



**State of Rhode Island
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2021

1. Corporate ID No. 000021898

2. Name of Corporation Caterpillar Financial Services Corporation

3. Street Address Principal Business Office:

No. and Street: 2120 WEST END AVENUE

City or Town: NASHVILLE

State: TN

Zip: 37203

Country: USA

4. Business Phone No.

13096756754

5. State of Incorporation

State: DE

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

522220

6. Brief Description of the Character of Business Conducted in Rhode Island

LEASING /FINANCING EQUIPMENT

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country
PRESIDENT	DAVID T. WALTON	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
TREASURER	ROBERT E. DE CAMP	2120 WEST END AVENUE

		NASHVILLE, TN 37203 USA
VP & CHIEF RISK OFFICER	ZAKHAR LIFSHITS	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
CONTROLLER	JEFFRY D EVERETT	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
VICE PRESIDENT	ROBERT J. BENNES	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
EXECUTIVE VICE PRESIDENT	MARK C. BAINBRIDGE	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
VICE PRESIDENT	ROBERT C. THOMPSON	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
VICE PRESIDENT	SHELLEY L. BARRETT	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
ASSISTANT SECRETARY	TIFFANY B. WILLIAMS	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
EXECUTIVE VICE PRESIDENT & CFO	PATRICK T. MCCARTAN	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
ASSISTANT SECRETARY	PATRICIA B. EASTWOOD	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
VICE PRESIDENT	RICHARD KINSEY	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
SENIOR VICE PRESIDENT	KRISTEN R. COVEY	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
CHIEF INFORMATION OFFICER	TYSON V. NORMAN	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
SECRETARY	JENNIFER K. SCHOTT	510 LAKE COOK ROAD, STE. 100 DEERFIELD, IL 60015 USA
ASSISTANT TREASURER	CHAD J. WITHERS	100 NE ADAMS STREET PEORIA, IL 61629 USA
DIRECTOR	DAVID T. WALTON	2120 WEST END AVENUE NASHVILLE, TN 37203 USA
DIRECTOR	ANDREW BONFIELD	510 LAKE COOK ROAD, SUITE 100 DEERFIELD, IL 60015 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	2,000.00	1

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 27 Day of February, 2021 at 5:11:19 PM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

By **JONI FUNK**

Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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