



**State of Rhode Island  
Office of the Secretary of State**

**Fee: \$50.00**

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

*Filing Period: February 1 - May 1*

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2023

**1. Corporate ID No.** 000102781

**2. Name of Corporation** Citigroup Global Markets Inc.

**3. Street Address Principal Business Office:**

No. and Street: 388 GREENWICH STREET

City or Town: NEW YORK

State: NY Zip: 10013 Country: USA

**4. Business Phone No.**

(813) 604-8123

**5. State of Incorporation**

State: NY

**ARTICLE III**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523110

**6. Brief Description of the Character of Business Conducted in Rhode Island**

SECURITIES BROKERAGE FIRM

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

| Title | Individual Name<br>First, Middle, Last, Suffix | Address<br>Address, City or Town, State, Zip Code, Country |
|-------|------------------------------------------------|------------------------------------------------------------|
|-------|------------------------------------------------|------------------------------------------------------------|

|                       |                     |                                                    |
|-----------------------|---------------------|----------------------------------------------------|
| TREASURER             | GONZALO MARTIN      | 388 GREENWICH STREET<br>NEW YORK, NY 10013 USA     |
| SECRETARY             | ROBERT KLEIN        | 388 GREENWICH STREET<br>NEW YORK, NY 10013 USA     |
| CEO                   | BISWARUP CHATTERJEE | 388 GREENWICH STREET<br>NEW YORK, NY 10013 USA     |
| CFO                   | DANIEL PALOMAKI     | 388 GREENWICH STREET<br>NEW YORK, NY 10013 USA     |
| DIRECTOR              | STEPHANIE DHOUBI    | 388 GREENWICH STREET<br>NEW YORK, NY 10013 USA     |
| ASSISTANT TAX OFFICER | JULIE SCHMIDT       | 3800 CITIGROUP CENTER DRIVE<br>TAMPA, FL 33610 USA |
| DIRECTOR              | BISWARUP CHATTERJEE | 388 GREENWICH STREET<br>NEW YORK, NY 10013 USA     |
| PRESIDENT             | BISWARUP CHATTERJEE | 388 GREENWICH STREET<br>NEW YORK, NY 10013 USA     |
| DIRECTOR              | JOHN CHIRICO        | 388 GREENWICH STREET<br>TAMPA, FL 10013 USA        |

#### 8. Shares Authorized and Issued

| Class of Stock | Series of Stock | Par Value Per Share | Total Authorized Shares<br><i>Number of Shares</i> | Total Issued and Outstanding<br><i>Num of Shares</i> |
|----------------|-----------------|---------------------|----------------------------------------------------|------------------------------------------------------|
| CWP            |                 | \$10,000.0000       | 1,000.00                                           | 1000                                                 |
| PWP            |                 | \$10,000.0000       | 100.00                                             | 0                                                    |

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 21 Day of March, 2023 at 4:48:01 AM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By JULIE SCHMIDT

Signature of Authorized Representative of the Corporation

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