



State of Rhode Island

Department of State - Business Services Division

Application for Certificate of Authority

FOREIGN Business Corporation

→ Filing Fee: \$310.00 minimum

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 R.I. DEPT. OF STATE
 BUS SVCS DIV

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Pursuant to the provisions of RIGL 7-1.2-1405, the undersigned foreign corporation hereby applies for a Certificate of Authority to transact business in the State of Rhode Island, and for that purpose submits the following statement:

1. The name of the corporation is:

Vcorp Agent Services, Inc.

2. It is incorporated under the laws of:

New York

3. The name, if different, which it elects to use in Rhode Island is:

(a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation", "company", "incorporated", or "limited," or an abbreviation thereof, then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island:

(b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application:

4. The date of its incorporation is: 11/14/2008

And the period of its duration is: **CHECK ONE BOX ONLY**☒ Perpetual (on-going)☐ Date certain for dissolution _____

5. The address of its principal office is:

25 Robert Pitt Drive, Suite 204, Monsey, NY 10952

6. The name and address of the initial registered agent/office in Rhode Island:

Agent Name

C T Corporation System

Street Address (NOT a P.O. Box)

450 Veterans Memorial Parkway, Suite 7A

City/Town

East Providence

State

RHODE ISLAND

Zip Code

02914

MAIL TO:

Division of Business Services

148 W. River Street, Providence, Rhode Island 02904-2615

Phone: (401) 222-3040

Website: www.sos.ri.gov

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7. The purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:

Provider of corporate compliance products and services for registered agent and document filing services. Notwithstanding the foregoing, the purpose of the corporation is to engage in any lawful act or activity for which corporations may be organized to do business under the laws of its jurisdiction of incorporation.

8. (a) The names and respective addresses of its directors (optional, unless directors are required under the laws of the state or country of which it is incorporated):

NAME	ADDRESS
Thomas J. Nestor	28 Liberty Street 43rd Floor, New York, NY 10005
J. Michele Balnius	2700 Lake Cook Road, Riverwoods, IL 60015
Maria Joao Montenegro	28 Liberty St, 26th FL, New York, NY 10005

Check the box to indicate an attachment ☐

8. (b) The names and respective addresses of its principal officers (mandatory if directors are not required under the laws of the state or country of which it is incorporated):

OFFICE	NAME	ADDRESS
PRESIDENT	John Weber	28 Liberty Street 42nd FL, New York, NY 10005
VICE PRESIDENT	J. Michele Balnius	2700 Lake Cook Road, Riverwoods, IL 60015
TREASURER	Irving Feldman	2700 Lake Cook Rd, Riverwoods, IL 60015
SECRETARY	Robert Ingato	28 Liberty Street 26th Floor, New York, NY 10005

Check the box to indicate an attachment ☒

9. The aggregate number of shares which it has authority to issue, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

NUMBER OF SHARES	CLASS	SERIES	PAR VALUE OR STATE NO PAR VALUE
200	Common		No Par Value

10. An estimate, **as a percentage**, of the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located. (Note: Percentage obtained from worksheet.)

0 %

11. An estimate, **as a percentage**, of the proportion of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year compared to the gross amount thereof which will be transacted by the corporation during the following year. (Note: Percentage obtained from worksheet.)

0 %

12. This application must be accompanied by a Certificate of Good Standing/Letter of Status from the state or country of formation dated within 60 days of the date of this filing.

13. Date when the Certificate of Authority will be effective: **CHECK ONE BOX ONLY**

☒ Date received (Upon filing)

☐ Later effective date (Date must be no more than 90 days from the date of filing) _____

Under penalty of perjury, I declare and affirm that I have examined this Application for Certificate of Authority, including any accompanying attachments, and that all statements contained herein are true and correct.

Type or Print Name of Authorized Officer

Erin M Sanders

Date

10/07/2022

Signature of Authorized Officer of the Corporation



**Attachment to Rhode Island
Officers & Directors**

- 1 Full Name: Erin M. Sanders
Officer/Director: Officer
Officer's Title: Assistant Secretary
Business Address: 2700 Lake Cook Rd
City: Riverwoods
State: IL
ZIP Code: 60015
- 2 Full Name: Thomas J. Nestor
Officer/Director: Officer
Officer's Title: Executive Vice President & Chief Financial Officer
Business Address: 28 Liberty Street 43rd Floor
City: New York
State: NY
ZIP Code: 10005
- 3 Full Name: Maria Joao Montenegro
Officer/Director: Officer
Officer's Title: Senior Vice President
Business Address: 28 Liberty St, 26th FL
City: New York
State: NY
ZIP Code: 10005
- 4 Full Name: Heather Ford
Officer/Director: Officer
Officer's Title: Vice President & Assistant Secretary
Business Address: 4600 South Syracuse St Suite 1200
City: Denver
State: CO
ZIP Code: 80237
- 5 Full Name: John Roddy
Officer/Director: Officer

Officer's Title: Vice President, Finance
Business Address: 28 Liberty Street
City: New York
State: NY
ZIP Code: 10005

STATE OF NEW YORK

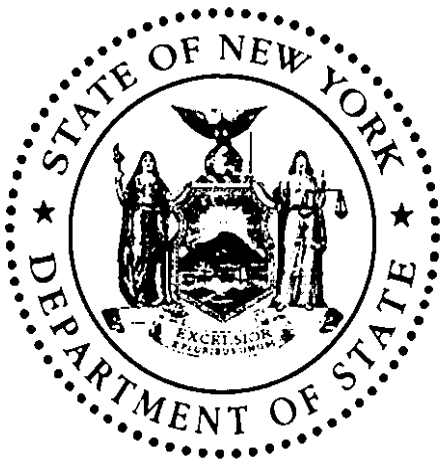
DEPARTMENT OF STATE

Certificate of Status

I, ROBERT J. RODRIGUEZ, Secretary of State of the State of New York and custodian of the records required by law to be filed in my office, do hereby certify that upon a diligent examination of the records of the Department of State, as of the date and time of this certificate, the following entity information is reflected:

Entity Name:	VCORP AGENT SERVICES, INC.
DOS ID Number:	3742832
Entity Type:	DOMESTIC BUSINESS CORPORATION
Entity Status:	EXISTING
Date of Initial Filing with DOS:	11/14/2008
Statement Status:	CURRENT
Statement Due Date:	11/30/2022

No information is available from this office regarding the financial condition, business activity or practices of this entity.



WITNESS my hand and official seal of the Department of State,
at the City of Albany, on October 05, 2022 at 06:09 P.M.

ROBERT J. RODRIGUEZ, Secretary of State

Brendan C. Hughes

By Brendan C. Hughes
Executive Deputy Secretary of State

Authentication Number: 100002301570 To Verify the authenticity of this document you may access the
Division of Corporation's Document Authentication Website at <http://ecorp.dos.ny.gov>



State of Rhode Island

Department of State | Office of the Secretary of State

Nellie M. Gorbea, *Secretary of State*

I, NELLIE M. GORBEA, Secretary of State of the State of Rhode Island,

hereby certify that this document, duly executed in accordance with the provisions

of Title 7 of the General Laws of Rhode Island, as amended, has been filed in this

office on this day:

October 11, 2022 03:19 PM

A handwritten signature in blue ink, appearing to read "Nellie M. Gorbea", is written over a light blue circular watermark that matches the Seal of the State of Rhode Island.

Nellie M. Gorbea
Secretary of State

