



**State of Rhode Island  
Office of the Secretary of State**

**Fee: \$50.00**

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

*Filing Period: January 1 - March 1*

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2021

**1. Corporate ID No.** 000074588

**2. Name of Corporation** TD AMERITRADE, Inc.

**3. Street Address Principal Business Office:**

No. and Street: 200 S. 108TH AVE  
HOTC 11TH FL

City or Town: OMAHA State: NE Zip: 68154 Country: USA

**4. Business Phone No.**

**5. State of Incorporation**

State: NY

**ARTICLE III**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523120

**6. Brief Description of the Character of Business Conducted in Rhode Island**

BROKER/DEALER

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

| Title     | Individual Name<br>First, Middle, Last, Suffix | Address<br>Address, City or Town, State, Zip Code, Country |
|-----------|------------------------------------------------|------------------------------------------------------------|
| PRESIDENT | THOMAS W BUTCH                                 | 700 MARYVILLE CENTRE DRIVE<br>ST. LOUIS, MO 63141 USA      |

|           |                  |                                         |
|-----------|------------------|-----------------------------------------|
| TREASURER | WILLIAM T. YATES | 200 S. 108TH AVE<br>OMAHA, NE 68154 USA |
| SECRETARY | JANIS CAMPANELLA | 200 S. 108TH AVE<br>OMAHA, NE 68154 USA |
| CFO       | JON PETERSON     | 200 S. 108TH AVE<br>OMAHA, NE 68154 USA |
| DIRECTOR  | JON PETERSON     | 200 S. 108TH AVE<br>OMAHA, NE 68154 USA |

## 8. Shares Authorized and Issued

| Class of Stock | Series of Stock | Par Value Per Share | Total Authorized<br>Shares<br><i>Number of Shares</i> | Total Issued<br>and<br>Outstanding<br><i>Num of<br/>Shares</i> |
|----------------|-----------------|---------------------|-------------------------------------------------------|----------------------------------------------------------------|
| CNP            |                 | \$0.0000            | 200.00                                                | 200                                                            |

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 14 Day of January, 2021 at 9:49:54 AM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By JON PETERSON  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

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