



State of Rhode Island

Department of State - Business Services Division

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2021 APR 19 PM 4:11

Application for Registration

FOREIGN Limited Liability Company

→ Filing Fee: \$150.00

Pursuant to the provisions of RIGL 7-16-49, the undersigned foreign limited liability company hereby applies for a Certificate of Registration to transact business in the State of Rhode Island, and for that purpose submits the following statement:

1. The name of the limited liability company is:		
NuGen Asset Management, LLC		
Is this company organized in its state or country of formation as a low-profit limited liability company? Yes ☐ No ☒		
The name, if different, under which it proposes to register and transact business in Rhode Island is:		
2. The LLC is organized under the laws of: Massachusetts		
3. The date of its organization is: 12/2/2015		
And the period of its duration is: CHECK ONE BOX ONLY		
☒ Perpetual (on-going)		
☐ Date certain for dissolution _____		
4. The name and address of the resident agent/office in Rhode Island is:		
Agent Name David Milner		
Street Address (NOT a P.O. Box) 267 Water Street, 2nd Floor		
City/Town Warren	State RHODE ISLAND	Zip Code 02885
5. The purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are: Renewable Energy Projects		
Check the box to indicate an attachment ☐		

MAIL TO:

Division of Business Services

148 W. River Street, Providence, Rhode Island 02904-2615

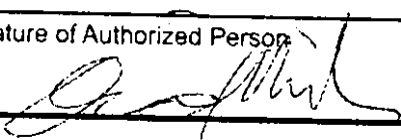
Phone: (401) 222-3040

Website: www.sos.ri.gov

FILED

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BY *[Signature]* YREQ1

6. The RI Department of State is appointed the agent of the foreign limited liability company for service of process if, at any time, there is no resident agent or if the resident agent cannot be found or served following the exercise of reasonable diligence.	
7. The address of the office required to be maintained in the state or country of its organization by the laws of that state or, if not so required, of the principal office of the foreign limited liability company is: 267 Water Street, 2nd Floor, Warren, RI 02885	
8. The mailing address for the limited liability company is: 267 Water Street, 2nd Floor, Warren, RI 02885	
9. Management of the Limited Liability Company:	
The Limited Liability Company is to be managed by: CHECK ONLY ONE BOX	
☐ By its members (If you have checked this box, go to Section 9. (DO NOT fill out the chart below.)	
☒ By one (1) or more managers (List managers below)	
MANAGER	ADDRESS
NuGen Capital Management, LLC	267 Water Street, 2nd Floor, Warren, RI 02885
10. This application must be accompanied by a <u>Certificate of Good Standing/Letter of Status</u> from the state or country of formation dated within 60 days of the date of filing.	
11. Date when this application for Certificate of Registration will be effective: CHECK ONE BOX ONLY	
☒ Date received (Upon filing)	
☐ Later effective date (Date must be no more than 90 days from the date of filing) _____	
<i>Under penalty of perjury, I declare and affirm that I have examined this Application for Registration, including any accompanying attachments, and that all statements contained herein are true and correct.</i>	
Type or Print Name of LLC	Date
NuGen Asset Management, LLC	4/14/2021
Signature of Authorized Person: 	

If you have any questions, please call us at (401) 222-3040, Monday through Friday, between 8:30 a.m. and 4:30 p.m., or email corporations@sos.ri.gov.



William Francis Galvin
Secretary of the
Commonwealth

The Commonwealth of Massachusetts
Secretary of the Commonwealth
State House, Boston, Massachusetts 02133

April 12, 2021

TO WHOM IT MAY CONCERN:

I hereby certify that a certificate of organization of a Limited Liability Company was filed in this office by

NUGEN ASSET MANAGEMENT, LLC

in accordance with the provisions of Massachusetts General Laws Chapter 156C on **December 2, 2015.**

I further certify that said Limited Liability Company has filed all annual reports due and paid all fees with respect to such reports; that said Limited Liability Company has not filed a certificate of cancellation; that there are no proceedings presently pending under the Massachusetts General Laws Chapter 156C, § 70 for said Limited Liability Company's dissolution; and that said Limited Liability Company is in good standing with this office.

I also certify that the names of all managers listed in the most recent filing are: **NUGEN CAPITAL MANAGEMENT, LLC**

I further certify, the names of all persons authorized to execute documents filed with this office and listed in the most recent filing are: **NUGEN CAPITAL MANAGEMENT, LLC, DAVID MILNER, AMERICAN POWER DEVELOPMENT, LLC**

The names of all persons authorized to act with respect to real property listed in the most recent filing are: **NUGEN CAPITAL MANAGEMENT, LLC, DAVID MILNER, AMERICAN POWER DEVELOPMENT, LLC**



In testimony of which,
I have hereunto affixed the
Great Seal of the Commonwealth
on the date first above written.

William Francis Galvin
Secretary of the Commonwealth

Processed By:ll.



State of Rhode Island

Department of State | Office of the Secretary of State

Nellie M. Gorbea, *Secretary of State*

I, NELLIE M. GORBEA, Secretary of State of the State of Rhode Island,
hereby certify that this document, duly executed in accordance with the provisions
of Title 7 of the General Laws of Rhode Island, as amended, has been filed in this

office on this day:

April 19, 2021 04:11 PM

A handwritten signature in blue ink, appearing to read "Nellie M. Gorbea". The signature is fluid and cursive.

Nellie M. Gorbea
Secretary of State

