



State of Rhode Island

## Department of State - Business Services Division

Annual Report for the year: 2023

## Corporation

→ Filing period: February 1 - May 1

→ Filing Fee: \$50.00

→ Penalty: Additional \$25.00 fee if form is not filed by May 31.

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BUSINESS SERVICES DIVISION

2023 MAR 17 P 4:06

1. Entity ID Number 114452		2. Exact name of the Corporation THE GOLDMAN SACHS GROUP, INC.			
3. Principal Office Address 200 WEST STREET		City NEW YORK		State NY	Zip 10282
4. NAICS Code 521110		6. Brief description of the character of business conducted in Rhode Island DIVERSIFIED FINANCIAL SERVICES			
5. State of Incorporation DELAWARE					
7. List ALL officers (names and addresses) <span style="float: right;">Check the box to indicate an attachment <input checked="" type="checkbox"/></span>					
President Name JOHN WALDRON			Vice-President Name		
Street Address 200 WEST STREET			Street Address		
City NEW YORK	State NY	Zip 10282	City	State	Zip
Secretary Name KATHRYN RUEMMLER			Treasurer Name PHILIP BERLINSKI		
Street Address 200 WEST STREET			Street Address 200 WEST STREET		
City NEW YORK	State NY	Zip 10282	City NEW YORK	State NY	Zip 10282
8. List ALL directors (names and addresses) <span style="float: right;">Check the box to indicate an attachment <input checked="" type="checkbox"/></span>					
Director Name KIMBERLEY HARRIS			Director Name DAVID VINIAR		
Street Address 200 WEST STREET			Street Address 200 WEST STREET		
City NEW YORK	State NY	Zip 10282	City NEW YORK	State NY	Zip 10282
Director Name LAKSHMI MITTAL			Director Name PETER OPPENHEIMER		
Street Address 200 WEST STREET			Street Address 200 WEST STREET		
City 200 WEST STREET	State NY	Zip 10282	City NEW YORK	State NY	Zip
9. Shares Authorized <span style="float: right;">10. Shares Issued <span style="float: right;">Check the box to indicate an attachment <input checked="" type="checkbox"/></span></span>					
This information is currently of record in the Department of State.  Changes require an additional filing.		NUMBER OF SHARES		CLASS/SERIES	
		See Attached		PAR VALUE	
11. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.					
Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.					
Name of Authorized Representative Julie Abraham Hausen				Date 3/15/2023	
Signature of Authorized Representative  Julie Abraham Hausen					

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BY 15141

**THE GOLDMAN SACHS GROUP, INC.****BOARD OF DIRECTORS****Current Directors:**

M. Michele Burns  
 Drew G. Faust  
 Mark A. Flaherty  
 Kimberley D. Harris  
 Kevin R. Johnson  
 Ellen J. Kullman  
 Lakshmi N. Mittal  
 Adebayo O. Ogunlesi  
 Peter Oppenheimer  
 David M. Solomon  
 Jan E. Tighe  
 Jessica R. Uhl  
 David A. Viniar  
 Mark (Marius) O. Winkelman

**Officers**

<b>Name</b>	<b>Title</b>
David M. Solomon	Chairman and Chief Executive Officer
John E. Waldron	President and Chief Operating Officer
Denis P. Coleman III	Chief Financial Officer
John F.W. Rogers	Executive Vice President Chief of Staff and Secretary to the Board
Kathryn (Kathy) H. Ruemmler	Chief Legal Officer and General Counsel Secretary of the Corporation
Ericka T. Leslie	Chief Administrative Officer
Sheara J. Fredman	Chief Accounting Officer Controller
Philip R. Berlinski	Global Treasurer
Brian J. Lee	Chief Risk Officer
Jacqueline D. Arthur	Global Head of Human Capital Management
George C. Lee	Assistant Secretary
Marco Argenti	Chief Information Officer
Alex S. Golten	Chief Market Risk Officer
Wendy J. Gorman	Chief Credit Officer
Kathleen A. Connolly	Director of Internal Audit
John J. McGuire	Global Head of Conflicts Resolution Group
Court E. Golumbic	Global AML Compliance Officer
Michael J. Richman	Chief Compliance Officer
Carey Halio	Director of Investor Relations Chief Strategy Officer
Matthew Chung	Chief Information Security Officer
Stephanie E. Cohen	Assistant Secretary
Richard A. Friedman	Assistant Secretary

Name	Title
Timothy J. O'Neill	Assistant Secretary
Marc O. Nachmann	Assistant Secretary
Julian C. Salisbury	Assistant Secretary
Ashok Varadhan	Assistant Secretary
Dan L. Dees	Assistant Secretary
Dina H. Powell McCormick	Assistant Secretary
Alison J. Mass	Assistant Secretary
Asahi M. Pompey	Assistant Secretary
Tucker York	Assistant Secretary
Elizabeth (Beth) M. Hammack	Assistant Secretary
Laurence Stein	Assistant Secretary
Jan Hatzius	Assistant Secretary
Jared A. Cohen	Assistant Secretary
Roy R. Joseph	Assistant Secretary – Corporate Services
David S. Thomas	Deputy General Counsel
Michael S. Bosworth	Deputy General Counsel
Julie M. Abraham Hausen	Assistant Secretary
Larry Kleinman	Assistant Secretary
Getty Chin	Assistant Secretary
Kirsten A. Frivold Imohiosen	Assistant Secretary
Jamie A. Greenberg	Assistant Secretary
Milton R. Millman	Assistant Secretary
Beverly L. O'Toole	Assistant Secretary
Matthew E. Tropp	Assistant Secretary
Matthew Bieber	Assistant Treasurer
Mariano A. Zimmler	Assistant Treasurer
Sebastian Gavor	Assistant Treasurer
Sean McWeeney	Assistant Treasurer
Monique Y. Rollins	Assistant Treasurer
Andrew G. Cowan	Assistant Treasurer

**\* ADDRESS FOR THOSE LISTED ABOVE IS 200 WEST STREET, NEW YORK, NY, 10282**

	Number of Shares	Class/ Series	Par Value
Issued	917,815,030	Common	.01
Issued	400,282	Preferred	.01