



**State of Rhode Island
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2021

1. Corporate ID No. 000140069

2. Name of Corporation BMO Capital Markets Corp.

3. Street Address Principal Business Office:

No. and Street: 3 TIMES SQUARE

City or Town: NEW YORK

State: NY

Zip: 10036

Country: USA

4. Business Phone No.

5. State of Incorporation

State: DE

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523110

6. Brief Description of the Character of Business Conducted in Rhode Island

SECURITIES BROKER DEALER

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country
SECRETARY	MICHELLE MAGNAYE	111 W MONROE ST CHICAGO , IL 60603 US
CFO	MICHAEL COPPINS	3 TIMES SQUARE

		NEW YORK, NY 60603 USA
VP TAXATION	PATRICK MURPHY	3 TIMES SQUARE NEW YORK , NY 10036 US
CHIEF ANTI MONEY LAUNDERING OFFICER	ADAM SCHABES	111 W. MONROE ST. CHICAGO, IL 60603 USA
CHIEF COMPLIANCE OFFICER	MICHAEL FORLENZA	3 TIMES SQUARE NEW YORK , NY 10036 USA
DESIGNATED RISK OFFICER	JEREMY VANBEEK	111 W. MONROE CHICAGO, IL 60603 USA
DIRECTOR	LARISSA CHAIKOWSKY	111 W MONROE STREET CHICAGO, IL 60603 USA
DIRECTOR	SUMMER HINTON	3 TIMES SQUARE NEW YORK, NY 10036 USA
DIRECTOR	DELAND KAMANGA	100 KING STREET WEST TORONTO, ON M5X1A1 CAN
ASSISTANT SECRETARY	CINDY SALAZAR	111 W MONROE STREET CHICAGO, IL 60603 USA
DIRECTOR	BRAD REID	3 TIMES SQUARE NEW YORK , NY 10036 US
DIRECTOR	BRAD ROTHBAUM	3 TIMES SQUARE NEW YORK , NY 10036 US
DIRECTOR	PETER MYERS	3 TIMES SQUARE NEW YORK , NY 10036 US
DIRECTOR	LESLIE ANDERSON	111 W MONROE STREE CHICAGO, IL 60603 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
PNP		\$0.0000	1.00	1
CNP		\$0.0000	10,000.00	5875

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 15 Day of February, 2021 at 9:34:06 PM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

By CINDY SALAZAR
Signature of Authorized Representative of the Corporation

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