



State of Rhode Island
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

Limited Liability Company
Annual Report

Filing Period: February 1 - May 1

In accordance with R.I.G.L. 7-16-66(d), each limited liability company failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-16-66(b&c)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR - ENTER THE **CURRENT FILING YEAR 2023**: 2023

1. ID No. 000920885

2. Exact Name of the Limited Liability Company TIAA-CREF INDIVIDUAL & INSTITUTIONAL SERVICES, LLC

3. State of Formation

State: DE

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523120

4. Brief Description of the Character of the Business Which is Actually Conducted in Rhode Island

TO ACT AS A REGISTERED BROKER-DEALER AND INVESTMENT ADVISOR AND TO PROVIDE DISTRIBUTION AND RELATED SERVICES FOR COLLEGE RETIREMENT EQUITIES FUND, TIAA REAL ESTATE ACCOUNT AND TIAA SEPARATE ACCOUNT VA-3, DISTRIBUTION SERVICES FOR THE TIAA-CREF FUNDS, NUVEEN FUNDS, AND THIRD PARTY FUNDS WITHIN RETIREMENT AND SAVINGS PLANS AND ADMINISTRATIVE SERVICES TO TUITION SAVINGS PRODUCTS.

5. Principal Office Address

No. and Street: 730 THIRD AVENUE

City or Town: NEW YORK

State: NY

Zip: 10017

Country: USA

6. Mailing Address of Limited Liability Company and Name or Title of Contact Person:

Contact Name: Contact Title:

No. and Street: 730 THIRD AVENUE

City or Town: NEW YORK

State: NY

Zip: 10017

Country: USA

**7. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER
Changes Require Filing of Form 642 - R.I.G.L. 7-16-11**

CORPORATION SERVICE COMPANY 222 JEFFERSON BOULEVARD, SUITE 200 WARWICK , RI
02888

8. This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).

Signed this 27 Day of April, 2023 at 8:35:48 AM by the authorized person. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the company, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-16.*

By JEANNE ZELNICK

Signature of Authorized Person

Form No. 632
Revised 09/07

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