



**State of Rhode Island
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: February 1 - May 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2023

1. Corporate ID No. 001658345

2. Name of Corporation Citizens Capital Markets, Inc.

3. Street Address Principal Business Office:

No. and Street: 28 STATE STREET

City or Town: BOSTON

State: MA

Zip: 02109

Country: USA

4. Business Phone No.

5. State of Incorporation

State: MA

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

522291

6. Brief Description of the Character of Business Conducted in Rhode Island

TO ENGAGE IN GENERAL BUSINESS PRACTICES INCLUDING LENDING AND FINANCING TRANSACTIONS.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	THEODORE SWIMMER	28 STATE STREET BOSTON, MA 02109 USA
TREASURER	MICHAEL NEWCOMB	28 STATE STREET BOSTON, MA 02109 USA
SECRETARY	BRIAN CARROLL	ONE CITIZENS BANK WAY JOHNSTON, RI 02919 USA
DIRECTOR	RALPH DELLA RATTA JR	200 PUBLIC SQUARE CLEVELAND, OH 44114 USA
DIRECTOR	DAVID LINDENAUER	28 STATE STREET BOSTON, MA 02109 USA
DIRECTOR	DONALD MCCREE	28 STATE STREET BOSTON, MA 02109 USA
DIRECTOR	GARY ASWAD	28 STATE STREET BOSTON, MA 02109 USA
DIRECTOR	GREG SUCHY	28 STATE STREET BOSTON, MA 02109 USA
DIRECTOR	THEODORE SWIMMER	28 STATE STREET BOSTON, MA 02109 USA
DIRECTOR	VIRGINIA WHEELER	28 STATE STREET BOSTON, MA 02109 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CNP		\$0.0000	1,000.00	1000
PNP		\$0.0000	750.00	0

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 21 Day of April, 2023 at 1:30:48 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By GARY A. ASHJIAN

Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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