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State of Rhode Island

Department of State - Business Services Division

2020 OCT 16 P 1:04

Annual Report for the year: 2020

Limited Liability Company

→ Filing period: September 1 - November 1

→ Filing Fee: \$50.00

→ Penalty: Additional \$25.00 fee if form is not filed by December 1.

1. Entity ID Number 000509733		2. Exact name of the Limited Liability Company MORGAN STANLEY SMITH BARNEY FINANCING LLC			
3. NAICS Code 551112		4. Brief description of the character of business conducted in Rhode Island Fixed Assets Financing			
5. State of Formation Delaware, USA					
6. Principal Office Address 2000 Westchester Ave.		City Purchase	State New York	Zip 10577	
7. Mailing Address of Limited Liability Company and Name or Title of Contact Person					
Contact Name Aaron Guth		Contact Title Secretary			
Street Address 1585 Broadway		City New York	State NY	Zip 10036	
8. List ALL managers (names and addresses) of the Limited Liability Company. IF APPLICABLE - DO NOT LIST MEMBERS					
Manager Name See Annex A		Manager Name			
Street Address		Street Address			
City	State	Zip	City	State	Zip
Manager Name		Manager Name			
Street Address		Street Address			
City	State	Zip	City	State	Zip
Check the box to indicate an attachment <input checked="" type="checkbox"/>					
9. The Resident Agent information currently of record with the RI Department of State is accurate. Changes require filing Form 642.					
Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.					
Name of Authorized Person Aaron Guth				Date 10/9/20	
Signature of Authorized Person 					

MAIL TO:

Division of Business Services

148 W. River Street, Providence, Rhode Island 02904-2615

Phone: (401) 222-3040

Website: www.sos.ri.gov

FILED

OCT 16 2020

BY

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FORM 632 - Revised: 08/2020

509733

Annex A

Title	Individual Name	Address
Director	Jamie Kemp	1585 Broadway, New York, NY 10036, USA
Director	Timothy Pubins	1585 Broadway, New York, NY 10036, USA
Director	Joyce Tavoulareas	1585 Broadway, New York, NY 10036, USA