RI SOS Filing Number: 202333484290 Date: 4/20/2023 6:55:00 PM



# State of Rhode Island Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

Limited Liability Company Annual Report

Filing Period: February 1 - May 1

In accordance with R.I.G.L. 7-16-66(d), each limited liability company failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-16-66(b&c)) is subject to a penalty fee of \$25.00.

**ANNUAL REPORT YEAR: 2023** 

- 1. ID No. <u>000108023</u>
- 2. Exact Name of the Limited Liability Company Equitable Advisors, LLC
- 3. State of Formation

State: DE

#### **ARTICLE III**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes <u>here.</u> More information on <u>NAICS</u> can be found online.

523120

4. Brief Description of the Character of the Business Which is Actually Conducted in Rhode Island

REGISTERED BROKER-DEALER (SEC REGISTERED/FINRA MEMBER, SIPC). ALSO REGISTERED

INVESTMENT ADVISOR UNDER INVESTMENT ADVISERS ACT OF 1940. PRINCIPAL BUSINESSES ARE

<u>DISTRIBUTION OF SHARES OF INVESTMENT PRODUCTS, PRIMARILY MUTUAL</u> FUNDS OFFERED BY

AFFILIATES AND THIRD PARTIES AS WELL AS SALE OF BROKERAGE PRODUCTS
AND VARIABLE LIFE AND

ANNUITY PRODUCTS ISSUED BY EQUITABLE.

#### 5. Principal Office Address

No. and Street: 1290 AVENUE OF THE AMERICAS

City or Town: NEW YORK State: NY Zip: 10104 Country: USA

## 6. Mailing Address of Limited Liability Company and Name or Title of Contact Person:

Contact Name: Contact Title:

No. and Street: 1290 AVENUE OF THE AMERICAS

City or Town: NEW YORK State: NY Zip: 10104 Country: USA

# 7. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER Changes Require Filing of Form 642 - R.I.G.L. 7-16-11

 $\underline{\text{CORPORATION SERVICE COMPANY}} \ \underline{\text{222 JEFFERSON BOULEVARD, SUITE 200}} \ \underline{\text{WARWICK}} \ , \ \underline{\text{RI}} \\ \underline{\text{02888}}$ 

8. This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).

Signed this 20 Day of April, 2023 at 6:56:38 PM by the authorized person. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the company, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-16.

### By MICHAEL BRUDOLEY

Signature of Authorized Person

Form No. 632 Revised 09/07

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