

**State of Rhode Island and Providence Plantations**  
**NON-PROFIT CORPORATION**

**ORIGINAL ARTICLES OF INCORPORATION**

The undersigned, acting as incorporator(s) of a corporation under Chapter 7-6 of the General Laws, 1956, as amended, adopt(s) the following Articles of Incorporation for such corporation:

FIRST: The name of the corporation is THE RHODE ISLAND SOCIETY OF THE INSTITUTE  
OF CERTIFIED FINANCIAL PLANNERS

SECOND: The period of its duration (if perpetual, so state) PERPETUAL

THIRD: The purpose or purposes for which the corporation is organized are:

To provide a local forum for members of the Institute of Certified Financial Planners ("ICFP") to promote the professionalism of the designation "Certified Financial Planner," to provide ongoing continuing education opportunities for ICFP members and to build public and media awareness of Certified Financial Planner's and their position in the financial planning profession. The purpose above stated and to transact any lawful business for which corporations may be incorporated under the Rhode Island Non-Profit Corporation Act; and to have all the powers conferred upon corporations organized under the Rhode Island Non-Profit Corporation Act.

FOURTH: Provisions (if any) for the regulation of the internal affairs of the corporation, including provisions for the distribution of assets on dissolution or final liquidation, are:

(Note 1)

No member shall have any right, title or interest in the assets of the Corporation. No director, officer or member of the Corporation shall receive any income or profit from the Corporation except as authorized by the Board of Directors as reimbursement for reasonable out-of-pocket expenses incurred in furtherance of the Corporation's purposes. In the event of dissolution, the assets of the Corporation, after payment of, or making provision for the payment of, any liabilities, shall be distributed to the Institute of Certified Financial Planners and/or the Institute of Certified Financial Planners Educational Foundation, both of which are non-profit corporations organized under the laws of the State of Colorado, and both of which are recognized as exempt under Section 501(c)(6) of the Internal Revenue Code.

DEC 3 1986

FIFTH: The address of the initial registered office of the corporation is 747 Pontiac Avenue,  
Suite 214, Cranston, Rhode Island 02910 (add Zip Code),  
and the name of its initial registered agent at such address is: Edward D. McCarthy

SIXTH: The number of directors constituting the initial Board of Directors of the corporation is 5,  
and the names and addresses of the persons who are to serve as the initial directors are:

Name	Address
Edward D. McCarthy	747 Pontiac Ave., Suite 214, Cranston, RI 02910
Malcom Makin	Washington Trust Bldg., Westerly, RI 02891
Patricia Campion	Washington Trust Bldg., Westerly, RI 02891
John Poulton	180 Westminster St., 2nd Floor, Providence, RI 02903
Willoughbe Richardson	P. O. Box 556, Westerly, RI 02891

SEVENTH: The name and address of each incorporator is:

Name	Address
Paul A. Brule	Suite 1420 Hospital Trust Tower, Providence, RI 02903

CP35

CP35 35.00  
CHEK 35.00

12/09/86 PAID 0191A001

EIGHTH: Date when corporate existence to begin (not more than 30 days after filing of these articles of incorporation): upon filing DEC 3 1986

Dated November 25, 19 86.

Paul A. Brule  
PAUL A. BRULE

Incorporator(s)

NOTE: 1. If no provision for the regulation of the internal affairs of the corporation or for the distribution of assets on dissolution or final liquidation are to be set forth, insert "None." In an appropriate case provisions relating to members, their qualifications and rights (Section 7-6-15) may be inserted here.

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