



**State of Rhode Island  
Office of the Secretary of State**

No Fee

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Business Corporation  
Annual Report - Amended**

*Filing Period: February 1 - May 1*

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.*

**This form is only to be used to amend the current annual report on file with this office.**

**ANNUAL REPORT YEAR:** 2023

**1. Corporate ID No.** 000271999

**2. Name of Corporation** ProThera Biologics, Inc.

**3. Street Address Principal Business Office:**

No. and Street: 349 EDDY STREET

City or Town: PROVIDENCE State: RI Zip: 02903 Country: USA

**4. Business Phone No.**

401-223-5859

**5. State of Incorporation**

State: RI

**ARTICLE III**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

541714

**6. Brief Description of the Character of Business Conducted in Rhode Island**

BIOTHERAPEUTICS RESEARCH AND DEVELOPMENT COMPANY

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed. If officers and/or directors have been elected, the title Incorporator is no longer applicable; please delete.**

<b>Title</b>	<b>Individual Name</b> First, Middle, Last, Suffix	<b>Address</b> Address, City or Town, State, Zip Code, Country
SECRETARY	DAWN MARSHALL	349 EDDY STREET PROVIDENCE, RI 02903 USA
CEO	YOW-PIN LIM	349 EDDY STREET PROVIDENCE, RI 02903 USA
DIRECTOR	THORNE SPARKMAN	349 EDDY STREET PROVIDENCE, RI 02903 USA
DIRECTOR	YOW-PIN LIM	349 EDDY STREET PROVIDENCE, RI 02903 USA
DIRECTOR	RICHARD ANDREWS	349 EDDY STREET PROVIDENCE, RI 02903 USA
DIRECTOR	PATRICIA MILOS	349 EDDY STREET PROVIDENCE, RI 02903 USA
DIRECTOR	MOSES GODDARD	349 EDDY STREET PROVIDENCE, RI 02903 USA

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.0010	3,500,000.00	1066106
PWP		\$0.0010	28,321.00	13712

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 17 Day of April, 2023 at 4:17:11 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By YOW-PIN LIM

Signature of Authorized Representative of the Corporation

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State of Rhode Island

**Department of State | Office of the Secretary of State**

**Gregg M. Amore**, *Secretary of State*

I, GREGG M. AMORE, Secretary of State of the State of Rhode Island,  
hereby certify that this document, duly executed in accordance with the provisions  
of Title 7 of the General Laws of Rhode Island, as amended, has been filed in this

office on this day:

April 17, 2023 04:16 PM

A handwritten signature in black ink, reading "Gregg M. Amore". The signature is written in a cursive style.

Gregg M. Amore  
*Secretary of State*

