



**State of Rhode Island
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2021

1. Corporate ID No. 000136800

2. Name of Corporation USAA FINANCIAL ADVISORS, INC.

3. Street Address Principal Business Office:

No. and Street: 9800 FREDERICKSBURG ROAD

City or Town: SAN ANTONIO

State: TX Zip: 78288 Country: USA

4. Business Phone No.

210-498-5939

5. State of Incorporation

State: DE

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523120

6. Brief Description of the Character of Business Conducted in Rhode Island

REGISTERED BROKER-DEALER

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address
TREASURER	JEFF E TUCKER	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
AVP - PRINCIPAL OPERATIONS	LARRY BORDOVSKY	9800 FREDERICKSBURG ROAD

OFFICER		SAN ANTONIO, TX 78288 USA
FINANCIAL OPERATIONS OFFICER	JENNIFER FLORES	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
ASSISTANT SECRETARY	SALLY A. MURPHY	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
AML COMPLIANCE OFFICER	LYNDA JAMMERMAN	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
DIRECTOR	BRANDON DANE CARTER	9800 FREDERICKSBURG SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	ALICIA NANCY REINHARD	9800 FREDERICKSBURG SAN ANTONIO, TX 78288 USA
ASSISTANT VICE PRESIDENT	KAREN HANKS	9800 FREDERICKSBURG RD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT - TAX OFFICER	PATRICK A. WAGEMAN	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
SECRETARY	CHRISTINE J. WARREN	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
CHIEF COMPLIANCE OFFICER	JAMES D. MUIR	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
PRESIDENT	JASON W. DUDLEY	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
DIRECTOR - VICE CHAIRMAN OF THE BOARD	JASON W. DUDLEY	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
DIRECTOR - CHAIRMAN OF THE BOARD	DANIEL S MCNAMARA	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA
VICE PRESIDENT	CHARLES K CRAFT	9800 FREDERICKSBURG ROAD SAN ANTONIO, TX 78288 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.0100	3,000.00	1500

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 4 Day of February, 2021 at 9:07:11 AM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

By CHRISTINE J WARREN
Signature of Authorized Representative of the Corporation

Revised 09/07

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