



State of Rhode Island

Department of State - Business Services Division

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**Application for Certificate of Authority**

FOREIGN Business Corporation

→ Filing Fee: \$310.00 minimum

Pursuant to the provisions of RIGL 7-1.2-1405, the undersigned foreign corporation hereby applies for a Certificate of Authority to transact business in the State of Rhode Island, and for that purpose submits the following statement:

1. The name of the corporation is:

CLIF BAR &amp; COMPANY

2. It is incorporated under the laws of:

California

3. The name, if different, which it elects to use in Rhode Island is:

(a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation", "company", "incorporated", or "limited," or an abbreviation thereof, then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island:

(b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application:

4. The date of its incorporation is:

1/3/1995

And the period of its duration is: **CHECK ONE BOX ONLY**☒ Perpetual (on-going)☐ Date certain for dissolution \_\_\_\_\_

5. The address of its principal office is:

1451 66th Street, Emeryville, CA 94608

6. The name and address of the initial registered agent/office in Rhode Island:

Agent Name

COGENCY GLOBAL INC.

Street Address (NOT a P.O. Box)

222 Jefferson Boulevard

City/Town

Warwick

State

RHODE ISLAND

Zip Code

02888

**MAIL TO:**

Division of Business Services

148 W. River Street, Providence, Rhode Island 02904-2615

Phone: (401) 222-3040

Website: [www.sos.ri.gov](http://www.sos.ri.gov)

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7. The purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:

Food manufacturing

8. (a) The names and respective addresses of its directors (optional, unless directors are required under the laws of the state or country of which it is incorporated):

NAME	ADDRESS
	See attached.

Check the box to indicate an attachment ☒

8. (b) The names and respective addresses of its principal officers (mandatory if directors are not required under the laws of the state or country of which it is incorporated):

OFFICE	NAME	ADDRESS
PRESIDENT	Sobhana Sally Grimes	1451 66th Street, Emeryville, CA 94608
VICE PRESIDENT		
TREASURER	Hari Avula	1451 66th Street, Emeryville, CA 94608
SECRETARY	Amy Norris	1451 66th Street, Emeryville, CA 94608

Check the box to indicate an attachment ☒

9. The aggregate number of shares which it has authority to issue; itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

NUMBER OF SHARES	CLASS	SERIES	PAR VALUE OR STATE NO PAR VALUE
200,000	Common	Series A	No Par Value
800,000	Common	Series B	No Par Value

10. An estimate, **as a percentage**, of the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located. (Note: Percentage obtained from worksheet.)

0 %

11. An estimate, **as a percentage**, of the proportion of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year compared to the gross amount thereof which will be transacted by the corporation during the following year. (Note: Percentage obtained from worksheet.)

0 %

OFFICERS AND DIRECTOR ATTACHMENT

**OFFICERS:**

Sobhana Sally Grimes, Chief Executive Officer, 1451 66th Street, Emeryville, CA 94608

Amy Norris, Secretary, 1451 66th Street, Emeryville, CA 94608

Hari Avula, Chief Financial & Strategy Officer, 1451 66th Street, Emeryville, CA 94608

**DIRECTORS:**

Sobhana Sally Grimes - 1451 66th Street, Emeryville, CA 94608

Gary Erickson - 1451 66th Street, Emeryville, CA 94608

Kathleen Crawford – 1451 66<sup>th</sup> Street, Emeryville, CA 94608

Karen Boone - 1451 66th Street, Emeryville, CA 94608

Chris Bruzzo - 1451 66th Street, Emeryville, CA 94608

Betsy Holden - 1451 66th Street, Emeryville, CA 94608

Brian Driscoll - 1451 66th Street, Emeryville, CA 94608

Bruce Lymburn - 1451 66th Street, Emeryville, CA 94608

12. This application must be accompanied by a Certificate of Good Standing/Letter of Status from the state or country of formation dated within 60 days of the date of this filing.

13. Date when the Certificate of Authority will be effective: **CHECK ONE BOX ONLY**

☒ Date received (Upon filing)

☐ Later effective date (Date must be no more than 90 days from the date of filing) \_\_\_\_\_

*Under penalty of perjury, I declare and affirm that I have examined this Application for Certificate of Authority, including any accompanying attachments, and that all statements contained herein are true and correct.*

Type or Print Name of Authorized Officer

**Amy Norris, Corporate Secretary**

Date

10/20/2021

Signature of Authorized Officer of the Corporation

*Amy Norris*

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## Secretary of State Certificate of Status

I, SHIRLEY N. WEBER, Ph.D., Secretary of State of the State of California, hereby certify:

**Entity Name:** CLIF BAR & COMPANY  
**File Number:** C1756107  
**Registration Date:** 01/03/1995  
**Entity Type:** DOMESTIC STOCK CORPORATION  
**Jurisdiction:** CALIFORNIA  
**Status:** ACTIVE (GOOD STANDING)

As of October 20, 2021 (Certification Date), the entity is authorized to exercise all of its powers, rights and privileges in California.

This certificate relates to the status of the entity on the Secretary of State's records as of the Certification Date and does not reflect documents that are pending review or other events that may affect status.

No information is available from this office regarding the financial condition, status of licenses, if any, business activities or practices of the entity.



**IN WITNESS WHEREOF**, I execute this certificate and affix the Great Seal of the State of California this day of October 21, 2021.

A handwritten signature in black ink, appearing to read "Shirley N. Weber".

**SHIRLEY N. WEBER, Ph.D.**  
**Secretary of State**

**Certificate Verification Number:** RL1NJWR

To verify the issuance of this Certificate, use the Certificate Verification Number above with the Secretary of State Certification Verification Search available at [bizfile.sos.ca.gov/certification/index](https://bizfile.sos.ca.gov/certification/index).



State of Rhode Island

**Department of State | Office of the Secretary of State**

**Nellie M. Gorbea, *Secretary of State***

I, NELLIE M. GORBEA, Secretary of State of the State of Rhode Island,  
  
hereby certify that this document, duly executed in accordance with the provisions  
  
of Title 7 of the General Laws of Rhode Island, as amended, has been filed in this  
  
office on this day:

October 22, 2021 12:14 PM

A handwritten signature in blue ink, reading "Nellie M. Gorbea". The signature is fluid and cursive.

Nellie M. Gorbea  
*Secretary of State*

