



**State of Rhode Island
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2021

1. Corporate ID No. 000020826

2. Name of Corporation Cadaret, Grant & Co., Inc.

3. Street Address Principal Business Office:

No. and Street: 100 MADISON STREET
SUITE 1300

City or Town: SYRACUSE

State: NY Zip: 13202 Country: USA

4. Business Phone No.

8002888601

5. State of Incorporation

State: DE

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523120

6. Brief Description of the Character of Business Conducted in Rhode Island

BROKER/ DEALER

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
VICE PRESIDENT	JENNIFER HAYES	100 MADISON STREET SUITE 1300 SYRACUSE, NY 13202 USA

VICE PRESIDENT	RICHARD HAYES	100 MADISON STREET SYRACUSE, NY 13202 USA
VICE PRESIDENT	ANDREW KNAPP	100 MADISON STREET, SUITE 1300 SYRACUSE, NY 13202 USA
VICE PRESIDENT	ANDREW SZYMANOWSKI	100 MADISON STREET, SUITE 1300 SYRACUSE, NY 13202 USA
PRESIDENT & CEO- CHRM BOARD	DOUGLAS KETTERER	100 MADISON STREET, SUITE 1300 SYRACUSE, NY 13202 USA
TREAS.SECY.DIRECTOR	DONALD TAYLOR	100 MADISON STREET, SUITE 1300 SYRACUSE, NY 13202 USA
ASSISTANT SECRETARY	DONNA FARRELL	100 MADISON STREET, SUITE 1300 SYRACUSE, NY 13202 USA
VICE PRESIDENT	CHRISTOPHER MONK	100 MADISON STREET, SUITE 1300 SYRACUSE, NY 13202 USA
VICE PRESIDENT	MICHAEL ARNOLD	100 MADISON STREET, SUITE 1300 SYRACUSE, NY 13202 USA
VICE PRESIDENT	KEVIN MAKOWSKI	100 MADISON STREET, SUITE 1300 SYRACUSE, NY 13202 USA
DIRECTOR	EUGENE ELIAS	100 MADISON STREET, SUITE 1300 SYRACUSE, NY 13202 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.0100	400,000.00	104688
PWP		\$50.0000	5,000.00	0

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 14 Day of January, 2021 at 12:16:56 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By DONNA FARRELL
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

