



State of Rhode Island
Department of State - Business Services Division

Annual Report for the year: 2023
Corporation

- Filing period: February 1 - May 1
- Filing Fee: \$50.00
- Penalty: Additional \$25.00 fee if form is not filed by May 31.

RECEIVED
R.I. DEPT. OF STATE
BUSINESS SERVICES

DEC 28 2023 11:45

1. Entity ID Number 000059812		2. Exact name of the Corporation BNY Mellon Investment Servicing (US) Inc.			
3. Principal Office Address 301 Bellevue Parkway			City Wilmington	State DE	Zip 19809
4. NAICS Code 525920		6. Brief description of the character of business conducted in Rhode Island Asset Servicing			
5. State of Incorporation MA					
7. List ALL officers (names and addresses) Check the box to indicate an attachment <input checked="" type="checkbox"/>					
President Name See Attached			Vice-President Name See Attached		
Street Address			Street Address		
City	State	Zip	City	State	Zip
Secretary Name			Treasurer Name		
Street Address			Street Address		
City	State	Zip	City	State	Zip
8. List ALL directors (names and addresses) Check the box to indicate an attachment <input checked="" type="checkbox"/>					
Director Name See Attached			Director Name See Attached		
Street Address			Street Address		
City	State	Zip	City	State	Zip
Director Name			Director Name		
Street Address			Street Address		
City	State	Zip	City	State	Zip
9. Shares Authorized		10. Shares Issued Check the box to indicate an attachment <input checked="" type="checkbox"/>			
This information is currently of record in the Department of State. Changes require an additional filing.		NUMBER OF SHARES		CLASS/SERIALS	PAR VALUE
		1000	CWP	\$1.00	
11. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee. Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.					
Name of Authorized Representative Charles Doumar					Date 8/2/2023
Signature of Authorized Representative 					

FILED 147
DEC 28 2023
BY 4800AP

MAIL TO:
Division of Business Services
148 W. River Street, Providence, Rhode Island 02904-2615
Phone: (401) 222-3040
Website: www.sos.ri.gov

BNY Mellon Investment Servicing (US) Inc.
Officer and Director Listing

Appointment Type	Appointed Entity	Date First Elected	Date Resigned	Business Address
Assistant Secretary	Ayers, Chase	11/17/2021		240 Greenwich Street, New York, New York 10286
Assistant Treasurer - Tax	Doumar, Charles	3/4/2014		240 Greenwich Street, New York, New York 10286
Assistant Treasurer - Tax	Herrera, Vivian	8/25/2021		240 Greenwich Street, New York, New York 10286
Chairman	Goodburn, Alexandra	10/29/2020		240 Greenwich Street, New York, New York 10286
Chief Compliance Officer	Allsopp, William	2/26/2019		240 Greenwich Street, New York, New York 10286
Chief Financial Officer	Bawiec, Joseph	2/1/2011		240 Greenwich Street, New York, New York 10286
Chief Risk Officer	Robinson, Sheri	2/24/2021		240 Greenwich Street, New York, New York 10286
Compliance Officer	Nickel, Frank	2/9/2022		240 Greenwich Street, New York, New York 10286
Director	Allsopp, William	2/9/2022		240 Greenwich Street, New York, New York 10286
Director	Bawiec, Joseph	2/9/2022		240 Greenwich Street, New York, New York 10286
Director	Courtenay, Christine	11/18/2015		240 Greenwich Street, New York, New York 10286
Director	Farlese, Steven	10/11/2013		240 Greenwich Street, New York, New York 10286
Director	Goodburn, Alexandra	10/29/2020		240 Greenwich Street, New York, New York 10286
Director	Mann, Jonathan	1/25/2022		240 Greenwich Street, New York, New York 10286
Director	Marshall, Jean	1/25/2022		240 Greenwich Street, New York, New York 10286
Director	Marshall, Jean	2/9/2022		240 Greenwich Street, New York, New York 10286
Director	Prevost, Janelle	1/25/2022		240 Greenwich Street, New York, New York 10286
Director	Robinson, Sheri	2/24/2021		240 Greenwich Street, New York, New York 10286
Financial Crimes Compliance Officer	Dugger-Williams, Wanda	2/24/2021		240 Greenwich Street, New York, New York 10286
Managing Director	Mann, Jonathan	2/9/2022		240 Greenwich Street, New York, New York 10286
Managing Director	Prevost, Janelle	2/9/2022		240 Greenwich Street, New York, New York 10286
President	Goodburn, Alexandra	10/29/2020		240 Greenwich Street, New York, New York 10286
Secretary	Brennan, Amy E.	6/1/2014		240 Greenwich Street, New York, New York 10286
Treasurer	Bobnar, Natalie	2/26/2019		240 Greenwich Street, New York, New York 10286
Vice President	Bobnar, Natalie	2/26/2019		240 Greenwich Street, New York, New York 10286
Vice President	Dugger-Williams, Wanda	11/20/2013		240 Greenwich Street, New York, New York 10286
Vice President	Mitchell, Michael	8/25/2021		240 Greenwich Street, New York, New York 10286
Vice President - Human Resources	O'Donovan, Susan	2/24/2021		240 Greenwich Street, New York, New York 10286
Vice President - Human Resources	Thomas, Marianne	3/7/2017		240 Greenwich Street, New York, New York 10286