



**State of Rhode Island
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2021

1. Corporate ID No. 000074588

2. Name of Corporation TD AMERITRADE, Inc.

3. Street Address Principal Business Office:

No. and Street: 200 S. 108TH AVE
HOTC 11TH FL

City or Town: OMAHA State: NE Zip: 68154 Country: USA

4. Business Phone No.

5. State of Incorporation

State: NY

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523120

6. Brief Description of the Character of Business Conducted in Rhode Island

BROKER/DEALER

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	THOMAS W BUTCH	700 MARYVILLE CENTRE DRIVE ST. LOUIS, MO 63141 USA

TREASURER	WILLIAM T. YATES	200 S. 108TH AVE OMAHA, NE 68154 USA
SECRETARY	JANIS CAMPANELLA	200 S. 108TH AVE OMAHA, NE 68154 USA
CFO	JON PETERSON	200 S. 108TH AVE OMAHA, NE 68154 USA
DIRECTOR	JON PETERSON	200 S. 108TH AVE OMAHA, NE 68154 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CNP		\$0.0000	200.00	200

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 14 Day of January, 2021 at 9:49:54 AM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By JON PETERSON
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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