State of Rhode Island
Office of the Secretary of State

Fee: $50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

Foreign Business Corporation
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of $25.00.

ANNUAL REPORT YEAR: 2021

1. Corporate ID No. 001667649

2. Name of Corporation Upgrade, Inc.

3. Street Address Principal Business Office:

No. and Street: 275 BATTERY STREET
SUITE 2300
City or Town: SAN FRANCISCO State: CA Zip: 94111 Country: USA

4. Business Phone No.

415-805-6658

5. State of Incorporation

State: DE

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes here. More information on NAICS can be found online.

522291

6. Brief Description of the Character of Business Conducted in Rhode Island

FINANCIAL TECHNOLOGY

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
SECRETARY	JEFFREY BOGAN	275 BATTERY STREET SUITE 2300 SAN FRANCISCO, CA 94111 USA

DIRECTOR	ANJU PATWARDHAN	275 BATTERY STREET SUITE 2300 SAN FRANCISCO, CA 94111 USA
DIRECTOR	MEYER MALKA	275 BATTERY STREET SUITE 2300 SAN FRANCISCO, CA 94111 USA
TREASURER	JEFFREY BROGAN	275 BATTERY STREET SUITE 2300 SAN FRANCISCO, CA 94111 USA
DIRECTOR	JOSEPH HUBER	275 BATTERY STREET SUITE 2300 SAN FRANCISCO, CA 94111 USA
PRESIDENT	RENAUD LAPLANCHE	275 BATTERY STREET SUITE 2300 SAN FRANCISCO, CA 94111 CA
DIRECTOR	JOSEPH BREEDEN	275 BATTERY STREET, SUITE 2300 SAN FRANCISCO, CA 94111 USA
DIRECTOR	SCOTT BOMMER	275 BATTERY STREET, SUITE 2300 SAN FRANCISCO, CA 94111 USA
DIRECTOR	RENAUD LAPLANCHE	275 BATTERY STREET SUITE 2300 SAN FRANCISCO, CA 94111 USA
DIRECTOR	CHRISTOPHER MICHAEL GOTTSCHALK	275 BATTERY STREET SUITE 2300 SAN FRANCISCO, CA 94111 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares *Number of Shares*	Total Issued and Outstanding *Num of Shares*
CWP	A	$0.0001	1,383,334.00	0
CWP	B	$0.0001	15,083,334.00	0
PWP	A	$0.0001	1,666,667.00	0
PWP	A-1	$0.0001	216,667.00	0

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 24 Day of February, 2021 at 1:53:09 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By JEFFREY BOGAN
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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