



**State of Rhode Island
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: February 1 - May 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR - ENTER THE CURRENT FILING YEAR **2023: 2023**

1. Corporate ID No. 000161623

2. Name of Corporation Heffernan Insurance Brokers

3. Street Address Principal Business Office:

No. and Street: 1350 CARLBACK AVENUE, SUITE 100

City or Town: WALNUT CREEK

State: CA Zip: 94596 Country: USA

4. Business Phone No.

5. State of Incorporation

State: CA

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

524210

6. Brief Description of the Character of Business Conducted in Rhode Island

INSURANCE BROKERAGE SERVICES

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

| Title | Individual Name First, Middle, Last, Suffix | Address Address, City or Town, State, Zip Code, Country |
|-------|--|--|
|-------|--|--|

| | | |
|-------------------------|--------------------------|---|
| PRESIDENT | F. MICHAEL HEFFERNAN III | 1350 CARLBACK AVENUE, SUITE 100 WALNUT CREEK, CA 94596 USA |
| TREASURER | DANIELE SEBASTIANI | 1350 CARLBACK AVENUE, SUITE 100 WALNUT CREEK, CA 94596 USA |
| SECRETARY | JENNIFER ANNE MAHONEY | 1350 CARLBACK AVENUE, SUITE 100 WALNUT CREEK, CA 94596 USA |
| CEO | F. MICHAEL HEFFERNAN III | 1350 CARLBACK AVENUE, SUITE 100 WALNUT CREEK, CA 94596 USA |
| CFO | DANIELE SEBASTIANI | 1350 CARLBACK AVENUE, SUITE 100 WALNUT CREEK, CA 94596 USA |
| CHIEF OPERATING OFFICER | JENNIFER ANNE MAHONEY | 1350 CARLBACK AVENUE, SUITE 100 WALNUT CREEK, CA 94596 USA |
| DIRECTOR | JOHN TALLARIDA | 1350 CARLBACK AVENUE, SUITE 100 WALNUT CREEK, CA 94596 USA |
| DIRECTOR | KATHY M MCCARTHY | 1350 CARLBACK AVENUE, SUITE 100 WALNUT CREEK, CA 94596 USA |
| DIRECTOR | WILLIAM SOMMER | 1350 CARLBACK AVENUE, SUITE 100 WALNUT CREEK, CA 94596 USA |
| DIRECTOR | DONALD WILLIAMS | 1350 CARLBACK AVENUE, SUITE 100 WALNUT CREEK, CA 94596 USA |
| DIRECTOR | F. MICHAEL HEFFERNAN III | 1350 CARLBACK AVENUE, SUITE 100 WALNUT CREEK, CA 94596 USA |
| DIRECTOR | BARBARA KAMM | 1350 CARLBACK AVENUE, SUITE 100 WALNUT CREEK, CA 94596 USA |
| DIRECTOR | BRIAN DANTZIG | 1350 CARLBACK AVENUE, SUITE 100 WALNUT CREEK, CA 94596 USA |
| DIRECTOR | ELIZABETH BISHOP | 1350 CARLBACK AVENUE SUITE 100 WALNUT CREEK, CA 94596 USA |

8. Shares Authorized and Issued

| Class of Stock | Series of Stock | Par Value Per Share | Total Authorized Shares <i>Number of Shares</i> | Total Issued and Outstanding <i>Num of Shares</i> |
|----------------|-----------------|---------------------|--|--|
| PNP | A | \$0.0000 | 113,196.00 | 0 |
| CWP | | \$1.0000 | 1,000,000.00 | 10000 |

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 27 Day of April, 2023 at 7:13:47 AM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in

compliance with R.I. Gen. Laws § 7-1.2.

By DANIELE SEBASTIANI

Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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