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# State of Rhode Island Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

# Foreign Business Corporation Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2021

1. Corporate ID No. 000144913

**2.** Name of Corporation U.S. Bancorp Investments, Inc.

3. Street Address Principal Business Office:

No. and Street: 60 LIVINGSTON AVENUE

City or Town: ST. PAUL State: MN Zip: 55117 Country: USA

4. Business Phone No.

5. State of Incorporation

State: DE

#### ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes <u>here.</u> More information on <u>NAICS</u> can be found online.

999999

6. Brief Description of the Character of Business Conducted in Rhode Island

### PROVIDES RETAIL AND INSTITUTIONAL BROKERAGE SERVICES

### 7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address	
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country	
PRESIDENT	KANNETH S CAMERANESI	800 NICOLLET MALL MINNEAPOLIS, MN 55402 USA	
CFO	JESSICA S MCINTOSH	505 N 7TH STREET	

		SAINT LOUIS, MO 63101 USA	
SECRETARY	GAIL VAN HORN	800 NICOLLLET MALL MINNEAPOLIS, MN 55402 USA	
SENIOR VICE PRESIDENT	DANIEL J MCCORMACK	809 S 60TH ST STE 205 WEST ALLIS, WI 53214 USA	
SENIOR VICE PRESIDENT	WILLIAM J STUART	461 FIFTH AVE 8TH FL NEW YORK, NY 10017 USA	
SENIOR VICE PRESIDENT/COO	KATHLEEN R ODEGAARD	60 LIVINGSTON AVE ST PAUL, MN 55107 USA	
SENIOR VICE PRESIDENT	JAMES B KELLIGREW	214 N TRYON ST CHARLOTTE, NC 28202 USA	
DIRECTOR	WILLIAM J STUART	461 FIFTH AVE 8TH FL NEW YORK, NY 10017 USA	
DIRECTOR	KENNETH S CAMERANESI	800 NICOLLET MALL MINNEAPOLIS, MN 55402 USA	
DIRECTOR	DOROTHY A MITCHEL	1702 HEWITT AVE EVERETT, WA 98201 USA	
DIRECTOR	STEPHEN PHILIPSON	214 N TRYON STREET CHARLOTTE, NC 28202 USA	
DIRECTOR	MICHAEL F POELL	214 N TRYON STREET CHARLOTTE, NC 28202 USA	
DIRECTOR	JEFFREY A WALTER	WEST 428 RIVERSIDE AVE SPOKANE , WA 99201 USA	
DIRECTOR	STEPHEN W STEINER	60 LIVINGSTON AVE SAINT PAUL , MN 55107 USA	
DIRECTOR	KAREN S WIMBISH	800 NICOLLET MALL MINNEAPOLIS, MN 55402 USA	
DIRECTOR	JESSICA MCINTOSH	505 N 7TH STREET SAINT LOUIS, MO 63101 USA	

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares Number of Shares	Total Issued and Outstanding Num of Shares
CWP		\$1.0000	1,000.00	1000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

**Signed this 26 Day of February, 2021 at 5:27:53 PM.** This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

## By NATASHA KNACK

Signature of Authorized Representative of the Corporation

Form No. 630 Revised 09/07

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