

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

Matthew A. Brown, Secretary of State

Corporations Division
100 North Main Street
Providence, RI 02903-1315
401.222.3010

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2005

Filing Period: January 1 - March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED OR PRINTED IN BLACK)

1. Corporate ID No. 23469		2. Name of Corporation ROTHSCHILD ASSET MANAGEMENT INC.			
3. Street Address Principal Business Office 1251 Avenue of the Americas			City New York	State NY	Zip 10020
4. Business Phone No. 212 403-3500		5. State of Incorporation NEW YORK		6. SIC Code 6130	
7. Brief Description of the Character of Business Conducted in Rhode Island INVESTMENT MANAGEMENT AND RELATED ACTIVITIES					
8. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) ☒ FILL IN SPACES BEFORE USING ATTACHMENTS					
President Name T. Radey Johnson			Vice President Name Anthea Allen-Mikstay		
Street Address 627 Third Street			Street Address 84 McGuire Avenue		
City Brooklyn	State NY	Zip 11215	City Peekskill	State NY	Zip 10566
Secretary Name Gregory O. Williams			Treasurer Name Gregory O. Williams		
Street Address 24 Crossridge Circle			Street Address 24 Crossridge Circle		
City Marlboro	State NJ	Zip 07746	City Marlboro	State NJ	Zip 07746
9. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS					
Director Name T. Radey Johnson			Director Name Mark K. Tavel		
Street Address 627 Third Street			Street Address 110 Riverside Drive		
City Brooklyn	State NY	Zip 11215	City New York	State NY	Zip 10024
Director Name Gerald Rosenfeld			Director Name		
Street Address 110 Riverside Drive			Street Address		
City New York	State NY	Zip 10024	City	State	Zip
10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT) ☒ AUTHORIZED SHARES			11. SHARES ISSUED ("X" BOX FOR ATTACHMENT) ☒ ISSUED SHARES		
Number of Shares	Class/Notes	Par Value	Number of Shares	Class/Notes	Par Value
<1,000 COMM \$0.01-PAR VALUE; 200 PREF NO PAR VALUE			None	None	None
PLEASE SEE ATTACHED RESTATED CERTIFICATE OF INCORPORATION AND COVER LETTER					

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



23469

File Date 7-5-05
Check No. 015605
By: _____
FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Judith R. MacDonald

03/15/2005

Signature of Officer

Date

Judith R. MacDonald

Print or Type Name of Officer

Managing Director

Title of Officer

BUSINESS ADDRESS

1251 Avenue of the Americas
New York, New York 10020

January 1, 2005

ROTHSCHILD ASSET MANAGEMENT INC.

2005

Officers**RESIDENCE ADDRESS**

Paul H. Jenssen	Chief Financial Officer	23 Scaringtown Road Scaringtown, NY 11507
T. Radey Johnson	Chief Executive Officer	627 Third Street Brooklyn, NY 11215
Mary Jane Cullinan	Managing Director	50 Monastery Road Brighton, MA 02135
Jeffrey J. Harding	Managing Director	265 South Flora Way Golden, CO 80401
Chris R. Kaufman	Managing Director	135 Eastern Parkway Brooklyn, NY 11238
Judith R. MacDonald	Managing Director Chief Compliance Officer & Assistant Secretary	245 West 104th Street New York, NY 10025
Donald J. McLoughlin	Managing Director	11 Tri-Brook Drive South Salem, NY 10590
Mark K. Tavel	Managing Director	110 Riverside Drive New York, NY 10024
John C. Thomas	Managing Director	2818 Horseshoe Trail Chester Springs, PA 19425
Christopher M. Travers	Managing Director	15 Douglas Road Morristown, NJ 07960
Steven D. Wasserman	Managing Director	8 Cooper Hawk Drive Manalapan, NJ 07726

ROTHSCHILD ASSET MANAGEMENT INC.

2005

Officers (Cont'd)

		<u>RESIDENCE ADDRESS</u>
Joseph E. Bellantoni	Senior Vice President	12 Birch Brook Drive Valhalla, NY 10595
Michael P. Burke	Senior Vice President	94 Delaware Road Easton, CT 06612
Tina Jones	Senior Vice President	43 West 75 th Street New York, NY 10023
R. Daniel Oshinski	Senior Vice President	50 Brookmere Drive Fairfield, CT 06430
Gregory O. Williams	Senior Vice President/ Secretary/Treasurer	24 Crossridge Circle Marlboro, NJ 07746
Anthea Allen-Mikstay	Vice President	84 McGuire Avenue Peekskill, NY 10566
William O. Bertolino	Vice President	24 First Street P.O. Box 444 Purdys, NY 10578
Augusto T. Blanco	Vice President	21 Sherwood Drive Poughkeepsie, NY 12603
Roger J. Bos	Vice President	166 Sycamore Road Jersey City, NY 07305
Anna Majewska-Nizioł	Vice President	22 Evergreen Drive Clifton, NJ 07014
Jelena M. Milinkovic	Vice President	300 East 71 st Street New York, NY 10021
Charles C. Moran	Vice President	Two Fifth Avenue New York, NY 10011

ROTHSCHILD ASSET MANAGEMENT INC.

2005

Officers (Cont'd)

		<u>RESIDENCE ADDRESS</u>
Matthew P. Narlinger	Vice President	2100 Linwood Avenue Fort Lee, NJ 07024
Euclyn N. Ogill	Vice President	467 Liberty Road Englewood, NJ 07631
Vincent Simone	Vice President	6 Wyman Court Coram, NY 11727
Evelyn Cabrera	Assistant Vice President	415 79 th Street North Bergen, NJ 07047

Directors

	<u>RESIDENCE ADDRESS</u>
T. Radey Johnson	627 Third Street Brooklyn, NY 11215
Gerald Rosenfeld	110 Riverside Drive New York, NY 10024
Mark K. Tavel	110 Riverside Drive New York, NY 10024

March 15, 2005

State of Rhode Island
Corporations Division
100 North Main Street
Providence, Rhode Island 02903-1335



Re: Rothschild Asset Management Inc.

Dear Madam/Sir:

Attached please find the Profit Corporation Annual Report for the Year 2005 for Rothschild Asset Management Inc. ("RAM"), along with the \$50.00 filing fee.

RAM's stock information has changed. Per the instructions for Section 10, a Certified Copy of the Restated Certificate of Incorporation of Rothschild Asset Management Inc., filed on August 18, 2004, which states the elimination of RAM's Preferred Shares, is attached, along with a \$50.00 evidence of amendment filing fee.

Should you have any questions or need additional information, please telephone me at 212 403-3612. Thank you.

Sincerely,

Judith R. MacDonald

JRM:caf
Enclosures

218834/7

Rothschild Asset Management Inc.
1251 Avenue of the Americas
New York NY 10020
www.rothschild.com

Judith R. MacDonald
Managing Director
Telephone 212 403-3612
Facsimile 212 403-3616
Email judith.macdonald@us.rothschild.com



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
Office of the Secretary of State
Matthew A. Brown, Secretary of State

Corporations Division
100 North Main Street
Providence, RI 02903-1335
401.222.3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2004

Filing Period: January 1 - March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED OR PRINTED IN BLACK)

1. Corporate ID No. 23469		2. Name of Corporation ROTHSCHILD ASSET MANAGEMENT INC.			
3. Street Address Principal Business Office 1251 Avenue of the Americas		City New York	State New York	Zip 10020	
4. Business Phone No. 212 403-3500		5. State of Incorporation NEW YORK		6. SIC Code 6130	
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8. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) ☒ FILL IN SPACES BEFORE USING ATTACHMENTS					
President Name T. Radey Johnson			Vice President Name Anthea Allen-Mikstay		
Street Address 627 Third Street			Street Address 84 McGuire Avenue		
City Brooklyn	State NY	Zip 11215	City Peekskill	State NY	Zip 10566
Secretary Name Gregory O. Williams			Treasurer Name Gregory O. Williams		
Street Address 24 Crossridge Circle			Street Address 24 Crossridge Circle		
City Marlboro	State NJ	Zip 07746	City Marlboro	State NJ	Zip 07746
9. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) ☐ FILL IN SPACES BEFORE USING ATTACHMENTS					
Director Name T. Radey Johnson			Director Name Mark K. Tavel		
Street Address 627 Third Street			Street Address 110 Riverside Drive		
City Brooklyn	State NY	Zip 11215	City New York	State NY	Zip 10024
Director Name Gerald Rosenfeld			Director Name		
Street Address 110 Riverside Drive			Street Address		
City New York	State NY	Zip 10024	City	State	Zip
10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT) ☐ AUTHORIZED SHARES			11. SHARES ISSUED ("X" BOX FOR ATTACHMENT) ☐ ISSUED SHARES		
Number of Shares			Number of Shares		
Class/Series			Class/Series		
Par Value			Par Value		
1,200 1,000 Common \$.01			200 Common \$.01		
200 Preferred w/o par value			200 Preferred w/o par value		

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



* 2 3 4 6 9 *

File Date	2/26/04
Check No.	010151
By	VS.
FOR SECRETARY OF STATE USE ONLY	

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer Gregory O. Williams Date 02/24/2004
Gregory O. Williams
Print or Type Name of Officer
Sr Vice Pres., Sec & Treasurer
Title of Officer

BUSINESS ADDRESS

1251 Avenue of the Americas
New York, New York 10020

January 28, 2004

ROTHSCHILD ASSET MANAGEMENT INC.

2004

Officers**RESIDENCE ADDRESS**

Paul H. Janssen	Chief Financial Officer	23 Scaringtown Road Scaringtown, NY 11507
T. Radey Johnson	Chief Executive Officer	627 Third Street Brooklyn, NY 11215
Mary Jane Cullinan	Managing Director	1520 Spruce Street Philadelphia, PA 19102
Jeffrey J. Harding	Managing Director	265 South Flora Way Golden, CO 80401
Frederick C. MacClure	Managing Director	500 Bedford Street Stamford, CT 06901
Donald J. McLoughlin	Managing Director	11 Tri-Brook Drive South Salem, NY 10590
Mark K. Tavel	Managing Director	110 Riverside Drive New York, NY 10024
John C. Thomas	Managing Director	2818 Horseshoe Trail Chester Springs, PA 19425
Steven D. Wasserman	Managing Director	8 Cooper Hawk Drive Manalapan, NJ 07726
Joseph E. Bellantoni	Senior Vice President	12 Birch Brook Drive Valhalla, NY 10595
Tina Jones	Senior Vice President	43 West 75 th Street New York, NY 10023
R. Daniel Oshinski	Senior Vice President	50 Brookmere Drive Fairfield, CT 06430

ROTHSCHILD ASSET MANAGEMENT INC.

2014

Officers (Cont'd)

		<u>RESIDENCE ADDRESS</u>
Christopher M. Travers	Senior Vice President	5 Douglas Road New Vernon, NJ 07972
Gregory O. Williams	Senior Vice President/ Secretary/Treasurer	24 Crossridge Circle Marlboro, NJ 07746
Anthca Allen-Mikstay	Vice President	84 McGuire Avenue Peekskill, NY 10566
William O. Bertolino	Vice President	24 First Street P.O. Box 444 Purdys, NY 10578
Augusto T. Blanco	Vice President	1428 Webster Avenue Bronx, NY 10456
Michael P. Burke	Vice President	94 Delaware Road Easton, CT 06612
Anna Majewska-Nizioł	Vice President	22 Evergreen Drive Clifton, NJ 07014
Jelena M. Milinkovic	Vice President	300 East 71 st Street New York, NY 10021
Charles C. Moran	Vice President	221 East 21 st Street New York, NY 10010
Vincent Simone	Vice President	6 Wyman Court Coram, NY 11727
Evelyn Cabrera	Assistant Vice President	415 79 th Street North Bergen, NJ 07047
Euclyn N. Ogill	Assistant Vice President	384 Orchard Street Englewood, NJ 07631
Judith R. MacDonald	Assistant Secretary	245 West 104th Street New York, NY 10025

ROTHSCHILD ASSET MANAGEMENT INC.

2004

Directors

RESIDENCE ADDRESS

T. Radey Johnson

627 Third Street
Brooklyn, NY 11215

Gerald Rosenfeld

110 Riverside Drive
New York, NY 10024

Mark K. Tavel

110 Riverside Drive
New York, NY 10024



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

Edward S. Inman, III, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-222-3040



PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2003
Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED OR PRINTED IN BLACK)

1. Corporate ID No.

23469

2. Name of Corporation

ROTHSCHILD ASSET MANAGEMENT INC.

3. Street Address Principal Business Office

1251 Avenue of the Americas

City

New York

State

New York

Zip

10020

4. Business Phone No.

212 403-3500

5. State of Incorporation

NEW YORK

6. SIC Code

6130

7. Brief Description of the Character of Business Conducted in Rhode Island

Investment management and related activities

8. NAMES AND ADDRESSES OF THE OFFICERS ("X" BOX FOR ATTACHMENT) X FILL IN SPACES BEFORE USING ATTACHMENTS

~~President Name~~ CEO

T. Radey Johnson

Vice President Name

Augusto T. Blanco

Street Address

627 Third Street

Street Address

1428 Webster Avenue

City

Brooklyn

State

NY

Zip

11215

City

Bronx

State

NY

Zip

10456

Secretary Name

Gregory O. Williams

Treasurer Name

Gregory O. Williams

Street Address

24 Crossridge Circle

Street Address

24 Crossridge Circle

City

Marlboro

State

NJ

Zip

07746

City

Marlboro

State

NJ

Zip

07746

9. NAMES AND ADDRESSES OF THE DIRECTORS ("X" BOX FOR ATTACHMENT) X FILL IN SPACES BEFORE USING ATTACHMENTS

Director Name

T. Radey Johnson

Director Name

Gerald Rosenfeld

Street Address

627 Third Street

Street Address

110 Riverside Drive

City

Brooklyn

State

NY

Zip

11215

City

New York

State

NY

Zip

10024

Director Name

Mark K. Tavel

Director Name

Street Address

110 Riverside Drive

Street Address

City

New York

State

NY

Zip

10024

City

State

Zip

10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT)

AUTHORIZED SHARES

Number of Shares

Class/Series

Par Value

1,200 of which

1,000

Common

\$.01

200

200

Preferred w/o par value

200

11. SHARES ISSUED ("X" BOX FOR ATTACHMENT)

ISSUED SHARES

Number of Shares

Class/Series

Par Value

Common

\$.01

Preferred w/o par value

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



* 2 3 4 6 9 *

File Date:

3-10-03

Sheet No.

4659

By:

2

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer

Date

Gregory O. Williams

Print or Type Name of Officer

Sr Vice Pres., Sec & Treas

Title of Officer

Form 630 12/02

BUSINESS ADDRESS

1251 Avenue of the Americas
New York, New York 10020

January 2, 2003

ROTHSCHILD ASSET MANAGEMENT INC.

2003

Officers**RESIDENCE ADDRESS**

Paul H. Janssen	Chief Financial Officer	23 Searingtown Road Searingtown, NY 11507
T. Radey Johnson	Chief Executive Officer	627 Third Street Brooklyn, NY 11215
Mark K. Tavel	Senior Managing Director	110 Riverside Drive New York, NY 10024
Jeffrey J. Harding	Managing Director	265 South Flora Way Golden, CO 80401
Frederick C. MacClure	Managing Director	410 West 53rd Street New York, NY 10019
Donald J. McLoughlin	Managing Director	11 Tri-Brook Drive South Salem, NY 10590
Steven D. Wasserman	Managing Director	8 Cooper Hawk Drive Manalapan, NJ 07726
Joseph E. Bellantoni	Senior Vice President	12 Birch Brook Drive Valhalla, NY 10595
Tina Jones	Senior Vice President	43 West 75 th Street New York, NY 10023
R. Daniel Oshinskie	Senior Vice President	50 Brookmere Drive Fairfield, CT 06430
Christopher M. Travers	Senior Vice President	5 Douglas Road New Vernon, NJ 07972

ROTHSCHILD ASSET MANAGEMENT INC.

2003

Officers (Cont'd)

		<u>RESIDENCE ADDRESS</u>
Gregory O. Williams	Senior Vice President/ Secretary/Treasurer	24 Crossridge Circle Marlboro, NJ 07746
Augusto T. Blanco	Vice President	1428 Webster Avenue Bronx, NY 10456
Michael P. Burke	Vice President	94 Delaware Road Easton, CT 06612
Anna Majewska-Nizioł	Vice President	22 Evergreen Drive Clifton, NJ 07014
Jelena M. Milinkovic	Vice President	300 East 71 st Street New York, NY 10021
Charles C. Moran	Vice President	221 East 21 st Street New York, NY 10010
Vincent Simone	Vice President	6 Wyman Court Coram, NY 11727
Anthea Allen-Mikstay	Assistant Vice President	84 McGuire Avenue Peekskill, NY 10566
Evelyn Cabrera	Assistant Vice President	415 79 th Street North Bergen, NJ 07047
Euclyn N. Ogill	Assistant Vice President	384 Orchard Street Englewood, NJ 07631
Judith R. MacDonald	Assistant Secretary	245 West 104th Street New York, NY 10025

ROTHSCHILD ASSET MANAGEMENT INC.

2003

Directors

RESIDENCE ADDRESS

T. Radey Johnson

627 Third Street
Brooklyn, NY 11215

Gerald Rosenfeld

110 Riverside Drive
New York, NY 10024

Mark K. Tavel

110 Riverside Drive
New York, NY 10024



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

Edward S. Inman, III, Secretary of State
Corporation Division
100 North Main Street, Providence, RI 02903-1335
401-222-3040



PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2002

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLOCK)

1. Corporate ID No.

2. Name of Corporation

23469

ROTHSCHILD ASSET MANAGEMENT INC.

3. Street Address Principal Business Office

1251 Avenue of the Americas

City

New York

State

New York

Zip

10020

4. Business Phone No.

212 403-3500

5. State of Incorporation

NEW YORK

6. SIC Code

6130

7. Brief Description of the Character of Business Conducted in Rhode Island

Investment management and related activities

8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT) XX FILL IN SPACES BEFORE USING ATTACHMENTS

President Name CEO

T. Radey Johnson

Street Address

627 Third Street

City

Brooklyn

State

NY

Zip

11215

Vice President Name

Michael P. Burke

Street Address

94 Delaware Road

City

Easton

State

CT

Zip

06612

Secretary Name

Gregory O. Williams

Street Address

24 Crossridge Circle

City

Marlboro

State

NJ

Zip

07746

Treasurer Name

Gregory O. Williams

Street Address

24 Crossridge Circle

City

Marlboro

State

NJ

Zip

07746

9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT) XX FILL IN SPACES BEFORE USING ATTACHMENTS

Director Name

T. Radey Johnson

Street Address

627 Third Street

City

Brooklyn

State

NY

Zip

11215

Director Name

Gerald Rosenfeld

Street Address

110 Riverside Drive

City

New York

State

NY

Zip

10024

Director Name

Paul V.S. Manduca

Street Address c/o Rothschild Asset Mgt Ltd.

1 King William Street

City

London

State

UK

Zip

EC4N 7AR

Director Name

Mark K. Tavel

Street Address

110 Riverside Drive

City

New York

State

NY

Zip

10024

10. SHARES AUTHORIZED (*X* BOX FOR ATTACHMENT)

ATTACHED SHEETS

Number of Shares

Class/Series

Par Value

1,200 of which

1,000

Common

\$.01

200

Preferred

w/o par value

11. SHARES ISSUED (*X* BOX FOR ATTACHMENT)

ISSUED SHARES

Number of Shares

Class/Series

Par Value

200

Common

\$.01

200

Preferred

w/o par

value

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



* 2 3 4 6 9 *

File Date

2-15-02

Check No.

51815

By:

Gregory O. Williams

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer

Date

Gregory O. Williams

Print or Type Name of Officer

Sr Vice Pres., Secretary & Treasurer

Title of Officer

5

Form 630 12/01

BUSINESS ADDRESS

1251 Avenue of the Americas
New York, New York 10020

January 2, 2002

ROTHSCHILD ASSET MANAGEMENT INC.

2002

Officers

RESIDENCE ADDRESS

Paul H. Janssen	Chief Financial Officer	23 Searingtown Road Searingtown, NY 11507
T. Radey Johnson	Chief Executive Officer	627 Third Street Brooklyn, NY 11215
Mark K. Tavel	Senior Managing Director	110 Riverside Drive New York, NY 10024
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Donald J. McLoughlin	Managing Director	11 Tri-Brook Drive South Salem, NY 10590
Joseph E. Bellantoni	Senior Vice President	12 Birch Brook Drive Valhalla, NY 10595
R. Daniel Oshinski	Senior Vice President	33 East End Avenue New York, NY 10028
Steven D. Wasserman	Senior Vice President	8 Cooper Hawk Drive Manalapan, NJ 07726
Gregory O. Williams	Senior Vice President/ Secretary/Treasurer	24 Crossridge Circle Marlboro, NJ 07746
Michael P. Burke	Vice President	94 Delaware Road Easton, CT 06612
Tina Jones	Vice President	43 West 75 th Street New York, NY 10023

ROTHSCHILD ASSET MANAGEMENT INC.

2002

Officers (Cont'd)

		<u>RESIDENCE ADDRESS</u>
Vincent Simone	Vice President	6 Wyman Court Coram, NY 11727
Anthea Allen-Mikstay	Assistant Vice President	84 McGuire Avenue Peekskill, NY 10566
Augusto T. Blanco	Assistant Vice President	1428 Webster Avenue Bronx, NY 10456
Anna Majewska-Nizioł	Assistant Vice President	22 Evergreen Drive Clifton, NJ 07014
Jelena M. Milinkovic	Assistant Vice President	300 East 71 st Street New York, NY 10021
Euclyn N. Ogill	Assistant Vice President	384 Orchard Street Englewood, NJ 07631
Judith R. MacDonald	Assistant Secretary	245 West 104th Street New York, NY 10025

Directors

	<u>RESIDENCE ADDRESS</u>
T. Radey Johnson	627 Third Street Brooklyn, NY 11215
Paul V.S. Manduca	c/o Rothschild Asset Management Ltd. 1 King William Street London EC4N 7AR, UK
Gerald Rosenfeld	110 Riverside Drive New York, NY 10024
Mark K. Tavel	110 Riverside Drive New York, NY 10024



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-222-3040



PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2001

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. **23469** 2. Name of Corporation **ROTHSCHILD ASSET MANAGEMENT INC.**

3. Street Address Principal Business Office **1251 Avenue of the Americas** City **New York** State **New York** Zip **10020**
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Investment management and related activities.

8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT) ☒ **FILL IN SPACES BEFORE USING ATTACHMENTS**

Chairman/Executive Officer

T. Radey Johnson

Street Address
627 Third Street

City **Brooklyn** State **NY** Zip **11215**

Secretary Name
Gregory O. Williams

Street Address
24 Crossridge Circle

City **Marlboro** State **NJ** Zip **07726**

Vice President Name

Tina Jones

Street Address
60 West 66th Street

City **New York** State **NY** Zip **10023**

Treasurer Name
Gregory O. Williams

Street Address
24 Crossridge Circle

City **Marlboro** State **NJ** Zip **07726**

9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT) ☐ **FILL IN SPACES BEFORE USING ATTACHMENTS**

Director Name

T. Radey Johnson

Street Address
627 Third Street

City **Brooklyn** State **NY** Zip **11215**

Director Name
Paul V.S. Manduca

Street Address **c/o Rothschild Asset Mgt Ltd.**
1 King William Street

City **London** State **England** Zip **EC4N 7AR**

Director Name

Gerald Rosenfeld

Street Address
110 Riverside Drive

City **New York** State **NY** Zip **10024**

Director Name
Mark K. Tavel

Street Address
110 Riverside Drive

City **New York** State **NY** Zip **10024**

10. SHARES AUTHORIZED (*X* BOX FOR ATTACHMENT) ☐

AUTHORIZED SHARES

Number of Shares	Class/Series	Par Value
1,000	Common	\$.01
200	Preferred w/o par value	

11. SHARES ISSUED (*X* BOX FOR ATTACHMENT) ☐

ISSUED SHARES

Number of Shares	Class/Series	Par Value
200	Common	\$.01
200	Preferred w/o par value	

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



* 2 3 4 6 9 *

File Date 3-19-01

Check No. 45242

By Gregory O. Williams

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Gregory O. Williams 3/15/2001
Signature of Officer Date

Gregory O. Williams
Print or Type Name of Officer
Sr Vice Pres, Sec & Treasurer

Title of Officer

BUSINESS ADDRESS
1251 Avenue of the Americas
New York, New York 10020

CORP ID # 23469

January 2, 2001

ROTHSCHILD ASSET MANAGEMENT INC.

2001

Officers

		<u>RESIDENCE ADDRESS</u>
Paul H. Jenssen	Chief Financial Officer	23 Scaringtown Road Scaringtown, NY 11507
T. Radey Johnson	Chief Executive Officer	627 Third Street Brooklyn, NY 11215
Mark K. Tavel	Senior Managing Director ¹⁾	110 Riverside Drive New York, NY 10024
Frederick C. MacClure	Managing Director ¹⁾	410 West 53rd Street New York, NY 10019
Donald J. McLoughlin	Managing Director ¹⁾	11 Tri-Brook Drive South Salem, NY 10590
Joseph E. Bellantoni	Senior Vice President	12 Birch Brook Drive Valhalla, NY 10595
Jeffrey J. Harding	Senior Vice President	265 South Flora Way Golden, CO 80401
Steven D. Wasserman	Senior Vice President	8 Cooper Hawk Drive Manalapan, NJ 07726
Gregory O. Williams	Senior Vice President/ Secretary/Treasurer	24 Crossridge Circle Marlboro, NJ 07746
Tina Jones	Vice President	60 West 66th Street New York, NY 10023
Vincent Simeone	Vice President ¹⁾	6 Wyman Court Coram, NY 11727
Augusto T. Blanco	Assistant Vice President	1428 Webster Avenue Bronx, NY 10456

ROTHSCHILD ASSET MANAGEMENT INC.

2001

Officers (Cont'd)

		<u>RESIDENCE ADDRESS</u>
Evelyn N. Ogill	Assistant Vice President	384 Orchard Street Englewood, NJ 07631
Judith R. MacDonald	Assistant Secretary	245 West 104th Street New York, NY 10025

Directors

	<u>RESIDENCE ADDRESS</u>
T. Radey Johnson	627 Third Street Brooklyn, NY 11215
Paul V.S. Manduca	c/o Rothschild Asset Management Ltd 1 King William Street London EC4N 7AR, UK
Gerald Rosenfeld	110 Riverside Drive New York, NY 10024
Mark K. Tavel	110 Riverside Drive New York, NY 10024

1) Five Arrows Division



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

James R. Langevin, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-222-3040



PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2000

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No.

2. Name of Corporation

23469

ROTHSCHILD ASSET MANAGEMENT INC.

3. Street Address Principal Business Office

City

State

Zip

1251 Avenue of the Americas

New York

NY

10020

4. Business Phone No.

5. State of Incorporation

6. SIC Code

212 403-3500

NEW YORK

6130

7. Brief Description of the Character of Business Conducted in Rhode Island

Investment management and related activities.

8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT) X FILL IN SPACES BEFORE USING ATTACHMENTS

President Name Chief Executive Officer
Cheryl G. Gordon

Vice President Name

Jeffrey J. Harding

Street Address

200 East 65th Street

Street Address

265 South Flora Way

City

New York

State

NY

Zip

10021

City

Golden

State

CO

Zip

80401

Secretary Name

Gregory O. Williams

Treasurer Name

Gregory O. Williams

Street Address

24 Crossridge Circle

Street Address

24 Crossridge Circle

City

Marlboro

State

NJ

Zip

07746

City

Marlboro

State

NJ

Zip

07746

9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT) X FILL IN SPACES BEFORE USING ATTACHMENTS

Director Name

Henderson G. Tuten

Director Name

Cheryl G. Gordon

Street Address

3212 Coachmans Way

Street Address

200 East 65th Street

City

Durham

State

NC

Zip

27705

City

New York

State

NY

Zip

10021

Director Name

T. Radey Johnson

Director Name

Kenneth W. Ostrowski

Street Address

627 Third Street

Street Address

12330 Sperry Road

City

Brooklyn

State

NY

Zip

11215

City

Chesterland

State

OH

Zip

44026

10. SHARES AUTHORIZED (*X* BOX FOR ATTACHMENT)

AUTHORIZED SHARES

Number of Shares

Class/Series

Par Value

1,000

Common

\$.01

200

Preferred w/o par value

11. SHARES ISSUED (*X* BOX FOR ATTACHMENT)

ISSUED SHARES

Number of Shares

Class/Series

Par Value

200

Common

\$.01

200

Preferred

w/o par value

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



* 2 3 4 6 9 *

File Date

3/2/00

Check No.

32548

By

2.

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer

Gregory O. Williams

Print or Type Name of Officer

Sr. Vice Pres., Secretary & Treas

Title of Officer

2/29/2000
Date

CORP ID #: 23469

BUSINESS ADDRESS
1251 Avenue of the Americas
New York, New York 10020

January 1, 2000

ROTHSCHILD ASSET MANAGEMENT INC.

2000

Officers

RESIDENCE ADDRESS

Cheryl G. Gordon	Chief Executive Officer	200 East 65th Street New York, NY 10021
Paul H. Janssen	Chief Financial Officer	23 Scaringtown Road Scaringtown, NY 11507
Mark K. Tavel	Senior Managing Director & Chief Investment Officer ¹⁾	110 Riverside Drive New York, NY 10024
Donk Rozanski	Senior Managing Director ²⁾	19 Rutgers Court Westfield, NJ 07090
T. Radey Johnson	Senior Managing Director & Chief Equity Officer ¹⁾	627 Third Street Brooklyn, NY 11215
Kimberley F. Carlson	Managing Director	30 River Road New York, NY 10044
Frederick C. MacClure	Managing Director ¹⁾	410 West 53rd Street New York, NY 10019
Donald J. McLoughlin	Managing Director ¹⁾	11 Tri-Brook Drive South Salem, NY 10590
Kenneth W. Ostrowski	Managing Director	12330 Sperry Road Chesterland, OH 44026
Joseph E. Bellantoni	Senior Vice President ²⁾	12 Birch Brook Drive Valhalla, NY 10595
William A. Best	Senior Vice President	84 North Pocono Road Mount Lakes, NJ 07046
Sherri A. Croasdale	Senior Vice President	38 Fairway Place Cold Spring Harbor, NY 11724

ROTHSCHILD ASSET MANAGEMENT INC.

2000

Officers (Cont'd)

		<u>RESIDENCE ADDRESS</u>
Gregory O. Williams	Senior Vice President/ Secretary/Treasurer	24 Crossridge Circle Marlboro, NJ 07746
Jeffrey J. Harding	Vice President	265 South Flora Way Golden, CO 80401
Tina Jones	Vice President	60 West 66th Street New York, NY 10023
Gregory P. Lamb	Vice President	18615 Shaker Boulevard Shaker Heights, OH 44122
Vincent Simone	Vice President ¹⁾	6 Wyman Court Coram, NY 11727
Mei Tong	Vice President ²⁾	81-61 102nd Road Ozone Park, NY 11416
Augusto T. Blanco	Assistant Vice President	1428 Webster Avenue Bronx, NY 10456
Maura A. Condolff	Assistant Vice President	350 Collins Avenue Fleetwood, NY 10552
Rosemary Mulhall	Assistant Vice President ²⁾	61-69 79th Street Middle Village, NY 11379
Euclyn N. Ogill	Assistant Vice President	384 Orchard Street Englewood, NJ 07631
Judith R. MacDonald	Assistant Secretary	245 West 104th Street New York, NY 10025

1) Five Arrows Division

2) Unrecognized Opportunity Division

ROTHSCHILD ASSET MANAGEMENT INC.

2000

Directors

		<u>RESIDENCE ADDRESS</u>
Henderson G. Tuten	Non-Executive Chairman	3212 Coachmans Way Durham, NC 27705
Cheryl G. Gordon		200 East 65th Street New York, NY 10021
T. Radey Johnson		627 Third Street Brooklyn, NY 11215
Kenneth W. Ostrowski		12330 Sperry Road Chesterland, OH 44026
Dorik Rozanski		19 Rutgers Court Westfield, NJ 07090
Mark K. Tavel		110 Riverside Drive New York, NY 10024



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

James R. Langevin, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-222-3040



PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR **1999**
Filing Period: January 1-March 3 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. 2. Name of Corporation

3. **23489** Principal Business Office **ROTHSCHILD ASSET MANAGEMENT INC.** State **NY** Zip **10020**
1251 Avenue of the Americas New York
4. Business Phone No. 212 403-3500 5. State of Incorporation **NEW YORK** 6. SIC Code **8130**
7. Brief Description of the Character of Business Conducted in Rhode Island
Investment management and advisory services

8. NAMES AND ADDRESSES OF THE OFFICERS ("X" BOX FOR ATTACHMENT) ☒ WILL IN SPACES BEFORE USING ATTACHMENTS

President Name: Chief Executive Officer
Cheryl G. Gordon

Street Address
200 East 65th Street

City State Zip
New York NY 10021

Secretary Name
Gregory O. Williams

Street Address
24 Crossridge Circle

City State Zip
Marlboro NJ 07746

Vice President Name
Donald F. Curran

Street Address
45 Perkins Road

City State Zip
Greenwich CT 06831

Treasurer Name
Gregory O. Williams

Street Address
24 Crossridge Circle

City State Zip
Marlboro NJ 07746

9. NAMES AND ADDRESSES OF THE DIRECTORS ("X" BOX FOR ATTACHMENT) ☒ WILL IN SPACES BEFORE USING ATTACHMENTS

Director Name
Henderson G. Tuten

Street Address
3212 Coachmans Way

City State Zip
Durham NC 27705

Director Name
T. Radey Johnson

Street Address
627 Third Street

City State Zip
Brooklyn NY 11215

Director Name
Cheryl G. Gordon

Street Address
200 East 65th Street

City State Zip
New York NY 10021

Director Name
Kenneth W. Ostrowski

Street Address
12330 Sperry Road

City State Zip
Chesterland OH 44026

10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT)

AUTHORIZED SHARES

Number of Shares	Class/Series	Par Value
1,000	Common	\$.01
200	Preferred w/o par value	

11. SHARES ISSUED ("X" BOX FOR ATTACHMENT)

ISSUED SHARES

Number of Shares	Class/Series	Par Value
200	Common	\$.01
200	Preferred	w/o par value

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



File Date

Feb 11 2000

Check No

030527

By

JD. / cu

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer *Gregory O. Williams* Date 1/28/99

Print or Type Name of Officer
Gregory O. Williams

Title of Officer
Sr Vice Pres., Sec & Treasurer

BUSINESS ADDRESS
1251 Avenue of the Americas
New York, New York 10020

CORP ID #: 23469
January 14, 1999

ROTHSCHILD ASSET MANAGEMENT INC.

1999

Officers

		<u>RESIDENCE ADDRESS</u>
Cheryl G. Gordon	Chief Executive Officer	200 East 65th Street New York, NY 10021
Mark K. Tavel	Senior Managing Director & Chief Investment Officer ¹⁾	110 Riverside Drive New York, NY 10024
Dorik Rozanski	Senior Managing Director ²⁾	19 Rutgers Court Westfield, NJ 07090
T. Radey Johnson	Senior Managing Director & Chief Equity Officer ¹⁾	627 Third Street Brooklyn, NY 11215
Kenneth W. Ostrowski	Managing Director	12330 Sperry Road Chesterland, OH 44026
Joseph B. Schwartz	Managing Director ¹⁾	185 West End Avenue New York, NY 10023
Joseph E. Bellantoni	Senior Vice President ²⁾	12 Birch Brook Drive Valhalla, NY 10595
William Best	Senior Vice President	84 North Pocono Road Mount Lakes, NJ 07046
Frederick C. MacClure	Senior Vice President ¹⁾	410 West 53rd Street New York, NY 10019
Donald J. McLoughlin	Senior Vice President ¹⁾	11 Tri-Brook Drive South Salem, NY 10590
Nicole Vitullo	Senior Vice President	3302 Saw Mill Road Newtown Square, PA 19073
Gregory O. Williams	Senior Vice President/ Secretary/Treasurer	24 Crossridge Circle Marlboro, NJ 07746

ROTHSCHILD ASSET MANAGEMENT INC.

1999

Officers (Cont'd)

		<u>RESIDENCE ADDRESS</u>
Donald F. Curran	Vice President	45 Perkins Road Greenwich, CT 06831
Jeffrey J. Harding	Vice President	265 South Flora Way Golden, CO 80401
Gregory Lamb	Vice President	18615 Shaker Boulevard Shaker Heights, OH 44122
Vincent Simeone	Vice President ¹⁾	6 Wyman Court Coram, NY 11727
Mei Tong	Vice President ²⁾	81-61 102nd Road Ozone Park, NY 11416
Augusto T. Blanco	Assistant Vice President	1428 Webster Avenue Bronx, NY 10456
Maura A. Condolff	Assistant Vice President	350 Collins Avenue Fleetwood, NY 10552
Tina Jones	Assistant Vice President	60 West 66th Street New York, NY 10023
Rose Mulhall	Assistant Vice President ²⁾	61-69 79th Street Middle Village, NY 11379
Euclyn N. Ogill	Assistant Vice President	384 Orchard Street Englewood, NJ 07631
Judith R. MacDonald	Assistant Secretary	245 West 104th Street New York, NY 10025

1) Five Arrows Division

2) Unrecognized Opportunity Division



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

James R. Langevin, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-277-3040

1998



PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporation No. **23488** 2. Name of Corporation **ROTHSCHILD ASSET MANAGEMENT INC.**

3. Street Address Principal Business Office
1251 Avenue of the Americas
City New York State New York Zip 10020
4. Business Phone No. **NEW YORK**
(212) 40-3500

7. Brief Description of the Character of Business Conducted in Rhode Island

Investment management and advisory services.

8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT) X

President Name
Vacant
Vice President Name
Jeffrey J. Harding
Street Address
265 South Flora Way
City Golden State CO Zip 80401

Secretary Name
Gregory O. Williams
Street Address
24 Crossridge Circle
City Marlboro State NJ Zip 07746

9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT) X

Director Name
Henderson G. Tuten
Street Address
3212 Coachmans Way
City Durham State NC Zip 2705

Director Name
T. Radey Johnson
Street Address
627 Third Street
City Brooklyn State NY Zip 11215

10. SHARES AUTHORIZED (*X* BOX FOR ATTACHMENT)
AUTHORIZED SHARES
Number of Shares Class/Series Par Value
1,000 Common no par value

11. SHARES ISSUED (*X* BOX FOR ATTACHMENT)
ISSUED SHARES
Number of Shares Class/Series Par Value
400 Common no par value

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



File Date **2-5-98**
Check No. **23137**
By **ICP**
FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer **Gregory O. Williams** Date **2/3/98**
Print or Type Name of Officer
Sr Vice Pres., Secretary & Treas.
Title of Officer

BUSINESS ADDRESS

1251 Avenue of the Americas
New York, New York 10020

January 1, 1998

ROTHSCHILD ASSET MANAGEMENT INC.

1998

Officers**RESIDENCE ADDRESS**

Cheryl G. Gordon	Chief Executive Officer	200 East 65th Street New York, NY 10021
Mark K. Tavel	Senior Managing Director & Chief Investment Officer ¹⁾	110 Riverside Drive New York, NY 10024
Dorik Rozanski	Senior Managing Director ²⁾	19 Rutgers Court Westfield, NJ 07090
T. Radey Johnson	Senior Managing Director & Chief Equity Officer ¹⁾	627 Third Street Brooklyn, NY 11215
Kenneth W. Ostrowski	Managing Director	12330 Sperry Road Chesterland, OH 44026
Joseph B. Schwartz	Managing Director ¹⁾	185 West End Avenue New York, NY 10023
Joseph E. Bellantoni	Senior Vice President ²⁾	12 Birch Brook Drive Valhalla, NY 10595
William Best	Senior Vice President	84 North Pocono Road Mount Lakes, NJ 07046
Frederick C. MacClure	Senior Vice President ¹⁾	410 West 53rd Street New York, NY 10019
Donald J. McLoughlin	Senior Vice President ¹⁾	11 Tri-Brook Drive South Salem, NY 10590
Nicole Vitullo	Senior Vice President	3302 Saw Mill Road Newtown Square, PA 19073
Gregory O. Williams	Senior Vice President/ Secretary/Treasurer	24 Crossridge Circle Marlboro, NJ 07746

ROTHSCHILD ASSET MANAGEMENT INC.

1998

Officers (Cont'd)

		<u>RESIDENCE ADDRESS</u>
Jeffrey J. Harding	Vice President	265 South Flora Way Golden, CO 80401
Gregory Lamb	Vice President	18615 Shaker Boulevard Shaker Heights, OH 44122
Elizabeth A. Maloney	Vice President	45 Woodbine Avenue Larchmont, NY 10538
Vincent Simeone	Vice President ¹⁾	6 Wyman Court Coram, NY 11727
Mei Tong	Vice President ²⁾	81-61 102nd Road Ozone Park, NY 11416
Steven D. Wasserman	Vice President	32 Chesapeake Road Manalapan, NJ 07726
Augusto T. Blanco	Assistant Vice President	1428 Webster Avenue Bronx, NY 10456
Tina Jones	Assistant Vice President	60 West 66th Street New York, NY 10023
Rose Mulhall	Assistant Vice President ²⁾	61-69 79th Street Middle Village, NY 11379
Euclyn N. Ogill	Assistant Vice President	384 Orchard Street Englewood, NJ 07631
Judith R. MacDonald	Assistant Secretary	245 West 104th Street New York, NY 10025

1) Five Arrows Division

2) Unrecognized Opportunity Division

ROTHSCHILD ASSET MANAGEMENT INC.

1998

Directors

		<u>RESIDENCE ADDRESS</u>
Henderson G. Tuten	Non-Executive Chairman	3212 Coachmans Way Durham, NC 27705
Cheryl G. Gordon		200 East 65th Street New York, NY 10021
T. Radey Johnson		627 Third Street Brooklyn, NY 11215
Kenneth W. Ostrowski		12330 Sperry Road Chesterland, OH 44026
Dorik Rozanski		19 Rutgers Court Westfield, NJ 07090
Mark K. Tavel		110 Riverside Drive New York, NY 10024



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

James R. Langevin, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401-277-3040



PROFIT CORPORATION ANNUAL REPORT 1997

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FIRM MUST BE TYPED IN BLACK)

1. Corporate ID No.

2. Name of Corporation

23469

ROTHSCHILD ASSET MANAGEMENT INC.

3. Street Address Principal Business Office

1251 Avenue of the Americas

City

New York

State

New York

Zip

10020

4. Business Phone No.

(212) 403-3500

5. State of Incorporation

NEW YORK

6. SIC Code

6130

7. Brief Description of the Character of Business Conducted in Rhode Island

Investment management and advisory services.

8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT)

President Name

Vacant

Street Address

City

State

Zip

Vice President Name

Elizabeth A. Maloney

Street Address

45 Woodbine Avenue

City

Larchmont

State

NY

Zip

10538

Secretary Name

Gregory O. Williams

Street Address

24 Crossridge Circle

City

Marlboro

State

NJ

Zip

07746

Treasurer Name

Gregory O. Williams

Street Address

24 Crossridge Circle

City

Marlboro

State

NJ

Zip

07746

9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT)

Director Name

Cheryl G. Gordon

Street Address

200 East 65th Street

City

New York

State

NY

Zip

10021

Director Name

Kenneth W. Ostrowski

Street Address

12330 Sperry Road

City

Chesterland

State

OH

Zip

44026

Director Name

T. Radey Johnson

Street Address

627 Third Street

City

Brooklyn

State

NY

Zip

11215

Director Name

Mark K. Tavel

Street Address

110 Riverside Drive

City

New York

State

NY

Zip

10024

10. SHARES AUTHORIZED AND ISSUED (*X* BOX FOR ATTACHMENT)

AUTHORIZED SHARES

Number of Shares

1,000

Class/Series

Common

Par Value

no par value

ISSUED SHARES

Number of Shares

400

Class/Series

Common

Par Value

no par value

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



File Date

2-13-97

Check No.

16494

By

GP/sec

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that the statements contained herein are true and correct.

Signature of Officer

Gregory O. Williams

Print or Type Name of Officer

Sr Vice Pres., Secretary & Treasurer

Title of Officer

BUSINESS ADDRESS

1251 Avenue of the Americas
New York, New York 10020

January 1, 1997

ROTHSCHILD ASSET MANAGEMENT INC.

1997

Officers**RESIDENCE ADDRESS**

Mark K. Tavel	Senior Managing Director & Chief Investment Officer ¹⁾	110 Riverside Drive New York, NY 10024
Dorik Rozanski	Senior Managing Director ²⁾	19 Rutgers Court Westfield, NJ 07090
Morris Ajzenman	Managing Director ²⁾	22 Seward Drive Woodbury, NY 11797
T. Radey Johnson	Senior Managing Director & Chief Equity Officer ¹⁾	627 Third Street Brooklyn, NY 11215
Kenneth W. Ostrowski	Managing Director	12330 Sperry Road Chesterland, OH 44026
Joseph B. Schwartz	Managing Director ¹⁾	185 West End Avenue New York, NY 10023
Frederick C. MacClure	Senior Vice President ¹⁾	410 West 53rd Street New York, NY 10019
Donald J. McLoughlin	Senior Vice President ¹⁾	11 Tri-Brook Drive South Salem, NY 10590
Nicole Vitullo	Senior Vice President	3302 Saw Mill Road Newtown Square, PA 19073
Gregory O. Williams	Senior Vice President/ Secretary/Treasurer	24 Crossridge Circle Marlboro, NJ 07746
Jeffrey J. Harding	Vice President	265 South Flora Way Golden, CO 80401
Gregory Lamb	Vice President	18615 Shaker Boulevard Shaker Heights, OH 44122

ROTHSCHILD ASSET MANAGEMENT INC.

1997

Officers (Cont'd)

		<u>RESIDENCE ADDRESS</u>
Elizabeth A. Maloney	Vice President	45 Woodbine Avenue Larchmont, NY 10538
Vincent Simeone	Vice President ¹⁾	6 Wyman Court Coram, NY 11727
Mei Tong	Vice President ²⁾	81-61 102nd Road Ozone Park, NY 11416
Steven D. Wasserman	Vice President	32 Chesapeake Road Manalapan, NJ 07726
Augusto T. Blanco	Assistant Vice President	1428 Webster Avenue Bronx, NY 10456
Rose Mulhall	Assistant Vice President ²⁾	61-69 79th Street Middle Village, NY 11379
Euclyn N. Ogill	Assistant Vice President	384 Orchard Street Englewood, NJ 07631
Judith R. MacDonald	Assistant Secretary New York, NY 10025	245 West 104th Street

1) Five Arrows Division

2) Unrecognized Opportunity Division

Directors

		<u>RESIDENCE ADDRESS</u>
Henderson G. Tuten	Non-Executive Chairman	3212 Coachmans Way Durham, NC 27705
Morris Ajzenman		22 Seward Drive Woodbury, NY 11797

ROTHSCHILD ASSET MANAGEMENT INC.

1997

Directors (Cont'd)

	<u>RESIDENCE ADDRESS</u>
Cheryl G. Gordon	200 East 65th Street New York, NY 10021
T. Radley Johnson	627 Third Street Brooklyn, NY 11215
Kenneth W. Ostrowski	12330 Sperry Road Chesterland, OH 44026
Dorik Rozanski	19 Rutgers Court Westfield, NJ 07090
Mark K. Tavel	110 Riverside Drive New York, NY 10024

PROFIT CORPORATION ANNUAL REPORT

1996



State of Rhode Island and Providence Plantations
James M. Langevin, Secretary of State
Corporations Division
100 North Main Street
Providence, Rhode Island 02903-1335 • (401) 277-3040

Filing Period: January 1-March 1
Filing Fee: \$50.00

PLEASE TYPE OR PRINT IN BLACK INK.

1. CORPORATE ID NO. 23469		2. NAME OF CORPORATION ROTHSCHILD ASSET MANAGEMENT INC.			
3. STREET ADDRESS PRINCIPAL BUSINESS OFFICE 1251 Avenue of the Americas			CITY New York	STATE New York	ZIP CODE 10020
4. BUSINESS PHONE NO. (212) 403-3500		5. STATE OF INCORPORATION NEW YORK			6. SIC CODE 6130
7. BRIEF DESCRIPTION OF THE CHARACTER OF BUSINESS CONDUCTED IN RHODE ISLAND Investment management and advisory services.					
8. NAMES AND ADDRESSES OF THE OFFICERS					
PRESIDENT NAME PLEASE SEE ATTACHED LIST.			VICE PRESIDENT NAME		
STREET ADDRESS			STREET ADDRESS		
CITY	STATE	ZIP CODE	CITY	STATE	ZIP CODE
SECRETARY NAME			TREASURER NAME		
STREET ADDRESS			STREET ADDRESS		
CITY	STATE	ZIP CODE	CITY	STATE	ZIP CODE
9. NAMES AND ADDRESSES OF THE DIRECTORS					
DIRECTOR NAME PLEASE SEE ATTACHED LIST.			DIRECTOR NAME		
STREET ADDRESS			STREET ADDRESS		
CITY	STATE	ZIP CODE	CITY	STATE	ZIP CODE
DIRECTOR NAME			DIRECTOR NAME		
STREET ADDRESS			STREET ADDRESS		
CITY	STATE	ZIP CODE	CITY	STATE	ZIP CODE
10. SHARES AUTHORIZED AND ISSUED					
AUTHORIZED SHARES			ISSUED SHARES		
NUMBER OF SHARES	CLASS / SERIES	PAR VALUE	NUMBER OF SHARES	CLASS / SERIES	PAR VALUE
1,000	Common	no par value	400	Common	no par value

This report must be **SIGNED IN INK** by either the
President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer

Gregory Williams

Print or Type Name of Officer
Senior Vice President,
Secretary, Treasurer

Title of Officer

2/26/96

Date

File Date:

Check No:

By:

For Secretary of State Use Only

ATTACH BOTTOM BEFORE RETURNING

FORM 31.1

BUSINESS ADDRESS

1251 Avenue of the Americas
New York, New York 10020

February 14, 1996

ROTHSCHILD ASSET MANAGEMENT INC.

1996

Officers

		<u>RESIDENCE ADDRESS</u>
Mark K. Tavel	President, Chief Investment Officer	110 Riverside Drive New York, NY 10024
Dorik Rozanski	Senior Managing Director	19 Rutgers Court Westfield, NJ 07090
Morris Ajzenman	Managing Director	22 Seward Drive Woodbury, NY 11797
T. Radey Johnson	Managing Director	627 Third Street Brooklyn, NY 11215
George M. Richvalsky	Managing Director	49 Hilltop Road Short Hills, NJ 07078
Joseph B. Schwartz	Managing Director	185 West End Avenue New York, NY 10023
Donald J. McLoughlin	Senior Vice President	11 Tri-Brook Drive South Salem, NY 10590
Gregory O. Williams	Senior Vice President/ Secretary/Treasurer	24 Crossridge Circle Marlboro, NJ 07746
Jeffrey J. Harding	Vice President	265 South Flora Way Golden, CO 80401
Frederick C. MacClure	Vice President	410 West 53rd Street New York, NY 10019
Vincent Simeone	Vice President	6 Wyman Court Coram, NY 11727
Mei Tong	Vice President	81-61 102nd Road Ozone Park, NY 11416

ROTHSCHILD ASSET MANAGEMENT INC.

1996

Officers (Cont'd)

		<u>RESIDENCE ADDRESS</u>
Nicole Vitullo	Vice President	3302 Saw Mill Road Newtown Square, PA 19073
Steven D. Wasserman	Vice President	32 Chesapeake Road Manalapan, NJ 07726
Elizabeth A. Maloney	Assistant Vice President	45 Woodbine Avenue Larchmont, NY 10538
Rose Mulhall	Assistant Vice President	61-69 79th Street Middle Village, NY 11379

Directors

Morris Ajzenman	22 Seward Drive Woodbury, NY 11797
Cheryl G. Gordon	200 East 65th Street New York, NY 10021
T. Radey Johnson	627 Third Street Brooklyn, NY 11215
Dorik Rozanski	19 Rutgers Court Westfield, NJ 07090
Mark K. Tavel	110 Riverside Drive New York, NY 10024

State of Rhode Island and Providence Plantations



Office of The Secretary of State

100 North Main Street

Providence, Rhode Island 02903-1335

401-277-3040

ANNUAL REPORT

Please Type or Print

File Annually - Jan 1 - March 1

Filing Fee \$50.00

Make Checks Payable to: Secretary of State

ALL ENTRIES MUST BE COMPLETED IN FULL OR THE FORM WILL BE RETURNED.

0023489

1995

Corporate ID:

Annual Report for the year:

ROTHSCHILD ASSET MANAGEMENT INC.

Name of Corporation:

Business entity organized under the laws of the State of: **New York**

For foreign entity, address and telephone number of principal office:

1251 Avenue of the Americas

New York, NY 10020

Business Entity is (check one):

☒ Business Corporation (See RIGL Chapter 7-1.1)

☐ Professional Service Corporation (See RIGL Chapter 7-5.1)

Phone: (212) 403-3500

Address and telephone of the principal office of business entity in Rhode

Island (Provide street address - Not P.O. Box):

None

Brief statement of the character of business conducted in Rhode Island
Investment management and advisory
services.

Phone: ()

THE NAMES OF THE OFFICERS ARE:

OFFICER	STREET ADDRESS	CITY/STATE	ZIP CODE
PRESIDENT	Please see attached list.		
VICE PRESIDENT	STREET ADDRESS	CITY/STATE	ZIP CODE
SECRETARY	STREET ADDRESS	CITY/STATE	ZIP CODE
TREASURER	STREET ADDRESS	CITY/STATE	ZIP CODE

THE NAMES OF THE DIRECTORS ARE:

NAME	STREET ADDRESS	CITY/STATE	ZIP CODE
Please see attached list.			
NAME	STREET ADDRESS	CITY/STATE	ZIP CODE
NAME	STREET ADDRESS	CITY/STATE	ZIP CODE

NUMBER OF SHARES AUTHORIZED (Rider may be attached)

Number of Shares Class / Series

1,000 Common/--

NUMBER OF SHARES ISSUED AND OUTSTANDING (Rider may be attached)

Number of Shares Class / Series

400 Common/--

Date January 25, 1995

By:

Gregory D. Williams

PRINTED TYPE NAME OF OFFICER SIGNING

Sr. Vice President, Secretary & Treasurer

Form 31 1995

DESIGNATED REGISTERED AGENT FOR SERVICE OF PROCESS:

PLEASE NOTE: If the registered office and/or registered agent indicated below is incorrect, Form 9 must be filed

US CORPORATION COMPANY
170 WESTMINSTER STREET
PROVIDENCE RI 02903

FILED

JAN 27 1995

4449

BUSINESS ADDRESS

1251 Avenue of the Americas
New York, New York 10020

ROTHSCHILD ASSET MANAGEMENT INC.**1995****Officers****RESIDENCE ADDRESS**

Mark K. Tavel	President, Chief Investment Officer	110 Riverside Drive New York, NY 10024
T. Radey Johnson	Managing Director	627 Third Street Brooklyn, NY 11215
George M. Richvalsky	Managing Director	49 Hilltop Road Short Hills, NJ 07078
Joseph B. Schwartz	Managing Director	185 West End Avenue New York, NY 10023
Donald J. McLoughlin	Senior Vice President	11 Tri-Brook Drive South Salem, NY 10590
Gregory O. Williams	Senior Vice President/ Secretary/Treasurer	24 Crossridge Circle Marlboro, NJ 07746
Jeffrey J. Harding	Vice President	265 South Flora Way Golden, CO 80401
Frederick C. MacClure	Vice President	410 West 53rd Street New York, NY 10019
Vincent Simeone	Vice President	6 Wyman Court Coram, NY 11727

Directors

Mark K. Tavel	110 Riverside Drive New York, NY 10024
Joseph B. Schwartz	185 West End Avenue New York, NY 10023

January 16, 1995

State of Rhode Island and Providence Plantations
 Office of The Secretary of State
100 North Main Street
Providence, Rhode Island 02903-1335
401-277-3040

ANNUAL REPORT

Please Type or Print
File Annually - Jan. 1 - March 1
Filing Fee \$50.00

Make Checks Payable to: Secretary of State

ALL ENTRIES MUST BE COMPLETED IN FULL OR THE FORM WILL BE RETURNED.

Corporate ID. **0066300** Annual Report for the year **1995**

Name of Corporation **S & M APPLIANCE SERVICE CORPORATION**

Business entity organized under the laws of the State of **Rhode Island** Business Entity is (check one):

For foreign entity, address and telephone number of principal office:

☒ Business Corporation (See RIGL Chapter 7-1.1)

☐ Professional Service Corporation (See RIGL Chapter 7-5.1)

Not Applicable

Phone:

Address and telephone of the principal office of business entity in Rhode Island (Provide street address - Not P.O. Box):

**11 Commerce Street
Smithfield, RI 02828**

Phone: **(401) 949-3390**

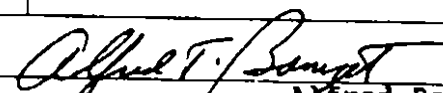
Brief statement of the character of business conducted in Rhode Island:

**Distribution, installation and
service of gas heaters**

THE NAMES OF THE OFFICERS ARE:			
OFFICER	STREET ADDRESS	CITY/STATE	ZIP CODE
PRESIDENT Alfred Bourget	11 Commerce Street,	Smithfield, RI	02828
VICE PRESIDENT None			
SECRETARY Alfred Bourget	As above		
TREASURER Alfred Bourget	As above		
THE NAMES OF THE DIRECTORS ARE:			
NAME	STREET ADDRESS	CITY/STATE	ZIP CODE

NUMBER OF SHARES AUTHORIZED (Rider may be attached)		NUMBER OF SHARES ISSUED AND OUTSTANDING (Rider may be attached)	
Number of Shares	Class / Series	Number of Shares	Class / Series
100	Common	100	Common

Date **1/23** 19**95**

By: 
Alfred Bourget
PRINT OR TYPE NAME OF OFFICER SIGNING
President
TITLE OF OFFICER SIGNING

Form 31 1/95

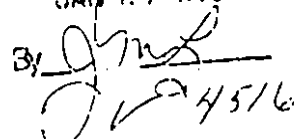
DESIGNATED REGISTERED AGENT FOR SERVICE OF PROCESS:

PLEASE NOTE: If the registered office and/or registered agent indicated below is incorrect, Form 9 must be filed.

**SAMUEL A. OLEVSON
123 DYER STREET
PROVIDENCE, RI 02903**

FILED

JAN 27 1995


4516

Filing Fee \$50.00
Payable to
Secretary of State

PLEASE TYPE or PRINT
State of Rhode Island and Providence Plantations
Office of The Secretary of State
100 North Main Street
Providence, Rhode Island 02903-1335
401-277-3040

File Annually
1-1-81 to Nov. 1
CORP. Tax to March 1

Corporate ID 0035459

Annual Report for the year 1994

Name of Business Entity ROTHSCHILD ASSET MANAGEMENT INC

Business entity organized under the laws of the State of New York

Federal Taxpayer Identification Number [REDACTED]

For foreign entity, address and telephone number of principal office
One Rockefeller Plaza

New York, New York 10020

Phone 212 757-6000

Address and telephone of the principal office of business entity in Rhode Island (Provide street address - Not P.O. Box)

None

Phone [REDACTED]

Business Entity is (check one)

- ☒ [x] Business Corporation (See RIGL Chapter 7-1-1)
☐ [] Professional Service Corporation (See RIGL Chapter 7-1-1)
☐ [] Limited Liability Company (See RIGL 7-1-6)

Name, title and mailing address of contact person to whom correspondence may be directed

Mr. Gregory O. Williams

Rothschild Asset Management Inc.
One Rockefeller Plaza

New York, New York 10020

Brief statement of the character of business conducted in Rhode Island
Investment management and advisory

services

Date of Organization 4/27/62

Date of Qualification to do business in Rhode Island (if foreign entity)
1/6/83

THE NAMES OF THE OFFICERS ARE:

☒ [x] President ☐ [] Treasurer

Please see attached list.

☐ [] Chief Executive Officer ☐ [] Secretary

☐ [] President ☐ [] Secretary

☐ [] President ☐ [] Secretary

THE NAMES OF THE DIRECTORS ARE:

Please see attached list.

NAME [REDACTED] STATE ADVISOR COUNTY ADVISOR

NAME [REDACTED] STATE ADVISOR COUNTY ADVISOR

NUMBER OF SHARES AUTHORIZED (if Applicable)

NUMBER 1,000

CLASS Common

SERIES --

PAR VALUE OR
WITHOUT PAR Without par

Date March 4 19 94

NUMBER OF SHARES ISSUED AND OUTSTANDING (if Applicable)

NUMBER 400

CLASS Common

SERIES --

PAR VALUE OR
WITHOUT PAR Without par

By Gregory O. Williams
Gregory O. Williams
President, Secretary & Treasurer

REGISTRATION NO.

DESIGNATED REGISTERED OR RESIDENT AGENT FOR SERVICE OF PROCESS:

PLEASE NOTE: If the Corporation has changed its registered office and/or registered or resident agent, Form 9 or Form EDC-3 must be filed.

US CORPORATION COMPANY
170 WESTMINSTER STREET
PROVIDENCE RI 02903

FILED

MAR 13 1994

By 060502

MMC

BUSINESS ADDRESS

One Rockefeller Plaza
New York, New York 10020

ROTHSCHILD ASSET MANAGEMENT INC.

1994

Officers

RESIDENCE ADDRESS

Mark K. Tavel	President, Chief Investment Officer	110 Riverside Drive New York, NY 10024
Richard T. Anderson	Managing Director	155 East 31st Street New York, NY 10016
T. Radey Johnson	Managing Director	627 Third Street Brooklyn, NY 11215
George M. Richvalsky	Managing Director	49 Hilltop Road Short Hills, NJ 07078
Joseph B. Schwartz	Managing Director	185 West End Avenue New York, NY 10023
Donald J. McLoughlin	Senior Vice President	66 Fox Run South Salem, NY 10590
Gregory O. Williams	Senior Vice President/ Secretary/Treasurer	24 Crossridge Circle Marlboro, NJ 07746
Vincent Simeone	Vice President	6 Wyman Court Coram, NY 11727

Directors

Mark K. Tavel	110 Riverside Drive New York, NY 10024
Joseph B. Schwartz	185 West End Avenue New York, NY 10023

January 1, 1994

Filing Fee \$50.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
100 NORTH MAIN STREET
PROVIDENCE, RHODE ISLAND 02903

500

Corporate ID: 0023469 Annual Report for the year 1993

FIRST: The name of the corporation is ROTHSCHILD ASSET MANAGEMENT INC.

SECOND: It is incorporated under the laws of New York

THIRD: Character of business, briefly stated, is Investment advisory and management
related services.

FOURTH: If foreign corporation, address of its principal office: One Rockefeller Plaza
New York, New York 10020

FIFTH: Business address in Rhode Island None

SIXTH: Names and addresses of its directors and officers: (Attach rider if necessary)

Name

Office

Address (including number, street, zip code)

Please see attached list. Director

Director

Director

President

Vice President

Secretary

Treasurer

SEVENTH: Number of Shares authorized:

No. of Shares

1,000

Class

Common

Series

-

Par Value
or statement that
shares are without
par value

without par value

EIGHTH: Number of Shares issued:

No. of Shares

400

Class

Common

Par Value
or statement that
shares are without
par value

without par value

Dated February 11 1993

(Report must be signed by an officer)

ROTHSCHILD ASSET MANAGEMENT INC.

(Name of Corporation)

By

Title

Senior Vice President, Secretary,
Treasurer

BUSINESS ADDRESS
One Rockefeller Plaza
New York, New York 10020

ROTHSCHILD ASSET MANAGEMENT INC.

1993

Officers

		<u>RESIDENCE ADDRESS</u>
Robert S Pirie	Chairman	Aquila Farm Hamilton, MA 01936
Mark K. Tavel	President, Chief Investment Officer	110 Riverside Drive New York, NY 10024
Edmond Cotty	Managing Director	One Fenimore Road Scarsdale, NY 10538
T. Radey Johnson	Managing Director	627 Third Street Brooklyn, NY 11215
George M. Richvalsky	Managing Director	49 Hilltop Road Short Hills, NJ 07078
Joseph B. Schwartz	Managing Director	185 West End Avenue New York, NY 10023
Richard T. Anderson	Senior Vice President	155 East 31st Street New York, NY 10016
Gregory O. Williams	Senior Vice President/ Secretary/Treasurer	24 Crossridge Circle Marlboro, NJ 07746
Vincent Simeone	Vice President	6 Wyman Court Coram, NY 11727
Herbert V. Jacobs	Asst. Secretary	14 Kodiak Drive Woodbury, NY 11797

Directors

Robert S Pirie	Aquila Farm Hamilton, MA 01936
Gerald Goldsmith	303 East 57th Street New York, NY 10022
Mark K. Tavel	110 Riverside Drive New York, NY 10024
Edmond Cotty	One Fenimore Road Scarsdale, NY 10538
Joseph B. Schwartz	185 West End Avenue New York, NY 10023

Filing Fee \$50.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
100 NORTH MAIN STREET
PROVIDENCE, RHODE ISLAND 02903

JDA-JEF 399

Corporate ID 0013469 Annual Report for the year 1992

FIRST: The name of the corporation is ROTHSCHILD ASSET MANAGEMENT INC.

SECOND: It is incorporated under the laws of New York

THIRD: Character of business, briefly stated, is Investment advisory and management related services.

FOURTH: If foreign corporation, address of its principal office One Rockefeller Plaza
New York, New York 10020

FIFTH: Business address in Rhode Island None

SIXTH: Names and addresses of its directors and officers: (Attach rider if necessary)

Name Office Address (including number, street, zip code)

Please see attached list. Director

Director

Director

President

Vice President

Secretary

Treasurer

PAID

MAR 02 1992

SECY OF STATE

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
200	Common	-	without par value

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
200	Common	-	without par value

Dated 2/28 1992

ROTHSCHILD ASSET MANAGEMENT INC.

(Name of Corporation)

By

Title Senior Vice President, Secretary,
Treasurer

(Report must be signed by an officer)

ROTHSCHILD ASSET MANAGEMENT INC.

OFFICERS - 1992

Robert S Pirie	Chairman	Aquila Farm Hamilton, MA 09136
Mark K. Tavel	President, Chief Investment Officer	110 Riverside Drive New York, NY 10024
Edmond Cotty	Managing Director	One Fenimore Road Scarsdale, NY 10538
T. Radey Johnson	Managing Director	627 Third Street Brooklyn, NY 11215
George M. Richvalsky	Managing Director	49 Hilltop Road Short Hills, NJ 07078
Joseph B. Schwartz	Managing Director	185 West End Avenue New York, NY 10023
Richard T. Anderson	Senior Vice President	155 East 31st Street New York, NY 10016
Gregory O. Williams	Senior Vice President/ Secretary/ Treasurer	24 Crossridge Circle Marlboro, NJ 07746
Carol A. Roggemann	Vice President	22 Stevenson Avenue Peekskill, NY 10566
Brian Geller	Asst. Vice President	2667 East 21st Street Brooklyn, NY 11235
Herbert V. Jacobs	Asst. Secretary	14 Kodiak Drive Woodbury, NY 11797

DIRECTORS - 1992

Robert S Pirie	Aquila Farm Hamilton, MA 09136
Mark K. Tavel	110 Riverside Drive New York, NY 10024
Edmond Cotty	One Fenimore Road Scarsdale, NY 10538
Joseph B. Schwartz	185 West End Avenue New York, NY 10023

Filing Fee \$50.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
100 NORTH MAIN STREET
PROVIDENCE, RHODE ISLAND 02903

Corporate ID: 0023459 Annual Report for the year 1991

FIRST: The name of the corporation is: ROTHSCHILD ASSET MANAGEMENT INC.

SECOND: It is incorporated under the laws of New York

THIRD: Character of business, briefly stated, is: Investment advisory and management
related services.

FOURTH: If foreign corporation, address of its principal office: One Rockefeller Plaza
New York, NY 10020

FIFTH: Business address in Rhode Island: None

SIXTH: Names and addresses of its directors and officers: Please see attached list. (Attach rider if necessary)
Name Office Address (including number, street, zip code)

Director

Director

Director

President

Vice President

Secretary

Treasurer

SEVENTH: Number of Shares authorized:

No. of Shares
200

Class
Common

Series

Par Value
or statement that
shares are without
par value

without par value

EIGHTH: Number of Shares issued:

No. of Shares
200

Class
Common

Series

Par Value
or statement that
shares are without
par value

without par value

Dated: 2/27 1991

Rothschild Asset Management Inc.

(Name of Corporation)

By: Gregory O. Williams

Title: Vice President, Secretary, Treasurer

(Report must be signed by an officer)

ROTHSCHILD ASSET MANAGEMENT INC.

OFFICERS

1991

Robert S Pirie	Chairman	Aquila Farm Hamilton, MA 09136
Mark K. Tavel	President, Chief Investment Officer	110 Riverside Drive New York, NY 10024
Edmond Cotty	Managing Director	One Fenimore Road Scarsdale, NY 10538
T. Radey Johnson	Managing Director	627 Third Street Brooklyn, NY 11215
George M. Richvalsky	Managing Director	49 Hilltop Road Short Hills, NJ 07078
Joseph B. Schwartz	Managing Director	185 West End Avenue New York, NY 10023
Richard T. Anderson	Senior Vice President	155 East 31st Street New York, NY 10016
Gregory O. Williams	Vice President/ Secretary/ Treasurer	24 Crossridge Circle Marlboro, NJ 07746
Carol A. Roggemann	Vice President	21 Hazen Street, Box 678 Greenwood Lake, NY 10925
Brian Geller	Asst. Vice President	2438 Brigham Street Brooklyn, NY 11235
Herbert V. Jacobs	Asst. Secretary	14 Kodiak Drive Woodbury, NY 11797

DIRECTORS

1991

Robert S Pirie	Aquila Farm Hamilton, MA 09136
Mark K. Tavel	110 Riverside Drive New York, NY 10024
Edmond Cotty	One Fenimore Road Scarsdale, NY 10538
Joseph B. Schwartz	185 West End Avenue New York, NY 10023

Filing Fee \$15.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
100 NORTH MAIN STREET
PROVIDENCE, RHODE ISLAND 02903

AT

Corporate ID 0023459

Annual Report for the year 1990

FIRST: The name of the corporation is ROTHSCHILD ASSET MANAGEMENT INC.

SECOND: It is incorporated under the laws of New York

THIRD: Character of business, briefly stated, is Investment advisory and management
related services.

FOURTH: If foreign corporation, address of its principal office One Rockefeller Plaza,
New York, New York 10020

FIFTH: Business address in Rhode Island None

SIXTH: Names and addresses of its directors and officers:

(Attach rider if necessary)

Name

Office

Address (including number, street, zip code)

Please see attached list.

Director

Director

Director

President

Vice President

Secretary

Treasurer

SEVENTH: Number of Shares authorized:

No. of Shares

Class

200

Common

Par Value
or statement that
shares are without
par value

Without par value

EIGHTH: Number of Shares issued:

No. of Shares

Class

200

Common

Par Value
or statement that
shares are without
par value

Without par value

Dated February 27 1990

Rothschild Asset Management Inc.

(Name of Corporation)

By Gregory O. Williams

Title Vice President, Secretary, Treasurer

(Report must be signed by an officer)

ROTESCHILD ASSET MANAGEMENT INC.

OFFICERS

1990

Robert S Pirie	Chairman	Aquila Farm Hamilton, MA 09136
Mark K. Tavel	President, Chief Investment Officer	110 Riverside Drive New York, NY 10024
Edmond Cotty	Managing Director	One Fenimore Road Scarsdale, NY 10538
T. Radey Johnson	Managing Director	627 Third Street Brooklyn, NY 11215
George M. Richvalsky	Managing Director	49 Hilltop Road Short Hills, NJ 07078
Joseph B. Schwartz	Managing Director	185 West End Avenue New York, NY 10023
Richard T. Anderson	Senior Vice President	155 East 31st Street New York, NY 10016
Gregory O. Williams	Vice President/ Secretary/ Treasurer	24 Crossridge Circle Marlboro, NJ 07746
Carol A. Roggemann	Vice President	21 Hazen Street, Box 678 Greenwood Lake, NY 10925
Brian Geller	Asst. Vice President	2438 Brigham Street Brooklyn, NY 11235
Herbert V. Jacobs	Asst. Secretary	14 Kodiak Drive Woodbury, NY 11797

DIRECTORS

1990

Robert S Pirie	Aquila Farm Hamilton, MA 09136
Mark K. Tavel	110 Riverside Drive New York, NY 10024
Edmond Cotty	One Fenimore Road Scarsdale, NY 10538
Joseph B. Schwartz	185 West End Avenue New York, NY 10023

Filing Fee \$15.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
100 NORTH MAIN STREET
PROVIDENCE, RHODE ISLAND 02903



Corporate ID 0033469

Annual Report for the year 1988

FIRST: The name of the corporation is ROTHSCHILD ASSET MANAGEMENT INC

SECOND: It is incorporated under the laws of New York

THIRD: Character of business, briefly stated, is Investment advisory, Management and related activities.

FOURTH: If foreign corporation, address of its principal office One Rockefeller Plaza,
New York, NY 10020

FIFTH: Business address in Rhode Island None at present.

SIXTH: Names and addresses of its directors and officers:

(Attach rider if necessary)

Name

Office

Address (including number, street, zip code)

Please see attached. Director

Director

Director

President

Vice President

Secretary

Treasurer

SEVENTH: Number of Shares authorized:

No. of Shares

Class

Series

Par Value
or statement that
shares are without
par value

200

Common

PAID

-

no par value

EIGHTH: Number of Shares issued:

No. of Shares

Class

Series

Par Value
or statement that
shares are without
par value

200

Common

SECY OF STATE

-

no par value

Dated February 22 1989

Rothschild Asset Management Inc.

(Name of Corporation)

By Gregory O. Williams

Title Secretary

(Report must be signed by an officer)

ROTESCHILD ASSET MANAGEMENT INC.

OFFICERS

1989

Robert S Pirie	Chairman	Aquila Farm Hamilton, MA 09136
Mark K. Tavel	President, Chief Investment Officer	110 Riverside Drive New York, NY 10024
Edmond Cotty	Managing Director	One Fenimore Road Scarsdale, NY 10538
T. Radey Johnson	Managing Director	627 Third Street Brooklyn, NY 11215
Joseph B. Schwartz	Managing Director	185 West End Avenue New York, NY 10023
Richard T. Anderson	Senior Vice President	155 East 31st Street New York, NY 10016
Gregory O. Williams	Vice President/ Secretary/ Treasurer	24 Crossridge Circle Marlboro, NJ 07746
Carol A. Roggemann	Vice President	21 Hazen Street, Box 678 Greenwood Lake, NY 10925
Herbert V. Jacobs	Asst. Secretary	14 Kodiak Drive Woodbury, NY 11797

DIRECTORS

1989

Robert S Pirie	Aquila Farm Hamilton, MA 09136
Mark K. Tavel	110 Riverside Drive New York, NY 10024
Edmond Cotty	One Fenimore Road Scarsdale, NY 10538
Joseph B. Schwartz	185 West End Avenue New York, NY 10023

January 25, 1989
0446S/14

Filing Fee \$15.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
270 WESTMINSTER MALL
PROVIDENCE, RHODE ISLAND 02903

Corporate ID 23469 Annual Report for the year 1988

FIRST: The name of the corporation is ROTHSCHILD ASSET MANAGEMENT INC.

SECOND: It is incorporated under the laws of New York

THIRD: Character of business, briefly stated, is investment management and related activities.

FOURTH: If foreign corporation, address of its principal office

One Rockefeller Plaza, New York, NY 10020

FIFTH: Business address in Rhode Island none at present

SIXTH: Names and addresses of its directors and officers: (Attach rider if necessary)

Name	Office	Address (including number, street, zip code)
Please see attached list.	Director	
	Director	
	Director	
	President	
	Vice President	
	Secretary	
	Treasurer	

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
200	Common	--	no par

PAID

MAR 28 1988

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
200	Common	--	no par

SECY. OF STATE

Dated March 21 19 88

Rothschild Asset Management Inc.
(Name of Corporation)

By Gregory O. Williams
Title Secretary, Treasurer, Vice President

(Report must be signed by an officer)

ROTHSCHILD ASSET MANAGEMENT INC.

OFFICERS

1988

Robert S Pirie	Chief Executive Officer	Aquila Farm Hamilton, MA 09136
Edmond Cotty	Chairman of the Board	One Fenimore Pond Road Scarsdale, NY 10538
Joseph B. Schwartz	President	185 West End Avenue New York, NY 10023
April N. Walstad	Managing Director	405 East 56th Street New York, NY 10022
Ronald J. Worobel	Senior Vice President	35 Carriage Drive Middletown, NJ 00748
Gregory O. Williams	Vice President/ Secretary/ Treasurer	24 Crossridge Circle Marlboro, NJ 07746
Carol A. Roggemann	Vice President	21 Hazen Street - Box 678 Greenwood Lake, NY 10925
Herbert V. Jacobs	Asst. Secretary	14 Kodiak Drive Woodbury, NY 11797

DIRECTORS

1988

Robert S Pirie	Aquila Farm Hamilton, MA 09136
Edmond Cotty	One Fenimore Pond Road Scarsdale, NY 10538
Joseph B. Schwartz	185 West End Avenue New York, NY 10023

January 1, 1988

Filing Fee \$15.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
270 WESTMINSTER MALL
PROVIDENCE, RHODE ISLAND 02903

Corporate ID 23469

Annual Report for the year 1987

FIRST: The name of the corporation is ROTHSCHILD ASSET MANAGEMENT INC.

SECOND: It is incorporated under the laws of New York

THIRD: Character of business, briefly stated, is Investment management and counselling
and related activities.

FOURTH: If foreign corporation, address of its principal office One Rockefeller Plaza

New York, NY 10020

FIFTH: Business address in Rhode Island 110 Dyer Street, Suite 3A

Providence, RI 02903

SIXTH: Names and addresses of its directors and officers:

(Attach rider if necessary)

Name

Office

Address (including number, street, zip code)

Name	Office	Address (including number, street, zip code)
.....	Director	
Please see attached list.	Director	
.....	Director	
.....	President	
.....	Vice President	
.....	Secretary	
.....	Treasurer	

SEVENTH: Number of Shares authorized:

No. of Shares

Class

Series

Par Value
or statement that
shares are without
par value

200

Common

-

without par value

EIGHTH: Number of Shares issued:

No. of Shares

Class

Series

Par Value
or statement that
shares are without
par value

200

Common

-

without par value

Dated February 2,

APR 06 1987
ENT'D

Rothschild Asset Management Inc.

(Name of Corporation)

By

Title Vice President, Secretary, Treasurer

(Report must be signed by an officer)

ROTHSCHILD ASSET MANAGEMENT INC.

1/15/87

OFFICERS

* Robert H. Buckles	President & Chief Investment Officer	969 Park Avenue, Apt. 2F New York, NY 10028
* Edmond Cotty	Managing Director	One Fenimore Pond Road Scarsdale, NY 10538
Joseph B. Schwartz	Managing Director	185 West End Avenue New York, NY 10023
Sanjoy Bhattacharya	Senior V.P.	601 West 113th Street New York, NY 10025
Elizabeth T. Smith	Senior V.P.	29 East 69th Street New York, NY 10021
April N. Walstad	Senior V.P.	405 East 56th Street New York, NY 10022
Gregory O. Williams	V.P./Secy./ Treasurer	24 Crossridge Circle Marlboro, NJ 07746
Ronald J. Worobel	Vice President	35 Carriage Drive Middletown, NJ 00748
Paul L. Roseman	Asst. Treasurer/ Asst. V.P.	98 Hampton Place Rossville, NY 10309
Herbert V. Jacobs	Asst. Secy.	14 Kodiak Drive Woodbury, NY 11797
Carol A. Roggemann	Asst. V.P.	21 Hazen Street - Box 678 Greenwood Lake, NY 10925

* Director

ROTHSCHILD ASSET MANAGEMENT INC.

1/15/87

DIRECTORS

• Robert S Pirie

Aquila Farm
Hamilton, MA 01936

Robert H. Buckles

969 Park Avenue, Apt. 2F
New York, NY 10028

Edmond Cotty

One Fenimore Pond Road
Scarsdale, NY 10538

• Chairman

Filing Fee \$15.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
270 WESTMINSTER MALL
PROVIDENCE, RHODE ISLAND 02903

Corporate ID 23469

Annual Report for the year 1986

FIRST: The name of the corporation is ROTHSCHILD ASSET MANAGEMENT INC.

SECOND: It is incorporated under the laws of New York

THIRD: Character of business, briefly stated, is Investment management/counselling

FOURTH: If foreign corporation, address of its principal office One Rockefeller Plaza,
New York, NY 10020

FIFTH: Business address in Rhode Island 101 Dyer Street, Suite 3-A, Providence, RI
02903

SIXTH: Names and addresses of its directors and officers:

(Attach rider if necessary)

Name

Office

Address (including number, street, zip code)

Director

SEE ATTACHED

Director

Director

President

Vice President

Secretary

Treasurer

SEVENTH: Number of Shares authorized:

No. of Shares
200

Class
common

01/29/86

Series
--

Par Value
or statement that
shares are without
par value
without par value

EIGHTH: Number of Shares issued:

No. of Shares
200

Class
common

PAID

ANRE
CHER
0465A0001

Series
--

Par Value
or statement that
shares are without
par value
without par value

Dated January 23 1986

Rothschild Asset Management Inc.

Name (if Corporation)

By

Title

Vice President

(Report must be signed by an officer)

1/1/86

ROTHSCHILD ASSET MANAGEMENT INC.

OFFICERS

1986

* Robert H. Buckles	President & Chief Investment Officer	420 E. 72nd Street New York, NY 10021
* Edmond Cotty	Director	One Fenimore Pond Road Scarsdale, NY 10538
Sanjoy Bhattacharya	Senior V.P.	601 West 113th Street New York, NY 10025
Joseph B. Schwartz	Senior V.P.	185 West End Avenue New York, NY 10023
Elizabeth T. Smith	Senior V.P.	29 East 69th Street New York, NY 10021
April N. Walstad	Senior V.P.	405 East 56th Street New York, NY 10022
Gregory O. Williams	V.P./Asst. Secy.	24 Crossridge Circle Marlboro, NJ 07746
A. Stanley Gluck	Secy./Treasurer	60 East End Avenue New York, NY 10028
Paul L. Roseman	Asst. Treasurer/ Asst. V.P.	98 Hampton Place Rossville, NY 10309
Herbert V. Jacobs	Asst. Secy.	14 Kodiak Drive Woodbury, NY 11797
*Robert S Pirie	Chairman & CEO	Aquila Farm Hamilton, MA 01936

* Director

1/1/86

ROTHSCHILD ASSET MANAGEMENT INC.

DIRECTORS

1986

+ Robert S Pirie

Aquila Farm
Hamilton, MA 01936

Robert H. Buckles

420 E. 72nd Street
New York, NY 10021

Edmond Cotty

One Fenimore Pond Road
Scarsdale, NY 10538

+ Chairman

Filing Fee \$15.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION
270 WESTMINSTER MALL
PROVIDENCE, RHODE ISLAND 02903

Corporate ID 23462

Annual Report for the year 1985

FIRST: The name of the corporation is ROTHSCHILD ASSET MANAGEMENT, INC.

SECOND: It is incorporated under the laws of New York

THIRD: Character of business, briefly stated, is Investment management and counseling and related activities.

FOURTH: If foreign corporation, address of its principal office One Rockefeller Plaza,
New York, New York 10020

FIFTH: Business address in Rhode Island c/o United States Corporation Company,
101 Dyer Street, Suite 3-A, Providence, Rhode Island 02903

SIXTH: Names and addresses of its directors and officers:

(Attach rider if necessary)

Name

Office

Address (including number, street, zip code)

.....	Director	
(SEE ATTACHED LIST)	Director	
.....	Director	
.....	President	
.....	Vice President	
.....	Secretary	
.....	Treasurer	

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
200	Common	--	w/out par value

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
200	Common	--	w/out par value

Dated 3/15 19 85

RECEIVED MAR 1985

(Report must be signed by an officer)

Rothschild Asset Management Inc.

(Name of Corporation)

By Gregory O. Williams

Title Vice President

ROTHSCHILD ASSET MANAGEMENT INC.

2/27/85

OFFICERS

1985

* Robert S Pirie	Chairman	Aquila Farm Hamilton, MA 01936
* Robert H. Buckles	President & Chief Investment Officer	420 E. 72nd Street New York, NY 10021
* Edmond Cotty	Director	One Fenimore Pond Road Scarsdale, NY 10538
Sanjoy Bhattacharya	Senior V.P.	601 West 113th Street New York, NY 10025
Joseph B. Schwartz	Senior V.P.	185 West End Avenue New York, NY 10023
Elizabeth T. Smith	Senior V.P.	29 East 69th Street New York, NY 10021
April N. Walstad	Senior V.P.	405 East 56th Street New York, NY 10022
John P. Knox	Vice President	28 Colonial Drive Matawan, NJ 07747
Gregory O. Williams	V.P./Asst. Secy.	24 Crossridge Circle Marlboro, NJ 07746
A. Stanley Gluck	Secy./Treasurer	60 East End Avenue New York, NY 10028
Paul L. Roseman	Asst. Treasurer	98 Hampton Place Rossville, NY 10309

* Director

ROTHSCHILD ASSET MANAGEMENT INC.

DIRECTORS

1985

Robert S Pirie

Aquila Farm
Hamilton, MA 01936

Robert H. Buckles

420 E. 72nd Street
New York, NY 10021

G. Peter Fleck

Bay House, Quanset Rd.
South Orleans, MA 02662

Edmond Cotty

One Fenimore Pond Rd.
Scarsdale, NY 10538

Filing fee \$15.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations
OFFICE OF THE SECRETARY OF STATE

Annual Report for the year 1984

FIRST: The name of the corporation is Rothschild Asset Management Inc.

SECOND: It is incorporated under the laws of New York

THIRD: Character of business, briefly stated, is Investment management and
counselling and related activities.

FOURTH: If foreign corporation, address of its principal office
One Rockefeller Plaza, New York, New York 10020

FIFTH: Business address in Rhode Island
101 Dyer Street, Suite 3-A, Providence, RI 02903

SIXTH: Names and addresses of its directors and officers:

(Addresses must include street and number, if any)

Name	Office	Address
	Director	
See attached rider	Director	
	Director	
	President	
	Vice President	
	Secretary	
	Treasurer	

(If additional space is needed, attach rider)

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
200	Common Stock	--	without par value

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
200	Common Stock	--	without par value

Dated: 3/1 19 84

Rothschild Asset Management Inc.
(Not a Corporation)

By *Gregory Williams*
Title *President*

(Report must be signed by an officer)

If the corporation has changed its registered office and/or its registered agent,
Form #9 must be filed. Please contact Corporation Division for information 277-3040

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ROTHSCHILD ASSET MANAGEMENT INC.

OFFICERS

Robert S Pirie 103-28-7660	Chairman	Aquila Farm Hamilton, Ma. 01936
Madelon D. Talley 124-26-2085	President	876 Park Avenue New York, N.Y. 10021
William L. Asmundson 534-36-1739	Executive V.P.	500 Thayer Pond Rd. Wilton, Ct. 06897
Elizabeth Smith 081-36-0299	Senior V.P.	29 E 69th St. New York, N.Y. 10021
Joseph B. Schwartz 044-30-0701	Senior V.P.	185 West End Avenue New York, N.Y. 10023
Gregory O. Williams 143-36-6808	V.P./Asst. Secy.	150 W. 26th St. Bayonne, N.J. 07002
Geoffrey H. Wadsworth 134-34-1926	Vice President	66 Elmwood Avenue Rye, N.Y. 10580
April Walstad 488-52-8121	Vice President	405 East 56th St. New York, N.Y. 10022
A. Stanley Gluck 119-03-5737	Secy./Treasurer	60 East End Avenue New York, N.Y. 10028
Paul L. Roseman 096-38-4651	Asst. Treasurer	98 Hampton Place Rossville, N.Y. 10309

ROTHSCHILD ASSET MANAGEMENT INC.

DIRECTORS

Robert S Pirie	Aquila Farm, Hamilton, Ma. 01936
Madelon D. Talley	876 Park Ave., New York, NY 10021
G. Peter Fleck	Bay House, Quanset Rd., South Orleans, Ma. 02662
Edmond Cotty	One Fenimore Rd., Scarsdale, NY 10538
William L. Asmundson	500 Thayer Pond Rd., Wilton, Ct. 06897

Filing fee: \$15.00

To be filed annually between
January 1st and March 1st

State of Rhode Island and Providence Plantations
OFFICE OF THE SECRETARY OF STATE

Annual Report for the year 1982

FIRST: The name of the corporation is Rothschild Asset Management Inc.

SECOND: It is incorporated under the laws of New York

THIRD: Character of business, briefly stated, is Investment counselling
and management related activities

FOURTH: If foreign corporation, address of its principal office One
Rockefeller Plaza, New York, New York 10020

FIFTH: Business address in Rhode Island (blank reports will be mailed to this
address) 101 Ayer Street, Providence 02903

SIXTH: Names and addresses of its directors and officers: See attachment

(Addresses must include street and number, if any)

Name	Office	Address
	Director	
	Director	
	Director	
	President	
	Vice President	
	Secretary	
	Treasurer	

(If additional space is needed, attach rider)

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
200	Common Stock	--	Without par value

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
200	Common Stock	--	Without par value

Dated: x 2/25/

1983

Rothschild Asset Management, Inc.

(Name of Corporation)

By x Gregory O. Williams
Title x Vice President

(Report must be signed by an officer)

If the corporation has changed its registered office and/or its registered agent,
Form #9 must be filed. Please contact Corporation Division for information. 277-3040

ROTHSCHILD ASSET MANAGEMENT INC.

OFFICERS

Robert S Pirie	Chairman	Aquila Farm Hamilton, Ma. 01936
Madelon D. Talley	President	876 Park Avenue New York, N.Y. 10021
William L. Asmundson	Executive V.P.	500 Thayer Pond Rd. Wilton, Ct. 06897
Robert M. Rex	Senior V.P.	67 Buttonwood Lane Darien, Ct. 06820
Joseph B. Schwartz	Senior V.P.	185 West End Avenue New York, N.Y. 10023
Gregory O. Williams	V.P./Asst. Secy.	150 W. 26th St. Bayonne, N.J. 07002
Whitney Merrill	Vice President	1 Split Timber Place Riverside, Ct. 06878
Geoffrey H. Wadsworth	Vice President	66 Elmwood Avenue Rye, N.Y. 10580
April Walstad	Vice President	405 East 56th St. New York, N.Y. 10022
A. Stanley Gluck	Secy./Treasurer	60 East End Avenue New York, N.Y. 10028
Paul L. Roseman	Asst. Treasurer	98 Hampton Place Rossville, N.Y. 10309

ROTHSCHILD ASSET MANAGEMENT INC.

DIRECTORS

Robert S Pirie

Aquila Farm,
Hamilton, Ma. 01936

Madelon D. Talley

876 Park Ave.,
New York, NY 10021

G. Peter Fleck

Bay House, Quanset Rd.,
South Orleans, Ma. 02662

Edmond Cotty

One Fenimore Rd.,
Scarsdale, NY 10538

William L. Asmundson

500 Thayer Pond Rd.,
Wilton, Ct. 06897