



State of Rhode Island
Department of State - Business Services Division

Application for Transfer of Authority

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BUS. SVCS. DIV.
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FOREIGN Business Corporation, Limited Partnership,
Limited Liability Company, Limited Liability Partnership or Non-Profit Corporation

Pursuant to the applicable provisions of RIGL Title 7, the undersigned duly qualified foreign entity submits the following application for the purpose of transferring its authority to conduct business in the State of Rhode Island to:

1. Entity ID Number: 000107941	2. The full name of the entity filing this application is: GuardHill Financial Corp.
3. The applicant is a duly qualified foreign: (CHECK ONE BOX ONLY)	
<input type="checkbox"/> Limited Liability Company <input checked="" type="checkbox"/> Business Corporation <input type="checkbox"/> Non-Profit Corporation <input type="checkbox"/> Limited Partnership <input type="checkbox"/> Limited Liability Partnership	
4. The applicant submits this application for the purpose of transferring its authority to a: (CHECK ONE BOX ONLY)	
<input checked="" type="checkbox"/> Limited Liability Company (RIGL <u>7-16-52.1</u>) <input type="checkbox"/> Business Corporation (RIGL <u>7-1.2-1411.1</u>) <input type="checkbox"/> Non-Profit Corporation (RIGL <u>7-6-80.1</u>) <input type="checkbox"/> Limited Partnership or Limited Liability Limited Partnership (RIGL <u>7-13.1-1009</u>) <input type="checkbox"/> Limited Liability Partnership (RIGL <u>7-12.1-1009</u>)	
5. The date the applicant qualified to conduct business in Rhode Island is: 08-19-1999	6. The jurisdiction upon transfer of authority is: Delaware
7. The name of the entity following the transfer of authority is: GuardHill Financial LLC	
8. The application for transfer of authority is filed as an accompanying certificate to the: CHECK ONE BOX ONLY	
<input checked="" type="checkbox"/> Application for registration for a Limited Liability Company <input type="checkbox"/> Application for certificate of authority for a Business Corporation <input type="checkbox"/> Application for certificate of authority for a Non-Profit Corporation <input type="checkbox"/> Statement of registration for a Limited Partnership <input type="checkbox"/> Statement of registration for a registered Limited Liability Partnership	
9. This Transfer of Authority and applicable Application/Certificate/Notice must be accompanied by a Certificate of Good Standing/Legal Existence from the current jurisdiction of the entity.	

MAIL TO:

Division of Business Services
148 W. River Street, Providence, Rhode Island 02904-2615
Phone: (401) 222-3040
Website: www.sos.ri.gov

FILED 1:21

JAN 22 2024

BY

[Signature]

[Signature]

10. TO BE COMPLETED BY THE ENTITY TRANSFERRING AUTHORITY

Under penalty of perjury, I/we declare and affirm that I/we have examined this Application for Transfer of Authority, including any accompanying attachments, and that all statements contained herein are true and correct and that the undersigned is authorized to sign this certificate on behalf of the entity set forth above.

Type or Print Name of Limited Liability Company

GuardHill Financial LLC

Signature of Authorized Person

DocuSigned by
Alan Rosenbaum
7640933432446

Date

1/19/2024

Signature of Authorized Person

Date

Type or Print Name of Corporation

GuardHill Financial Corp.

Signature of Authorized Person

DocuSigned by
Alan Rosenbaum
7640933432446

Date

1/18/2024

Signature of Authorized Person

Date

Type or Print Name of Partnership

Signature of Partner

Date

Signature of Partner

Date

Signature of Partner

Date

Type or Print Name of Other Entity

Signature of Authorized Person

Date

Signature of Authorized Person

Date

If you have any questions, please call us at (401) 222-3040, Monday through Friday,
between 8:30 a.m. and 4:30 p.m., or email corporations@sos.ri.gov.



State of Rhode Island

Department of State | Office of the Secretary of State

Gregg M. Amore, *Secretary of State*

I, GREGG M. AMORE, Secretary of State of the State of Rhode Island,
hereby certify that this document, duly executed in accordance with the provisions
of Title 7 of the General Laws of Rhode Island, as amended, has been filed in this

office on this day:

January 22, 2024 01:21 PM

A handwritten signature in black ink, reading "Gregg M. Amore". The signature is written in a cursive style.

Gregg M. Amore
Secretary of State

