



**State of Rhode Island  
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2021

**1. Corporate ID No.** 001692856

**2. Name of Corporation** SILK ROAD MEDICAL, INC.

**3. Street Address Principal Business Office:**

No. and Street: 1213 INNSBRUCK DRIVE  
City or Town: SUNNYVALE

State: CA Zip: 94089 Country: USA

**4. Business Phone No.**

**5. State of Incorporation**

State: DE

**ARTICLE III**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

339112

**6. Brief Description of the Character of Business Conducted in Rhode Island**

MEDICAL DEVICE

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	ERICA ROGERS	1213 INNSBRUCK DRIVE SUNNYVALE, CA 94089 USA
TREASURER	LUCAS BUCHANAN	1213 INNSBRUCK DRIVE

		SUNNYVALE, CA 94089 USA
SECRETARY	PHILIP OETTINGER	1213 INNSBRUCK DRIVE SUNNYVALE, CA 94089 USA
DIRECTOR	ELIZABETH WEATHERMAN	1213 INNSBRUCK DRIVE SUNNYVALE, CA 94089 USA
DIRECTOR	DONALD ZURBAY	1213 INNSBRUCK DRIVE SUNNYVALE, CA 94089 USA
DIRECTOR	ERICA ROGERS	1213 INNSBRUCK DRIVE SUNNYVALE, CA 94089 USA
DIRECTOR	TONY CHOU	1213 INNSBRUCK DRIVE SUNNYVALE, CA 94089 USA
DIRECTOR	JACK LASERSOHN	1213 INNSBRUCK DRIVE SUNNYVALE, CA 94089 USA
DIRECTOR	RICK ANDERSON	1213 INNSBRUCK DRIVE SUNNYVALE, CA 94089 USA
DIRECTOR	KEVIN BALLINGER	1213 INNSBRUCK DRIVE SUNNYVALE, CA 94089 USA

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
PWP		\$0.0010	64,987,964.00	0
CWP		\$0.0010	80,673,895.00	33889077

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 22 Day of February, 2021 at 1:57:27 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By PAIGE FRENTZEL  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07