



State of Rhode Island
Department of State | Office of the Secretary of State
Gregg M. Amore, *Secretary of State*

09/12/2023

SMBC Capital Markets, Inc.
c/o PRENTICE-HALL CORP SYSTEM
222 JEFFERSON BOULEVARD, SUITE 200
WARWICK, RI 02888

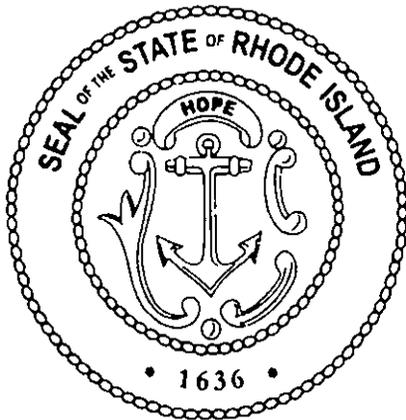
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**CERTIFICATE OF REVOCATION OF
CERTIFICATE OF INCORPORATION/AUTHORITY**

OF

SMBC Capital Markets, Inc.

The undersigned, as Secretary of State of the State of Rhode Island, and by virtue of the authority vested in him by § 7-1.2-1311 and 7-1.2-1415 of the Rhode Island General Laws, hereby revokes the Certificate of Incorporation/Authority of the above-named entity to transact business in this state for failure to file an annual report.



Witness my hand and the seal of the State of Rhode Island this 12th day of September, 2023.

Secretary of State