



**State of Rhode Island  
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2021

**1. Corporate ID No.** 000789997

**2. Name of Corporation** Segal Advisors, Inc.

**3. Street Address Principal Business Office:**

No. and Street: 333 WEST 34TH STREET  
City or Town: NEW YORK

State: NY Zip: 10001 Country: USA

**4. Business Phone No.**

**5. State of Incorporation**

State: NY

**ARTICLE III**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

541990

**6. Brief Description of the Character of Business Conducted in Rhode Island**

INVESTMENT ADVISORY SERVICES

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name	Address
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country
TREASURER	RICARDO M DIBARTOLO	333 WEST 34TH STREET NEW YORK, NY 10001 USA
CEO	JOHN DEMAIO	333 WEST 34TH STREET

		NEW YORK, NY 10001 USA
CFO	RICARDO M. DIBARTOLO	333 WEST 34TH STREET NEW YORK, NY 10001 USA
SENIOR VICE PRESIDENT	RICARDO M. DIBARTOLO	333 WEST 34TH STREET NEW YORK, NY 10001 USA
CHAIRMAN	JOSEPH A. LOCICERO	333 WEST 34TH STREET NEW YORK, NY 10001 USA
DIRECTOR	STUART H. LERNER	333 WEST 34TH STREET NEW YORK, NY 10001 USA
PRESIDENT	JOHN DEMAIO	333 WEST 34TH STREET NEW YORK, NY 10001 USA
DIRECTOR	EILEEN FLICK	333 WEST 34TH STREET NEW YORK, NY 10001 USA
DIRECTOR	SUSAN CROTTY	550 WASHINGTON BOULEVARD, SUITE 900 CHICAGO, IL 60661 USA
DIRECTOR	ANDREW SHERMAN	116 HUNTINGTON AVENUE, 8TH FLOOR BOSTON, MA 02116 USA
SENIOR VICE PRESIDENT	STEVEN C. GREENSPAN	333 WEST 34TH STREET NEW YORK, NY 10001 USA
SECRETARY	STEVEN C. GREENSPAN	333 WEST 34TH STREET NEW YORK, NY 10001 USA
VICE PRESIDENT	TADASHI DUMAS	333 WEST 34TH STREET NEW YORK, NY 10001 USA
DIRECTOR	JENNIFER BENZ	275 9TH STREET SAN FRANCISCO, CA 94103 USA
DIRECTOR	DAVID BLUMENSTEIN	1920 N STREET, NW, SUITE 400 WASHINGTON, DC 20036 USA
DIRECTOR	JOSEPH A. LOCICERO	333 WEST 34TH STREET NEW YORK, NY 10001 USA
DIRECTOR	JOHN DEMAIO	333 WEST 34TH STREET NEW YORK, NY 10001 USA

## 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CNP		\$0.0000	100.00	100

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 12 Day of February, 2021 at 1:48:57 PM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.**

By STEVEN C. GREENSPAN  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

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