



**State of Rhode Island
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2021

1. Corporate ID No. 000066499

2. Name of Corporation Morgan Stanley Capital Group Inc.

3. Street Address Principal Business Office:

No. and Street: 1585 BROADWAY
City or Town: NEW YORK State: NY Zip: 10036 Country: USA

4. Business Phone No.

5. State of Incorporation

State: DE

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

522293

6. Brief Description of the Character of Business Conducted in Rhode Island

EFFECTS NON-REGULATED TRANSACTIONS WITH VARIOUS INSTITUTIONAL CLIENTS
IN
VARIOUS INTERNATIONAL MARKETS. NON-CLEARING MEMBER OF NYMEX AND
EXECUTES
TRANSACTIONS FOR ITS OWN ACCOUNT ON SUCH EXCHANGE.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address
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	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country
TREASURER	SUSIE KORB	1585 BROADWAY NEW YORK, NY 10036 USA
SECRETARY	WILLIAM F. MCCOY	1585 BROADWAY NEW YORK, NY 10036 USA
CFO	ROBERT JOSEPH URGO	1585 BROADWAY NEW YORK, NY 10036 USA
VICE PRESIDENT	BRENT MASUCCI	1585 BROADWAY NEW YORK, NY 10036 USA
VICE PRESIDENT	TUSHAR MEHTA	1585 BROADWAY NEW YORK, NY 10036 USA
VICE PRESIDENT	DAVID RATNARAJAH	1585 BROADWAY NEW YORK, NY 10036 USA
VICE PRESIDENT	TODD SULLIVAN	1585 BROADWAY NEW YORK, NY 10036 USA
VICE PRESIDENT	VIVEK MENON	1585 BROADWAY NEW YORK, NY 10036 USA
VICE PRESIDENT	PATRICK MURRAY	1585 BROADWAY NEW YORK, NY 10036 USA
VICE PRESIDENT	PARKER CORBIN	1585 BROADWAY NEW YORK, NY 10036 USA
VICE PRESIDENT	ANTHONY EDGAR	1585 BROADWAY NEW YORK, NY 10036 USA
PRESIDENT & CHIEF EXECUTIVE OFFICER	NANCY A. KING	1585 BROADWAY NEW YORK, NY 10036 USA
VICE PRESIDENT	KAREN KOCHONIES	1585 BROADWAY NEW YORK, NY 10036 USA
DIRECTOR	NANCY A. KING	1585 BROADWAY NEW YORK, NY 10036 USA
DIRECTOR	PARKER CORBIN	1585 BROADWAY NEW YORK, NY 10036 USA
DIRECTOR	TODD SULLIVAN	1585 BROADWAY NEW YORK, NY 10036 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	100.00	100

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 23 Day of February, 2021 at 12:55:36 AM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By KELLY LETTMANN

Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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