

Filing and License Fee: \$310.00 minimum

ID Number:

150276



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State  
Corporations Division  
100 North Main Street  
Providence, Rhode Island 02903-1335

BUSINESS CORPORATION

APPLICATION FOR CERTIFICATE OF AUTHORITY

Pursuant to the provisions of Section 7-1.2-1405 of the General Laws of Rhode Island, 1956, as amended, the undersigned foreign corporation hereby applies for a Certificate of Authority to transact business in the State of Rhode Island, and for that purpose submits the following statement:

1. The name of the corporation is MARSH PRIVATE CLIENT LIFE INSURANCE SERVICES
2. It is incorporated under the laws of California
3. The name, if different, which it elects to use in Rhode Island is:
  - (a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation," "company," "incorporated," or "limited," or an abbreviation thereof, then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island:  
MARSH PRIVATE CLIENT LIFE INSURANCE SERVICES, INC.
  - (b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application:  
\_\_\_\_\_
4. The date of its incorporation is 12/18/1987 and the period of its duration is Perpetual
5. The address of its principal office in the state or country under the laws of which it is incorporated is \_\_\_\_\_  
20750 Ventura Blvd., Woodland Hills, California 91364
6. The address of its proposed registered office in Rhode Island is 10 Weybosset Street  
(Street Address, not P.O. Box)  
Providence, RI 02903 and the name of its proposed registered agent in Rhode Island at  
(City/Town) (Zip Code)  
that address is \_\_\_\_\_  
C T Corporation System  
(Name of Agent)
7. The purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:  
The sale of Life and Health Insurance and Variable Contracts as an insurance agency.
8. (a) The names and respective addresses of its directors (optional unless directors are required under the laws of the state or country of which it is incorporated).

	Name	Address
Director	See Attachment	
Director		
Director		
Director		

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- (b) The names and respective addresses of its principal officers (mandatory if directors are not required under the laws of the state or country of which it is incorporated).

	<u>Name</u>	<u>Address</u>
President	<u>See Attachment</u>	
Vice President		
Treasurer		
Secretary		

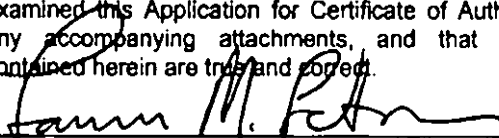
9. The aggregate number of shares which it has authority to issue, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

<u>Number of Shares</u>	<u>Class</u>	<u>Series</u>	<u>Par Value or Statement that Shares are without Par Value</u>
<u>2500</u>	<u>common</u>	<u>--</u>	<u>\$10.00</u>

10. (a) An estimate of the value of all property to be owned by the corporation for the following year, wherever located, is \$ 2,330,000.
- (b) An estimate of the value of the corporation's property to be located within Rhode Island during the following year is \$ 0.
- (c) An estimate, expressed as a percentage, of the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located, is 0 %. [divide (b) by (a) and multiply by 100 to obtain the percentage].
11. (a) An estimate of the gross amount of business to be transacted by the corporation during the following year is \$ 34,000,000.
- (b) An estimate of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year is \$ 30,000.
- (c) An estimate, expressed as a percentage, of the proportion that the gross amount of business to be transacted by the corporation at or from places of business in this state during the following year bears to the gross amount thereof which will be transacted by the corporation during the following year is .089 % [divide (b) by (a) and multiply by 100 to obtain the percentage].
12. This application is accompanied by a certificate of Good Standing issued by the proper officer of the state or country under the laws of which it is incorporated.
13. This Application for Certificate of Authority shall be effective upon filing unless a specified date is provided which shall be no later than the 90<sup>th</sup> day after the date of this filing \_\_\_\_\_

Under penalty of perjury, I declare and affirm that I have examined this Application for Certificate of Authority, including any accompanying attachments, and that all statements contained herein are true and correct.

Date: August 25, 2005

  
 \_\_\_\_\_  
 Signature of Authorized Officer of the Corporation

Lawrence M. LeHan, Assistant Secretary  
 \_\_\_\_\_  
 Type or Print Name of Authorized Officer

## Marsh Private Client Life Insurance Services

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<b>Appointed person</b>	<b>Appointed as</b>	<b>Business Address</b>
RUBENSTEIN, Daniel E.	Director, CFO	1166 Ave. of the Americas New York, NY 10036
WOLFE, Barry L.	Director, CEO	20750 Ventura Blvd. Woodland Hills, CA 91364
GIGLIOTTI, Joseph P.	Vice President	1166 Ave. of the Americas New York, NY 10036
STANICK, Keith	Vice President	121 River St. Hoboken, NJ 07030
O'BRIEN, Margaret M.	Secretary	1166 Ave. of the Americas New York, NY 10036
LEHAN, Lawrence M.	Assistant Secretary	1166 Ave. of the Americas New York, NY 10036
BARTLEY, Matthew B.	Treasurer	1166 Ave. of the Americas New York, NY 10036

**State of California**  
**Secretary of State**

**CERTIFICATE OF STATUS**  
**DOMESTIC CORPORATION**

I, BRUCE McPHERSON, Secretary of State of the State of California, hereby certify:

That on the **18th day of December, 1957, MARSH PRIVATE CLIENT LIFE INSURANCE SERVICES** became incorporated under the laws of the State of California by filing its Articles of Incorporation in this office; and

That said corporation's corporate powers, rights and privileges are not suspended on the records of this office; and

That according to the records of this office, the said corporation is authorized to exercise all its corporate powers, rights and privileges and is in good legal standing in the State of California; and

That no information is available in this office on the financial condition, business activity or practices of this corporation.

**IN WITNESS WHEREOF**, I execute  
this certificate and affix the Great Seal  
of the State of California this day of  
May 20, 2005.



**BRUCE McPHERSON**  
Secretary of State

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