



**State of Rhode Island
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2021

1. Corporate ID No. 000024755

2. Name of Corporation PFS Investments, Inc.

3. Street Address Principal Business Office:

No. and Street: 1 PRIMERICA PARKWAY
City or Town: DULUTH

State: GA Zip: 30099-0001 Country: USA

4. Business Phone No.

4705645289

5. State of Incorporation

State: GA

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523120

6. Brief Description of the Character of Business Conducted in Rhode Island

BROKER/DEALER SECURITIES

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	DAVID H SIEGEL	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
TREASURER	SHARON K. GRUBENHOFF	1 PRIMERICA PARKWAY

		DULUTH, GA 30099 USA
SECRETARY	STACEY K GEER	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
CEO	ESTEE FARANDA	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
CFO	SHARON K. GRUBENHOFF	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
VICE PRESIDENT	JEFFREY S. FENDLER	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
ASSISTANT SECRETARY	ASA BEHNIA	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
VICE PRESIDENT	M ELLEN MONTGOMERY	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
VICE PRESIDENT	JOHN S WATTS III	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
VICE PRESIDENT	TMIOOTHY S GARRETT	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
VICE PRESIDENT	SUZANNE E LOOMIS	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
VICE PRESIDENT	WILIAM J. NEMETZ	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
CHIEF COUNSEL	JOHN S. WATTS III	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
CCO	DANIEL A WOODRING	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
ASSISTANT SECRETARY	DAVID I HOW	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
VICE PRESIDENT	SHARON K. GRUBENHOFF	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
VICE PRESIDENT	DANIEL A WOODRING	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
VICE PRESIDENT	KAREN L SUKIN	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
VICE PRESIDENT	L KYLE SHRIVER	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
ASSISTANT SECRETARY	TODD M COOPERIDER	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
ASSISTANT SECRETARY	DAVID WRIGHT	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
VICE PRESIDENT	DAWN R HUBLER	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
VICE PRESIDENT	LUBNA JAVAID	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
VICE PRESIDENT	DEBORAH D MILLER	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
VICE PRESIDENT	LORI A RIVET	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
VICE PRESIDENT	BRETT A ROGERS	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
VICE PRESIDENT	DAVID WRIGHT	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
ASSISTANT SECRETARY	KURT J. SIMMONS	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
VICE PRESIDENT	JULIE M OSYSKO	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA

VICE PRESIDENT	DON H WILLIAMS JR	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
ASSISTANT SECRETARY	LUBNA JAVAID	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
ASSISTANT SECRETARY	LORI A RIVET	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
ASSISTANT SECRETARY	ALEX TAYLOR	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
GENERAL COUNSEL	KAREN L. SUKIN	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
COO	CYNTHIA K. MITCHELL	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
VICE PRESIDENT	CYNTHIA K. MITCHELL	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
VICE PRESIDENT	DAVID I HOW	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
VICE PRESIDENT	DANA ATCHISON	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
VICE PRESIDENT	ALEX CUNNINGHAM	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
DIRECTOR	DAVID H SIEGEL	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
DIRECTOR	CYNTHIA K MITCHELL	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA
DIRECTOR	ESTEE FARANDA	1 PRIMERICA PARKWAY DULUTH, GA 30099 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.1000	100,000.00	25500

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 9 Day of February, 2021 at 3:09:00 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By JILLIAN TERRY
Signature of Authorized Representative of the Corporation

© 2007 - 2021 State of Rhode Island
All Rights Reserved