



**State of Rhode Island  
Office of the Secretary of State**

**Fee: \$50.00**

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

*Filing Period: February 1 - May 1*

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501(c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR - ENTER THE CURRENT FILING YEAR 2023:** 2023

**1. Corporate ID No.** 001661492

**2. Name of Corporation** Advisor Group, Inc.

**3. Street Address Principal Business Office:**

No. and Street: 20 E THOMAS RD  
SUITE 2000

City or Town: PHOENIX State: AZ Zip: 85012 Country: USA

**4. Business Phone No.**

2125515113

**5. State of Incorporation**

State: MD

**ARTICLE III**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523120

**6. Brief Description of the Character of Business Conducted in Rhode Island**

FINANCIAL SERVICES ENTITIES

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

<b>Title</b>	<b>Individual Name</b> First, Middle, Last, Suffix	<b>Address</b> Address, City or Town, State, Zip Code, Country
PRESIDENT	JAMIE PRICE	20 E. THOMAS RD PHOENIX, AZ 85012 USA
TREASURER	DAVID SCHMIDT	20 E. THOMAS RD PHOENIX, AZ 85012 USA
SECRETARY	NINA MCKENNA	20 E. THOMAS RD PHOENIX, AZ 85012 USA
DIRECTOR	MATTHEW SCHLUETER	2300 WINDY RIDGE PARKWAY, SUITE 750 ATLANTA, GA 30339 USA
DIRECTOR	JAMIE PRICE	20 E. THOMAS RD. PHOENIX, AZ 85012 USA
DIRECTOR	GREG CORNICK	20 E THOMAS RD PHOENIX, AZ 85012 USA
DIRECTOR	JON FROJEN	20 E THOMAS RD PHOENIX, AZ 85012 USA

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.1000	10,000.00	1000

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 1 Day of May, 2023 at 6:12:41 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By NINA MCKENNA

Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07