



**State of Rhode Island
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2020

1. Corporate ID No. 000140845

2. Name of Corporation Investment Centers of America, Inc.

3. Street Address Principal Business Office:

No. and Street: 3231 GREENSBORO DRIVE, STE 200

City or Town: BISMARCK

State: ND Zip: 58503 Country: USA

4. Business Phone No.

5. State of Incorporation

State: ND

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523120

6. Brief Description of the Character of Business Conducted in Rhode Island

SECURITIES BROKER - DEALER

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country
SECRETARY	KRISTAN RICHARDSON	1 CORPORATE WAY LANSING, MI 48951 USA
SENIOR VP, ASSIST. SECRETARY	GERRY GUNDERSON	3121 GREENSBORO DRIVE, STE 200

		BISMARCK, ND 58503 USA
SENIOR VP	DAWN KALINOWSKI	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
PRESIDENT, CEO, DIRECTOR	JAMES KOMOSZEWSKI	3231 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
CHAIRMAN	SCOTT ROMINE	300 INNOVATION DRIVE FRANKLIN, TN 37067 USA
VICE PRESIDENT	AIMEE R BLINDER	3231 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
SR VP & CCO	PATRICIA MCCALLUP	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
VICE PRESIDENT	CAREN COLEMAN	1 CORPORATE WAY LANSING, MI 48951 USA
CHAIRMAN	STEVE H DOWNDEN	1 CORPORATE WAY LANSING, MI 48951 USA
VP, CONTROLLER	VANESSA LAMBRECHTS	100 N SEPULVEDA BLVD, STE 1800 EL SEGUNDO, CA 90245 USA
ASSIST VP	TODD MANEVAL	1 CORPORATE WAY LANSING, MI 48951 USA
ASSIST VP	COURTNEY KENNEY	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
ASSIST VP	RICK MOHR	3121 GREENSBORO DRIVE, STE 200 BISMARCK, ND 58503 USA
VICE PRESIDENT	MAURA COLLINS	7601 TECHNOLOGY WAY DENVER, CO 80237 USA
VICE PRESIDENT	SCOTT FORBUSH	1 CORPORATE WAY LANSING, MI 48951 USA
ASSIST VP	WILLIAM DEVANNEY	1 CORPORATE WAY LANSING, MI 48951 USA
DIRECTOR	BRADLEY O HARRIS	300 INNOVATION DRIVE FRANKLIN, TN 37067 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	50,000.00	14000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 28 Day of July, 2020 at 3:07:25 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By KRISTAN L. RICHARDSON

Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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