

Filing and License Fee: \$310.00 minimum

ID Number: 165093



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State
Corporations Division
148 W. River Street
Providence, Rhode Island 02904-2615

BUSINESS CORPORATION

APPLICATION FOR CERTIFICATE OF AUTHORITY

Pursuant to the provisions of Section 7-1.2-1405 of the General Laws of Rhode Island, 1956, as amended, the undersigned foreign corporation hereby applies for a Certificate of Authority to transact business in the State of Rhode Island, and for that purpose submits the following statement:

1. The name of the corporation is Smithwick & Mariners Insurance, Inc.
2. It is incorporated under the laws of Maine
3. The name, if different, which it elects to use in Rhode Island is:
 - (a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation," "company," "incorporated," or "limited," or an abbreviation thereof, then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island:
 - (b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application:
4. The date of its incorporation is 08/14/1987 and the period of its duration is Perpetual
5. The address of its principal office in the state or country under the laws of which it is incorporated is 366 U.S. Route One, Falmouth, Maine, 04105
6. The address of its proposed registered office in Rhode Island is 10 Weybosset Street
Providence, RI 02903 (Street Address, not P.O. Box)
(City/Town) (Zip Code) and the name of its proposed registered agent in Rhode Island at that address is C T Corporation System
(Name of Agent)
7. The purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:
Insurance Agency Notwithstanding the foregoing, the purpose of the corporation is to engage in any lawful act or activity for which corporations may be organized to do business under the laws of its jurisdiction of incorporation
8. (a) The names and respective addresses of its directors (optional unless directors are required under the laws of the state or country of which it is incorporated).

	<u>Name</u>	<u>Address</u>
Director	<u>Stephen M. Smithwick</u>	<u>366 U.S. Route One, Falmouth, ME 04105</u>
Director	<u>Reginald H. Smithwick</u>	<u>366 U.S. Route One, Falmouth, ME 04105</u>
Director	<u>Christopher P. Smithwick</u>	<u>366 U.S. Route One, Falmouth, ME 04105</u>
Director		

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- (b) The names and respective addresses of its principal officers (mandatory if directors are not required under the laws of the state or country of which it is incorporated). **SEE ATTACHMENT**

	<u>Name</u>	<u>Address</u>
President	Reginald H. Smithwick	366 U.S. Route One, Falmouth, ME 04105
Vice President	Kevin D. Rocha	366 U.S. Route One, Falmouth, ME 04105
Treasurer		
Secretary		

9. The aggregate number of shares which it has authority to issue, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

<u>Number of Shares</u>	<u>Class</u>	<u>Series</u>	<u>Par Value or Statement that Shares are without Par Value</u>
5,000	Class A Common		0.0000
5,000	Class B Common		0.0000

10. (a) An estimate of the value of all property to be owned by the corporation for the following year, wherever located, is \$ 335,911.
- (b) An estimate of the value of the corporation's property to be located within Rhode Island during the following year is \$ 0.
- (c) An estimate, expressed as a percentage, of the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located, is 0 %. [divide (b) by (a) and multiply by 100 to obtain the percentage].
11. (a) An estimate of the gross amount of business to be transacted by the corporation during the following year is \$ 3,189,088.
- (b) An estimate of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year is \$ 0.
- (c) An estimate, expressed as a percentage, of the proportion that the gross amount of business to be transacted by the corporation at or from places of business in this state during the following year bears to the gross amount thereof which will be transacted by the corporation during the following year is 0 % [divide (b) by (a) and multiply by 100 to obtain the percentage].
12. This application is accompanied by a certificate of Good Standing issued by the proper officer of the state or country under the laws of which it is incorporated.
13. This Application for Certificate of Authority shall be effective upon filing unless a specified date is provided which shall be no later than the 90th day after the date of this filing date of filing

Under penalty of perjury, I declare and affirm that I have examined this Application for Certificate of Authority, including any accompanying attachments, and that all statements contained herein are true and correct.

Date: 5/31/07

Reginald H. Smithwick
Signature of Authorized Officer of the Corporation

Reginald H. Smithwick, President
Type or Print Name of Authorized Officer

**Attachment to Rhode Island
Officers & Directors**

1	Full Name:	Christopher P. Smithwick
	Officer/Director:	Officer, Director
	Officer's Title:	Treasurer and Secretary
	Business Address:	366 U.S. Route One
	City:	Falmouth
	State:	ME
	ZIP Code:	04105

State of Maine



Department of the Secretary of State

I, the Secretary of State of Maine, certify that according to the provisions of the Constitution and Laws of the State of Maine, the Department of the Secretary of State is the legal custodian of the Great Seal of the State of Maine which is hereunto affixed and of the reports of organization, amendment and dissolution of corporations and annual reports filed by the same.

I further certify that SMITHWICK & MARINERS INSURANCE, INC., formerly SMITHWICK & CLARKE INSURANCE, INC. is a duly organized business corporation under the laws of the State of Maine and that the date of incorporation is August 14, 1987.

I further certify that on:

August 14, 1987	ARTICLES OF INCORPORATION were filed.
January 12, 1989	CHANGE IN PURPOSE was filed.
April 10, 1989	RESIGNATION OF CLERK/AGENT was filed.
April 26, 1989	CHANGE OF CLERK AND REGISTERED OFFICE was filed.
November 01, 1994	CHANGE OF REGISTERED OFFICE was filed.
August 21, 1996	CHANGE OF CLERK AND REGISTERED OFFICE was filed.
November 10, 1999	ASSUMED NAME was filed.
November 10, 1999	CHANGE OF LEGAL NAME was filed.
December 19, 2001	CHANGE OF CLERK AND REGISTERED OFFICE was filed.
March 08, 2002	CHANGE IN NUMBER AND/OR EXISTENCE OF DIRECTORS was filed.

No further amendments have been filed to date.

I further certify that said business corporation has filed annual reports due to this Department, and that no action is now pending by or on behalf of the State of Maine to forfeit the charter and that according to the records in the Department of the Secretary of State, said corporation is a legally existing business corporation in good standing under the laws of the State of Maine at the present time.



In testimony whereof, I have caused the Great Seal of the State of Maine to be hereunto affixed. Given under my hand at Augusta, Maine, this twenty-seventh day of June 2007.

A handwritten signature in black ink, appearing to read "Matthew Dunlap", written in a cursive style.

MATTHEW DUNLAP

Secretary of State