



**State of Rhode Island
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2021

1. Corporate ID No. 000023469

2. Name of Corporation Rothschild & Co Asset Management US Inc.

3. Street Address Principal Business Office:

No. and Street: 1251 AVENUE OF THE AMERICAS

City or Town: NEW YORK

State: NY Zip: 10020 Country: USA

4. Business Phone No.

5. State of Incorporation

State: NY

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523110

6. Brief Description of the Character of Business Conducted in Rhode Island

INVESTMENT ADVISOR

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
SECRETARY	JOHN M. CARROLL	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
VICE PRESIDENT	RONNY SANTOS	1251 AVENUE OF THE AMERICAS

		NEW YORK, NY 10020 USA
VICE PRESIDENT	SEAN JEFFERS	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
VICE PRESIDENT	KUNAL DESAI	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
VICE PRESIDENT	WILLIAM BERTOLINO	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
VICE PRESIDENT	MICHAEL SABINO	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
VICE PRESIDENT	TIFFANY LI	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
VICE PRESIDENT	MICHAEL SULLIVAN	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	GARY POWELL	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	JAMES NEISSA	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	LEONARDO LEBRUN	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	SOPHIA SHIN	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	AYSUN KILIC	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	ROGER BOS	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	DENISE OGILL	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	JASON SMITH	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	RUSSEL NEWMAN	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	RICHARD VASQUEZ	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	DINA CLEMENTS	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	MARK BURNS	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	ERIC FRASER	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	ERIC ROWAN	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	TINA JONES	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	KATHRYN OROZCO	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	JEFFREY AGNE	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	MARY CULLIAN	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	ANNA MAJEWSKA-NIZIOL	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	JOHN THOMAS	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	JOSEPH GILL	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA

DIRECTOR	DOUGLAS LEVINE	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	JOE BELLANTONI	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	CHRIS KAUFMAN	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	JOSEPH SHELLY	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	MICHAEL KEHOE	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	LUIS FERREIRA	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	DANIEL OSHINSKIE	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	JOHN M. CARROLL	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	MARTIN RUSZKOWSKI	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA
DIRECTOR	PAUL ROUKIS	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.0100	1,000.00	200

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 22 Day of February, 2021 at 6:45:31 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By JOHN M. CARROLL
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07