



**State of Rhode Island
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: February 1 - May 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2023

1. Corporate ID No. 001732364

2. Name of Corporation F.L. Putnam Investment Management Company

3. Street Address Principal Business Office:

No. and Street: 5 WIDGERY WHARF

City or Town: PORTLAND

State: ME

Zip: 04101

Country: USA

4. Business Phone No.

2077753197

5. State of Incorporation

State: ME

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523940

6. Brief Description of the Character of Business Conducted in Rhode Island

PROVIDES INVESTMENT ADVISORY, CONSULTING, PORTFOLIO MANAGEMENT, FINANCIAL PLANNING, AND FINANCIAL CONSULTING SERVICES.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	R. THOMAS MANNING	20 WILLIAM STREET, SUITE G40 WELLESLEY, MA 02481 USA
TREASURER	ELLEN FLAHERTY	5 WIDGERY WHARF PORTLAND, ME 04101 USA
DIRECTOR	RICHARD OSTERBERG	84 STATE STREET BOSTON, MA 02109 USA
DIRECTOR	MEREDITH LEPPER	20 ASHMONT RD WELLESLEY HILLS, MA 02481 USA
DIRECTOR	SUSANNE STAUFFER	409 CALLE KOKOPELLI SANTE FE, NM 87501 USA
DIRECTOR	ALAN GODULE	257 E. CENTRAL PARK W., SUITE EF NEW YORK, NY 10024 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CNP		\$0.0000	1,000,000.00	1000000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 24 Day of January, 2023 at 11:54:53 AM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By MICHAEL S TIMMERMANS
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07