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State of Rhode Island
Department of State - Business Services Division

Annual Report for the year: 2021
Limited Liability Company

- Filing period: September 1 - November 1
- Filing Fee: \$50.00
- Penalty: Additional \$25.00 fee if form is not filed by December 1.

1. Entity ID Number 001716773		2. Exact name of the Limited Liability Company The Roosevelt Investment Group, LLC			
3. NAICS Code 523930		4. Brief description of the character of business conducted in Rhode Island Back office of Investment Management Services and Financial Planning Services to Individuals and Certain Institutional Clients			
5. State of Formation DE					
6. Principal Office Address 570 Lexington Avenue, suite 1800		City New York	State NY	Zip 10022	
7. Mailing Address of Limited Liability Company and Name or Title of Contact Person					
Contact Name David Chalfin		Contact Title Managing Director and Chief Financial Officer			
Street Address 570 Lexington Avenue, suite 1800		City New York	State NY	Zip 10022	
8. List ALL managers (names and addresses) of the Limited Liability Company, IF APPLICABLE - DO NOT LIST MEMBERS					
Manager Name Adam Sheer, Co-CEO		Manager Name David Sheer			
Street Address 570 Lexington Avenue, suite 1800		Street Address Two Richmond Square, Suite #020			
City New York	State NY	Zip 10022	City Providence	State RI	Zip 02906
Manager Name James Rogers		Manager Name John Roscoc			
Street Address 570 Lexington Avenue, suite 1800		Street Address 570 Lexington Avenue, suite 1800			
City New York	State NY	Zip 10022	City New York	State NY	Zip 10022
Check the box to indicate an attachment					
9. The Resident Agent information currently of record with the RI Department of State is accurate. Changes require filing Form 642.					
<i>Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.</i>					
Name of Authorized Person David Chalfin				Date 12-29-21	
Signature of Authorized Person					

MAIL TO:
Division of Business Services
148 W. River Street, Providence, Rhode Island 02904-2615
Phone: (401) 222-3040
Website: www.sos.ri.gov

DEC 30 2021

FORM 632 - Revised 08/2020