



**State of Rhode Island  
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2021

**1. Corporate ID No.** 000099145

**2. Name of Corporation** M & T Securities, Inc.

**3. Street Address Principal Business Office:**

No. and Street: 285 DELAWARE AVENUE, SUITE 200

City or Town: BUFFALO

State: NY Zip: 14202 Country: USA

**4. Business Phone No.**

**5. State of Incorporation**

State: NY

**ARTICLE III**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523120

**6. Brief Description of the Character of Business Conducted in Rhode Island**

PURCHASE AND SALE OF SECURITIES PRODUCTS AS A BROKER-DEALER.

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name	Address
PRESIDENT	RICHARD MARSH	285 DELAWARE AVENUE BUFFALO, NY 14202 USA
TREASURER	JAMES ANTHONY STARK	285 DELAWARE AVENUE

		BUFFALO, NY 14202 USA
SECRETARY	MARIE KING	ONE M&T PLAZA BUFFALO, NY 14203 USA
VICE PRESIDENT	TERRI FOGARTY RUMANS	285 DELAWARE AVENUE BUFFALO, NY 14202 USA
DIRECTOR	ANN ELIZABETH SILVERMAN	350 PARK AVENUE NEW YORK, NY 14202 USA
DIRECTOR	MATTHEW JAMES MCAFEE	285 DELAWARE AVENUE BUFFALO, NY 14202 USA
DIRECTOR	RICHARD MCCARTHY JR	ONE M&T PLAZA BUFFALO, NY 14203 USA
DIRECTOR	ATWOOD COLLINS III	2000 PGA BLVD. SUITE 4400 PALM BEACH, FL 33408 USA
DIRECTOR	EILEEN MARIE PIRSON	285 DELAWARE AVENUE BUFFALO, NY 14202 USA
DIRECTOR	PETER JAMES OLSEN	575 MAIN STREET BUFFALO, NY 14203 USA
DIRECTOR	MICHAEL KEANE	285 DELAWARE AVENUE BUFFALO, NY 14202 USA

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CNP		\$0.0000	200.00	30

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 15 Day of January, 2021 at 12:15:16 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By JAMES ANTHONY STARK  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07