



**State of Rhode Island
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2020

1. Corporate ID No. 000003658

2. Name of Corporation Carolan & Co., Inc.

3. Street Address Principal Business Office:

No. and Street: 91 MAIN STREET, UNIT 118

City or Town: WARREN

State: RI

Zip: 02886

Country: USA

4. Business Phone No.

5. State of Incorporation

State: RI

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523110

6. Brief Description of the Character of Business Conducted in Rhode Island

GENERAL BROKERAGE AND INVESTMENT BUSINESS

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed. If officers and/or directors have been elected, the title Incorporator is no longer applicable; please delete.

Title	Individual Name	Address
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country
TREASURER	RICHARD F. CAROLAN	250 OCEAN ROAD NARRAGANSETT, RI 02882 USA

VICE PRESIDENT	FRANCIS P. CAROLAN	44 NORTH COURT STREE PROVIDENCE, RI 02903 USA
PRESIDENT	RICHARD F CAROLAN	250 OCEAN ROAD NARRAGANSETT, RI 02882- USA
SECRETARY	CATHERINE C. DANIEL	5 BOWDOIN ROAD WELLESLEY, MA 02818 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	80,000.00	4670

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 25 Day of September, 2020 at 2:05:17 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By CHRISTOPHER BARTLETT
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

© 2007 - 2020 State of Rhode Island
All Rights Reserved