



**State of Rhode Island
Office of the Secretary of State**

No Fee

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corp
Annual Report - Amended**

(Section 7-1.2-1501(e) of the General Laws of Rhode Island, 1956, as amended)

This form is only to be used to amend the current annual report on file with this office.

ANNUAL REPORT YEAR: 2021

1. Corporate ID No. 001667649

2. Name of Corporation Upgrade, Inc.

3. Street Address Principal Business Office:

No. and Street: 275 BATTERY STREET
SUITE 2300

City or Town: SAN FRANCISCO State: CA Zip: 94111 Country: USA

5. State of Incorporation

State: DE

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

522291

6. Brief Description of the Character of Business Conducted in Rhode Island

FINANCIAL TECHNOLOGY

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
SECRETARY	JEFFREY BOGAN	275 BATTERY STREET SUITE 2300 SAN FRANCISCO, CA 94111 USA
DIRECTOR	ANJU PATWARDHAN	275 BATTERY STREET SUITE 2300 SAN FRANCISCO, CA 94111 USA
DIRECTOR	MEYER MALKA	275 BATTERY STREET SUITE 2300 SAN FRANCISCO, CA 94111 USA
DIRECTOR	JOSEPH HUBER	275 BATTERY STREET SUITE 2300

		SAN FRANCISCO, CA 94111 USA
PRESIDENT	RENAUD LAPLANCHE	275 BATTERY STREET SUITE 2300 SAN FRANCISCO, CA 94111 CA
TREASURER	JEFFREY BOGAN	275 BATTERY STREET SUITE 2300 SAN FRANCISCO, CA 94111 USA
DIRECTOR	JOSEPH BREEDEN	275 BATTERY STREET, SUITE 2300 SAN FRANCISCO, CA 94111 USA
DIRECTOR	SCOTT BOMMER	275 BATTERY STREET, SUITE 2300 SAN FRANCISCO, CA 94111 USA
DIRECTOR	RENAUD LAPLANCHE	275 BATTERY STREET SUITE 2300 SAN FRANCISCO, CA 94111 USA
DIRECTOR	CHRISTOPHER MICHAEL GOTTSCHALK	275 BATTERY STREET SUITE 2300 SAN FRANCISCO, CA 94111 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP	A	\$0.0001	1,383,334.00	0
CWP	B	\$0.0001	15,083,334.00	0
PWP	A	\$0.0001	1,666,667.00	0
PWP	A-1	\$0.0001	216,667.00	0

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 30 Day of March, 2021 at 6:01:13 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By JEFFREY BOGAN
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07