



**State of Rhode Island
Office of the Secretary of State**

No Fee

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corp
Annual Report - Amended**

(Section 7-1.2-1501(e) of the General Laws of Rhode Island, 1956, as amended)

This form is only to be used to amend the current annual report on file with this office.

ANNUAL REPORT YEAR: 2021

1. Corporate ID No. 001701308

2. Name of Corporation River Financial Inc.

3. Street Address Principal Business Office:

No. and Street: 755 SANSOME ST. STE. 600

City or Town: SAN FRANCISCO

State: CA

Zip: 94111

Country: USA

5. State of Incorporation

State: DE

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523130

6. Brief Description of the Character of Business Conducted in Rhode Island

RIVER IS A REGULATED BITCOIN BROKERAGE PLATFORM AND BITCOIN WALLET SERVICE

THAT SERVES USERS IN SELECT U.S. JURISDICTIONS. AT THE FEDERAL LEVEL, RIVER IS REGULATED BY FINCEN AS A REGISTERED MONEY SERVICES BUSINESS. RIVER (NMLS

#1906809) HAS OBTAINED MONEY TRANSMITTER LICENSES IN SOME STATES AND IS ACTIVELY IN THE PROCESS OF APPLYING TO EVERY U.S. STATE WHERE A LICENSE IS REQUIRED FOR OUR BUSINESS ACTIVITIES.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country
GENERAL COUNSEL	WILLIAM MONGAN	755 SANSOME ST. STE. 600

		SAN FRANCISCO, CA 94111 USA
CHIEF EXECUTIVE OFFICER	ALEXANDER LEISHMAN	755 SANSOME ST. STE. 600 SAN FRANCISCO, CA 94111 USA
CHIEF OPERATING OFFICER	ANDREW BENSON	755 SANSOME ST. STE. 600 SAN FRANCISCO, CA 94111 USA
CHIEF INFORMATION SECURITY OFFICER	JULIE TRIAS	755 SANSOME ST. STE. 600 SAN FRANCISCO, CA 94111 USA
DIRECTOR OF REGULATORY AFFAIRS	ALEXANDRA GAISER	755 SANSOME ST. STE. 600 SAN FRANCISCO, CA 94111 USA
DIRECTOR OF FINANCE	MARIA OKEKE	755 SANSOME ST. STE. 600 SAN FRANCISCO, CA 94111 USA
DIR. AML COMPLIANCE / CHIEF COMPLIANCE OFF. / BSA OFF.	LOUIE LIANG	755 SANSOME ST. STE. 600 SAN FRANCISCO, CA 94111 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.0000	15,000,000.00	17963566

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 20 Day of July, 2021 at 4:56:14 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By ALEXANDRA HARRISON GAISER
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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State of Rhode Island

Department of State | Office of the Secretary of State

Nellie M. Gorbea, *Secretary of State*

I, NELLIE M. GORBEA, Secretary of State of the State of Rhode Island,

hereby certify that this document, duly executed in accordance with the provisions

of Title 7 of the General Laws of Rhode Island, as amended, has been filed in this

office on this day:

July 20, 2021 04:55 PM

A handwritten signature in blue ink, reading "Nellie M. Gorbea". The signature is fluid and cursive.

Nellie M. Gorbea
Secretary of State

