

**State of Rhode Island  
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040**Limited Liability Company  
Annual Report**

Filing Period: February 1 - May 1

*In accordance with R.I.G.L. 7-16-66(d), each limited liability company failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-16-66(b&c)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR - ENTER THE CURRENT FILING YEAR 2023:** 2023**1. ID No.** 001712464**2. Exact Name of the Limited Liability Company** Mutual of America Securities LLC**3. State of Formation**State: DE**ARTICLE III**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523120**4. Brief Description of the Character of the Business Which is Actually Conducted in Rhode Island**LICENSED BROKER DEALER**5. Principal Office Address**No. and Street: 320 PARK AVENUE  
ATTN CORPORATE TAXCity or Town: NEW YORK State: NY Zip: 10022 Country: USA**6. Mailing Address of Limited Liability Company and Name or Title of Contact Person:**Contact Name: JINGJING ZHANG Contact Title: VP CORPORATE TAXNo. and Street: 320 PARK AVENUE  
ATTN CORPORATE TAXCity or Town: NEW YORK State: NY Zip: 10022 Country: USA

**7. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER**  
**Changes Require Filing of Form 642 - R.I.G.L. 7-16-11**

JOSEPH P GERADI 500 EXCHANGE STREET, SUITE 9-200 PROVIDENCE , RI 02903

**8. This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).**

**Signed this 24 Day of April, 2023 at 11:22:21 AM by the authorized person.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the company, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-16.*

By JINGJING ZHANG

Signature of Authorized Person

Form No. 632  
Revised 09/07

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