

Filing fee: \$150.00
License fee: \$15.00 minimum
(Section 7-1.1-124)

State of Rhode Island and Providence Plantations

OFFICE OF THE SECRETARY OF STATE
CORPORATIONS DIVISION
100 NORTH MAIN STREET
PROVIDENCE, RI 02903

Corp. I.D. # 80278

APPLICATION FOR CERTIFICATE OF AUTHORITY OF SLD Equities, Inc.

To the Secretary of State
of the State of Rhode Island

Pursuant to the provisions of Section 7-1.1-103 of the General Laws, 1956, as amended, the undersigned corporation hereby applies for a Certificate of Authority to transact business in the State of Rhode Island, and for that purpose submits the following statement:

FIRST: The name of the corporation is SLD Equities, Inc.

SECOND: The name which it elects to use in Rhode Island is SLD Equities, Inc.

(If the name of the corporation does not contain the word "corporation," "company," "incorporated," or "limited," or an abbreviation of one of such words, insert the name of the corporation with the word or abbreviation which it elects to add thereto for use in Rhode Island;)

THIRD: It is incorporated under the laws of Colorado

FOURTH: The date of its incorporation is September 27, 1993 and the period of its duration is perpetual

FIFTH: The address of its principal office in the state or country under the laws of which it is incorporated is 1290 Broadway, Attn: Variable, Denver, Colorado 80203

SIXTH: The address of its proposed registered office in Rhode Island is 170 Westminster Street, Suite 900, Providence, RI 02903 and the name of its proposed registered agent in Rhode Island at that address is The Prentice-Hall Corporation System, Inc.

Kent Plunder (Asst. Sec'y)
Signature

SEVENTH: The purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are

SLD Equities, Inc. is a \$5,000 Broker/Dealer which will conduct a business solely in Variable Contracts.

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MAY 24 1994

By PLP#14125732

EIGHTH: The names and respective addresses of its directors and officers are:

<u>Name</u>	<u>Office</u>	<u>Address</u>
Stephan M. Largent	Director	1290 Broadway, Attn: Variable, Denver, Colorado 80203
Frank T. Wright	Director	1290 Broadway, Attn: Variable, Denver, Colorado 80203
Deborah H. Miller	Director	1290 Broadway, Attn: Variable, Denver, Colorado 80203

Stephan M. Largent	President	1290 Broadway, Attn: Variable, Denver, Colorado 80203
Bonnie C. Dailey	Vice President	1290 Broadway, Attn: Variable, Denver, CO 80203
Eugene L. Copeland	Secretary	1290 Broadway, Attn: Variable, Denver, Colorado 80203
Jan C. Gaston	Treasurer	1290 Broadway, Attn: Variable, Denver, Colorado 80203
Edward K. Campbell	Vice President	1290 Broadway, Attn: Variable, Denver, CO 80203
Frank T. Wright	Vice President	1290 Broadway, Attn: Variable, Denver, CO 80203

NINTH: The aggregate number of shares which it has authority to issue, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

<u>Number of Shares</u>	<u>Class</u>	<u>Series</u>	<u>Par Value per Share or Statement that Shares are without Par Value</u>
250,000	Common		\$1.00 per share

TENTH: The aggregate number of its issued shares, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

<u>Number of Shares</u>	<u>Class</u>	<u>Series</u>	<u>Par Value per Share or Statement that Shares are without Par Value</u>
100,000	Common		\$1.00 per share
50,000	Common		\$1.00 per share

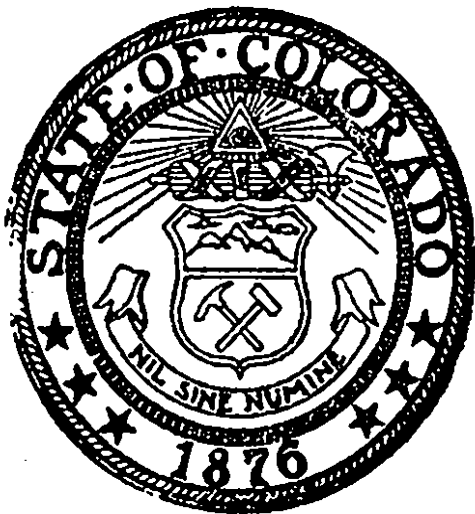
ELEVENTH: An estimate of the value of all property to be owned by it for the following year, wherever located, is \$ 66,000

TWELFTH: An estimate of the value of its property to be located within Rhode Island during such year is \$ 0

THIRTEENTH: An estimate of the gross amount of business to be transacted by it during such year is \$ 1,000,000

FOURTEENTH: An estimate of the gross amount of business to be transacted by it at or from places of business in Rhode Island during such year is \$ 0

FIFTEENTH: This Application is accompanied by a copy of its articles of incorporation and all amendments thereto, duly authenticated by the proper officer of the state or country under the laws of which it is incorporated.



**STATE OF COLORADO
DEPARTMENT OF STATE**

I hereby certify that this is a true and
complete copy of the document filed in
this office and admitted to record in
File 941027397

DATED June 22 1994

Natalie Reyes

Secretary of State

Donna Dawson