Monroe St. Floor 20E Chicago, IL USA 60603 | Vice-President |

HARRIS NESBITT CORP.
(as at February 3, 2004)

| <u>Officers (who are not Directors)</u> | <u>Residential Address</u> | <u>Business Address</u> | <u>Position Held</u> |
|---|--|--|----------------------|
| RUBIN, David M. | 4450 Davis Street Skokie, IL USA 60076 | 111 West Monroe St. 5W Chicago, IL USA 60603 | Managing Director |
| RUTH, Christopher H. | 955 Lexington Avenue New York, NY USA 10021 | 3 Times Square New York, NY USA 10036 | Managing Director |
| SCHNEIDER, William | 451 Strey Lane Houston, TX USA 07078 | 700 Louisiana Street Suite 4400 Houston, TX USA 77002 | Managing Director |
| SELPH, Diane | 435 West Erie Street Suite 1106 Chicago, IL USA 60610 | 111 West Monroe St/ 20E Chicago, IL USA 60603 | Vice-President |
| SHAFFER, Norman Stuart | 110 Highland Avenue Short Hills, NJ USA 07078 | 3 Times Square New York, NY USA 10036 | Managing Director |
| SILVA, Benjamin Jr. | 365 Cedar Swamp Road Jackson, NJ USA 08527 | 3 Times Square New York, NY USA 10036 | Vice-President |
| STANLEY, Geoffrey Douglas | 396 Sound Beach Ave. Old Greenwich, CT USA 06870 | 3 Times Square New York, NY USA 10036 | Managing Director |
| STEENBERGEN, Bart G. | 604 Glenwood Lane Glenview, IL USA 60025 | 115 South LaSalle St. 13 th Floor West Chicago, IL USA 60603 | Vice President |
| STODART, Eugene Robert | 18926 Windsor Lake Dr. Houston, TX USA 77094 | 700 Louisiana St. Suite 4425 Houston, TX USA 77002 | Managing Director |

HARRIS NESBITT CORP.
(as at February 3, 2004)

| <u>Officers (who are not Directors)</u> | <u>Residential Address</u> | <u>Business Address</u> | <u>Position Held</u> |
|---|---|--|--|
| SUTTON, Douglas P. | 1108 Cherry Street Winnetka, IL USA 60093 | 111 West Monroe Floor 10E Chicago, IL USA 60603 | Managing Director |
| SYLVAN, Ian L. | 375 Castlewood Lane Deerfield, IL USA 60015 | 111 West Monroe Floor 20E Chicago, IL USA 60603 | Managing Director |
| TAYLOR, Jeffrey | 49 North Bridgeview St. Palatine, IL USA 60067 | 111 West Monroe Floor 20E Chicago, IL USA 60603 | Vice-President |
| THOMSON, William Arthur Ian | 856 W. Buckingham Apt. 2W Chicago, IL USA 60657 | 111 West Monroe St. 20th Floor East Chicago, IL USA 60604 | Managing Director |
| VITTON, Charles W. | 266 Oak Ridge Ave. Summit, NJ USA 07901 | 3 Times Square New York, NY USA 10036 | Managing Director |
| VITTON, Michael Stephen | 16 Otter Rock Drive Greenwich, CT USA 06830 | 3 Times Square New York, NY USA 10036 | Executive Managing Director |
| VORSTER, Susanne H. | 111-09 76th Road Apt. E-1 Forest Hills, NY USA 11375 | 3 Times Square 28th Floor New York, NY USA 10036 | Vice-President and Chief Financial Officer |
| WALSH, James | 1716 Delogier Glenview, IL USA 60025 | 111 West Monroe St. 20th Floor East Chicago, IL USA 60604 | Managing Director |
| WALSH, Peter Edward | 161 Copperwood Dr. Buffalo Grove, IL USA 60089 | 111 West Monroe St. 20th Floor East Chicago, IL USA 60604 | Managing Director |

HARRIS NESBITT CORP.
(as at February 3, 2004)

| <u>Officers (who are not Directors)</u> | <u>Residential Address</u> | <u>Business Address</u> | <u>Position Held</u> |
|---|---|---|--------------------------------|
| WHITE, John M. | 2914 Chiswell Houston, TX USA 77025 | 700 Louisiana St. Suite 4425 Houston, TX USA 77002 | Managing Director |
| WITTSCHEN, Thomas | 20 Monroe Plc. Brooklyn, NY USA 11201 | 3 Times Square New York, NY USA 10036 | Managing Director |
| WRIGHT, Thomas Charles | 780 Sheridan Road Glencoe, IL USA, 60022 | 111 West Monroe St. 20 th Floor C Chicago, Illinois USA 60604 | Executive Managing Director |
| ZABAN, Brian | 2343 North Greenview Apt. 204 Chicago, IL 60614 | 115 S. LaSalle St. 13W Chicago, IL 60603 | Vice-President |
| ZAMFOTIS, Andrew | 188 East 78 th Street Apt. 30B New York, NY USA 10021 | 3 Times Square New York, NY USA 10036 | Vice-President |